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Optical Methods for Indoor Characterization of Small Size Solar Concentrators



Concentrating PV Syste



<u>A. Parretta^{*1,2}</u>, A. Antonini³, M.A. Butturi³, E. Milan³, P. Di Benedetto³, D. Uderzo³, Paolo Zurru³, Giuliano Martinelli²

¹ENEA Centro Ricerche "E. Clementel", Bologna, Italy ²Università di Ferrara, Dipartimento di Fisica, Ferrara, Italy ³CPower srl, Ferrara, Italy.









SUMMARY

Short introduction to *nonimaging* solar concentrators

"Direct methods" of characterization of concentrators
i) The direct laser method (DLM)
ii) The direct collimated method (DCM)
iii) The direct integral method (DIM)

The "Inverse method" (IM) of characterization of concentrators

Applications

* Ideal 3D-CPCs

* Truncated and Squared CPCs

* Fresnel and prismatic lenses

* The "*Rondine*[®]" nonimaging PV concentrator

Conclusions





THE TESTED SOLAR CONCENTRATORS

The solar concentrators



Nonimaging





T-CPC r(in) = 70 mm r(out) = 5 mm L = 350 mm

TS-CPC l(in) = 100 mm r(out) = 5 mm L = 350 mm

Truncated and Squared CPC (TS-CPC)





l(in)=6,7x6,7cm l(out)=1,7x1,3cm L=15cm Axis Tilt=6°x4°

Rondine nonimaging Concentrator (Gen1)



l(in)=3,5x3,5cm l(out)=0,8x0,8cm L=6.0cm Axis Tilt=5°x5°



The solar concentrators

Imaging

• Fresnel lens (circular):



• Fresnel lens (squared):



Mask 2x2cm



Prismatic lens Phocus concentrator

THE "DIRECT" METHODS

OF CHARACTERIZATION





Local (directional) transmission efficiency

The laser method (LM)



Maps of optical efficiency ($\alpha = 0^{\circ}$)



Maps of optical efficiency ($\alpha = 45^{\circ}$)



THE "DIRECT COLLIMATED METHOD" (DCM)

(The typical operation of a solar concentrator!)



Overall (directional) transmission efficiency

The experimental apparatus



Experimental apparatus (Ferrara Labs)

Characterization of "Rondine" nonimaging concentrator



Experimental apparatus (Ferrara Labs)

Characterization of "Rondine" nonimaging concentrator



The Rondine is coupled to an integrating sphere



A photodetector is placed inside the integrating sphere



The photodetector is connected to a lock-in



The Rondine is aligned respect to the beam







Here the Rondine concentrator is directly coupled to a solar cell

Realization of the integrating spheres (Ferrara Labs)



All the integrating spheres were realized from plastic globes by using different paintings. The internal wall was painted by Barium Sulfate.

Optical properties of the integrating spheres



Excellent response at UV!

TRANSMISSION EFFICIENCY OF A SOLAR CONCENTRATOR (Direct Collimated Method)

The fundamental quantity which summarizes the optical collection properties of a solar concentrator (SC) is the (angle-resolved) transmission efficiency:

$$\eta_{dir}(\theta_{in},\varphi_{in}) = \frac{\Phi_{out}(\theta_{in},\varphi_{in})}{\Phi_{in}(\theta_{in},\varphi_{in})} = \frac{\Phi_{out}(\theta_{in},\varphi_{in})}{E_{dir} \cdot A_{in}(\theta_{in},\varphi_{in})}$$



Schematic principle of Direct Collimated Method (DCM).

The SC is irradiated by a collimated beam oriented at θ_{in} zenithal angle, φ_{in} azimuthal angle. E_{dir} : irradiance at the wavefront. Φ_{in} : input flux. Φ_{out} : output flux. $A_{in}(\theta_{in}, \varphi_{in})$: projected input area.



Example of transmission efficiency curve of a 3D-CPC concentrator. ²⁰

ANGLE-RESOLVED PROPERTIES OF A SOLAR CONCENTRATOR

In general we have:

Transmission efficiency: $\eta_{dir}(\theta_{in}, \varphi_{in}) =$ fraction of transmitted flux:

$$\eta_{dir}(\theta_{in}, \varphi_{in}) = \frac{\Phi_{out}(\theta_{in}, \varphi_{in})}{\Phi_{in}(\theta_{in}, \varphi_{in})}$$

Absorption efficiency: $\alpha_{dir}(\theta_{in}, \varphi_{in}) =$ fraction of absorbed flux:

$$\alpha_{dir}(\theta_{in},\varphi_{in}) = \frac{\Phi_{\alpha}(\theta_{in},\varphi_{in})}{\Phi_{in}(\theta_{in},\varphi_{in})}$$

Reflection efficiency: $\rho_{dir}(\theta_{in}, \varphi_{in}) = \text{fraction of reflected flux:}$

$$\rho_{dir}(\theta_{in},\varphi_{in}) = \frac{\Phi_{\rho}(\theta_{in},\varphi_{in})}{\Phi_{in}(\theta_{in},\varphi_{in})}$$

with: $\eta_{dir}(\theta_{in}, \varphi_{in}) + \alpha_{dir}(\theta_{in}, \varphi_{in}) + \rho_{dir}(\theta_{in}, \varphi_{in}) = 1$



THE "INVERSE" METHODS

OF CHARACTERIZATION



THE "INVERSE METHOD" (IM) or "ILLUME" (Inverse Illumination Method)



Overall (directional) transmission efficiency

We can demonstrate that:





The image on the screen contains the <u>overall</u> information about the optical efficiency of concentrator



Back illumination by an integrating sphere

Simplified theory of ILLUME (Ideal concentrator)



Reverse radiance ∝ *to optical efficiency!!!*

Simplified theory of ILLUME (Real concentrator)



Optical loss at interfaces inside the concentrator

For a real concentrator, we can apply the "reversibility principle" which establishes the same attenuation factor if the direction of light is reversed (and input light is unpolarized)

Reversibility Principle

 I_T / I_0 = attenuation factor = invariant

For a single interface we can apply the Fresnel Equations: (R and T are invariant for exchange between indexes)

Attenuation of reflected ray

$$R = \frac{1}{2} \cdot \left(\rho_p^2 + \rho_s^2\right) = \frac{1}{2} \cdot \sin^2(\varphi - \varphi') \cdot \left[\frac{\cos^2(\varphi + \varphi') + \cos^2(\varphi - \varphi')}{\sin^2(\varphi + \varphi') \cdot \cos^2(\varphi - \varphi')}\right]$$

Attenuation of transmitted ray

$$T = \frac{1}{2} \cdot \left(\frac{n'}{n}\right) \cdot \left(\frac{\cos\varphi'}{\cos\varphi}\right) \cdot \left(\tau_p^2 + \tau_s^2\right) = 2 \cdot \sin\varphi \cdot \sin\varphi' \cdot \cos\varphi \cdot \cos\varphi' \cdot \left[\frac{1 + \cos^2(\varphi - \varphi')}{\sin^2(\varphi + \varphi') \cdot \cos^2(\varphi - \varphi')}\right]$$



$$L_{rel}^{inv}(\delta,\varphi) = \frac{L^{inv}(\delta,\varphi)}{L^{inv}(0)} = \frac{\eta(\delta,\varphi)}{\eta(0)} = \eta_{rel}(\delta,\varphi)$$

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A detailed discussion about the theory of ILLUME is presented in the paper STuE2 of this Congress

Simplified theory of ILLUME (Angular resolution)



APPLICATIONS

OF DIRECT AND INVERSE

METHODS



The inverse method requires one simulation!! The direct method requires tens of simulations!!

Ideal 3D-CPC + mirror – Optical Simulations

The inverse method works well at any condition! To demonstrate it, we put an object (a mirror) inside the ideal concentrator and simulate again the direct and inverse methods





Truncated 3D-CPC (T-CPC) – Experimental results







Fresnel lens





The "Rondine" Pseudo 3D-CPC: The ILLUME set-up









The "Rondine" Gen1 3D-CPC: Experimental results



The "Rondine" Gen2 3D-CPC: Experimental results

CPC-screen distance = 229 *cm*



Summary of results of acceptance angles

Method		Ideal 3D- CPC		TS-CPC		НТ-СРС		RONDI Long side		NE Gen1 Short side		RONDINE Gen2	
		90% Eff	50% Eff	90% Eff	50% Eff	90% Eff	50 % Eff	90% Eff	50% Eff	90% Eff	50% Eff	90% Eff	50% Eff
Dir.	Sim.	4.5 °	5.0 °	1.3 °	1.9 °	4.5 °	5.1 °	4.3 °	7.5 °	5.7-6.2 °	8.5 °		
	Exp.			1.1° (laser)	2.8° (laser)								
Inv.	Sim.	4.5 °	5.0 °	1.3 °	1.8 °	4.5 °	5.1 °	4.0-4.2 °	8.1 °	6.0-6.1 °	8.6 °		
	Exp.			0.9 °	2.8 °			4.2-4.3°	9.5°	5.8-6.3°	9.5°	5.0 °	8.0 °

Table 1. Simulated and experimental acceptance angles for several 3D-CPCs analyzed with direct and inverse methods. Acceptance angles refer to 90% and 50% of maximum efficiency.

LOCAL ANALYSIS OF OPTICAL EFFICIENCY (BY SIMULATIONS)

Laser beam at the center of receiver and variable cross-section



Ring-shaped Laser beam at the center of receiver and variable internal radius (constant area = π mm²)



RECENT DEVELOPMENTS

OF "ILLUME" THEORY

The absolute optical efficiency by ILLUME

Until now, the inverse method furnished the relative optical efficiency

$$\eta_{opt}(\delta,\phi) = \eta_{opt}(0) \cdot \eta_{opt}^{rel}(\delta,\phi)$$

 $\eta_{opt}(0) = \frac{L_C(0)}{L_{DDC}}$

Now we show how to obtain also the absolute efficiency at 0° incidence

 $\square \overline{L}_{c}(0)$ is the average radiance of input aperture at 0° incidence

L_{REC} is the (average) radiance of the receiver (Lambertian source)

The absolute optical efficiency by ILLUME



THE "DIRECT INTEGRAL METHOD" (DIM)



Overall (integral) transmission efficiency

DEFINITION OF NEW QUANTITIES (Integral Direct Method)

We introduce also the following new optical quantities:

$$\eta_{dir}^{\text{int}} = \frac{\Phi_{dir}^{\tau}}{\Phi_{dir}^{\text{in}}} = 2 \cdot \int_{0}^{\pi/2} d\theta \cdot \sin \theta \cdot \cos \theta \cdot \eta_{dir}(\theta) = 2 \cdot \eta_{dir}(0) \cdot \int_{0}^{\pi/2} d\theta \cdot \sin \theta \cdot \cos \theta \cdot \eta_{dir}^{rel}(\theta)$$

direct integral optical transmittance
$$\alpha_{dir}^{\text{int}} = \frac{\Phi_{dir}^{\alpha}}{\Phi_{dir}^{\text{in}}} = 2 \cdot \int_{0}^{\pi/2} d\theta \cdot \sin \theta \cdot \cos \theta \cdot \alpha(\theta) = 2 \cdot \alpha(0) \cdot \int_{0}^{\pi/2} d\theta \cdot \sin \theta \cdot \cos \theta \cdot \alpha^{rel}(\theta)$$

direct integral optical absorbance
$$\rho_{dir}^{\text{int}} = \frac{\Phi_{dir}^{\rho}}{\Phi_{dir}^{\text{in}}} = 2 \cdot \int_{0}^{\pi/2} d\theta \cdot \sin \theta \cdot \cos \theta \cdot \rho(\theta) = 2 \cdot \rho(0) \cdot \int_{0}^{\pi/2} d\theta \cdot \sin \theta \cdot \cos \theta \cdot \rho^{rel}(\theta)$$

direct integral optical reflectance

Where:
$$\eta_{dir}^{\text{int}} + \alpha_{dir}^{\text{int}} + \rho_{dir}^{\text{int}} = 1$$

SPATIAL DISTRIBUTION OF FLUX AT THE OUTPUT OF SC (Direct Integral Method)

Ideal concentrator (absence of absorption): $\alpha_{dir}(\theta_{in}, \varphi_{in}) = 0$ $R_w = 1.0$ $r_w = 1.0$ $r_w =$

Non-ideal concentrator (absorption on the wall): $\alpha_{dir}(\theta_{in}, \varphi_{in}) \neq 0$



3500-

3000— 2500—





$$\theta_{\rm max} = 7^{\circ} > \theta_{\rm acc}$$



ANGULAR DISTRIBUTION OF FLUX AT THE OUTPUT OF SC (Direct Integral Method)





Non-ideal concentrator (absorption on the wall): $\alpha_{dir}(\theta_{in}, \varphi_{in}) \neq 0$



$$\theta_{\rm max} = 7^{\circ} > \theta_{\rm acc}$$

Output flux not Lambertian!

THE RADIANCE AT OUTPUT OF SC (Direct Integral Method)

Ideal concentrator (absence of absorption): $\alpha_{dir}(\theta_{in}, \varphi_{in}) = 0$

For an ideal concentrator the output flux is uniform and Lambertian.

The **output radiance** is:

$$L_{dir}^{out} = \frac{\Phi_{dir}^{\tau}}{\pi \cdot A_{out}} = \frac{2 \cdot L_{dir}^{in} \cdot A_{in}}{A_{out}} \cdot \int_{0}^{\pi/2} d\theta \cdot \sin\theta \cdot \cos\theta \cdot \eta(\theta) =$$

... = 2 \cdot L_{dir}^{in} \cdot C_{geo} \cdot \int_{0}^{\pi/2} d\theta \cdot \sin\theta \cdot \cos\theta \cdot \eta(\theta)
$$C_{geo} = \text{geometrical concentration ratio}$$

Non-ideal concentrator (absorption on the wall): $\alpha_{dir}(\theta_{in}, \varphi_{in}) \neq 0$

For a non ideal concentrator the output flux is non uniform and non Lambertian. The average output radiance is:

$$\overline{L}_{dir}^{out(\alpha)} = \frac{\Phi_{dir}^{\tau(\alpha)}}{\pi \cdot A_{out}} = 2 \cdot L_{dir}^{in} \cdot C_{geo} \cdot \int_{0}^{\pi/2} d\theta \cdot \sin\theta \cdot \cos\theta \cdot \eta_{\alpha}(\theta) = \dots = 2 \cdot L_{dir}^{in} \cdot C_{geo} \cdot \int_{0}^{\pi/2} d\theta \cdot \sin\theta \cdot \cos\theta \cdot \left[1 - \alpha(\theta) - \rho(\theta)\right]$$

In general we have: $\overline{L}_{dir}^{out(\alpha)} \leq L_{dir}^{out}$



CONCLUSIONS

We have discussed two classes of methods of characterization of solar concentrators: "direct" and "inverse", distinguishing the way the concentrator Is irradiated, if from the input or the output aperture.

The most important methods are the direct collimated method (DCM) and the inverse method (IM or ILLUME).

The DCM is largely used to obtain the transmission efficiency curve of the concentrator. It requires a lot of measurements at different angles of incidence of the collimated beam.

We have demonstrated here that also the IM method allows to obtain the same transmission efficiency curve of the concentrator.

However, we have also shown that the IM method is by far more convenient than DCM because it requires only one image to be recorded by a CCD in order to extract from it all the information about the angle-resolved optical efficiency of the concentrator.

THANKS FOR YOUR ATTENTION ! 56