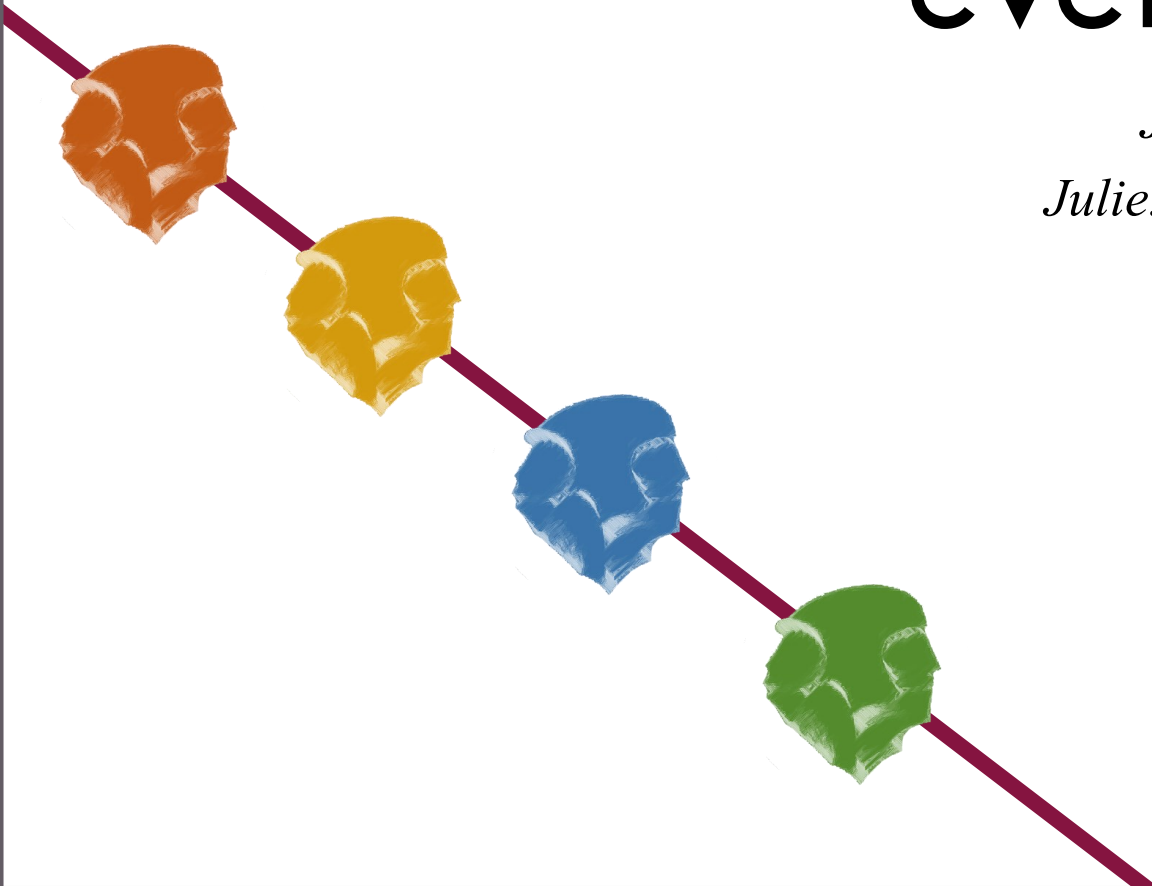


Homo sapiens everywhere

Julie Arnaud

Julie.arnaud@unife.it



Contenuti del corso:

- Lezione introduttive (Prof. ssa Arzarello e Prof. Sala)
- Metodologie di studio in Paleoantropologia
- Primi ominini parte 1 (dal *Sahelanthropus* al *Ardipithecus*)
- Primi ominini parte 2 (le Australopitecine)
- Il genere *Homo* in Africa
- L'out-of-Africa verso l'Asia
- Primo popolamento dell'Europa
- I Neanderthal
- Origine dell'*Homo sapiens*
- Le migrazione dell'*Homo sapiens*

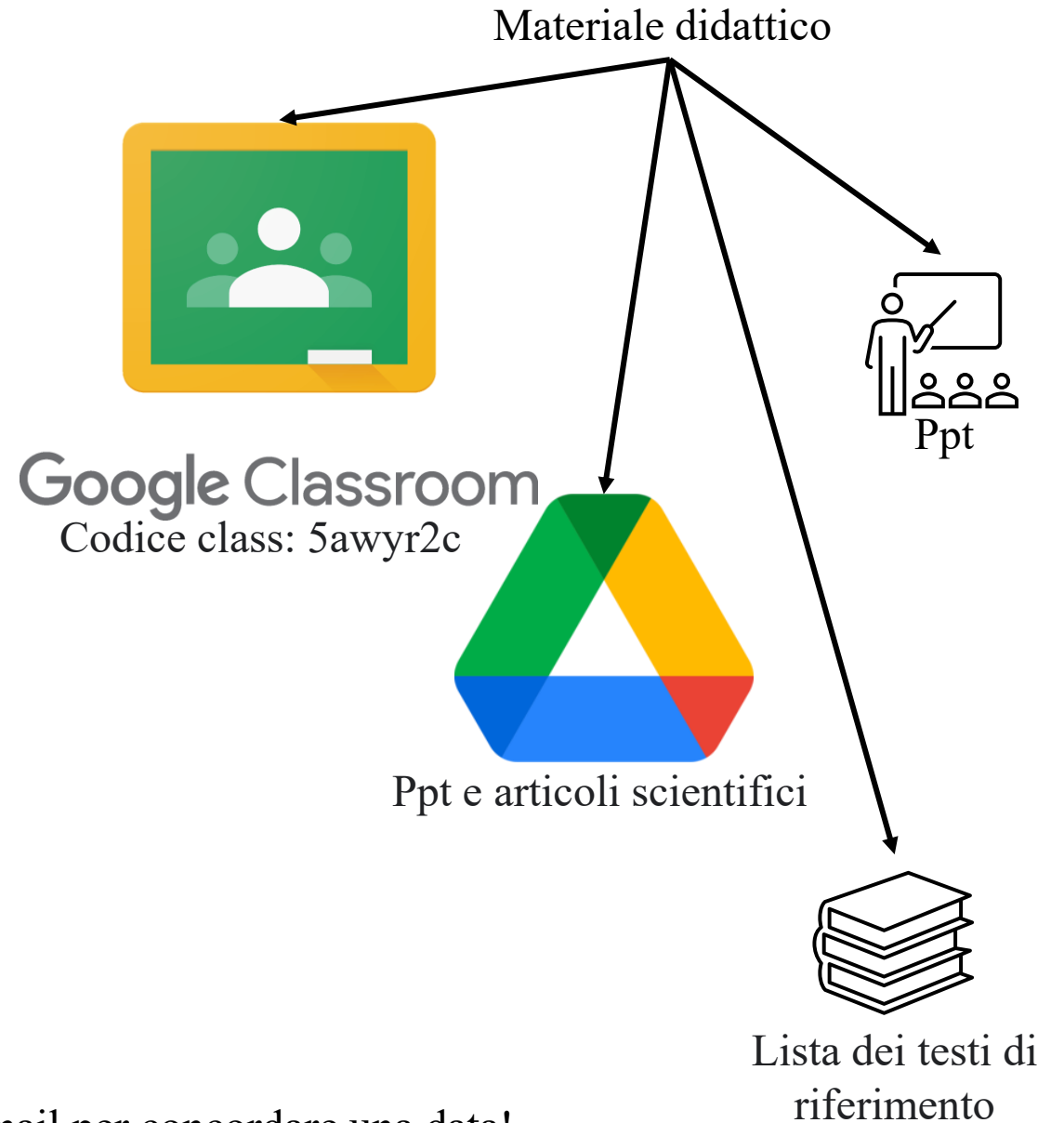
Laboratori (2/12 ○ 9/12 ○ 16/12):

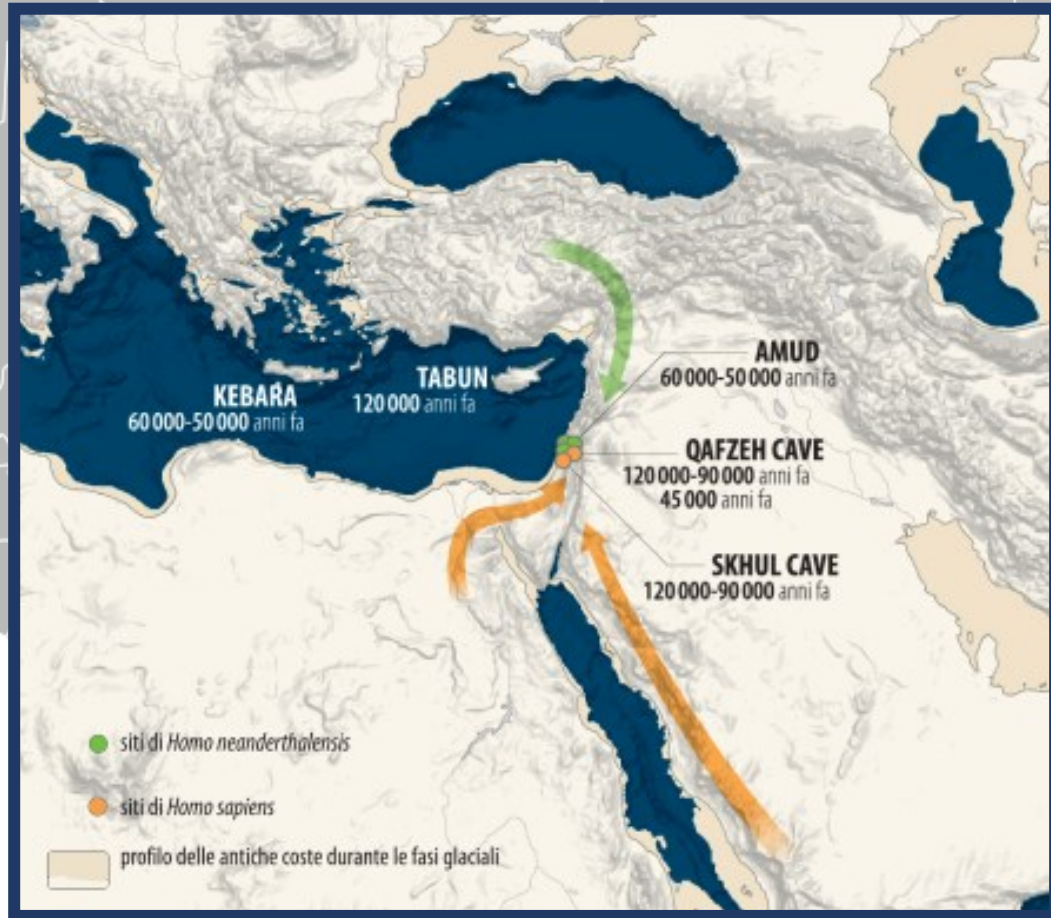
- Cranio (~3ore): anatomia ed evoluzione
- Mandibola (~3ore): anatomia, dimensione ed evoluzione

Esame:

Orale (o scritto se richiesto dall* student*)

L'esame si può fare anche **fuori appelli**, basta scrivermi una mail per concordare una data!

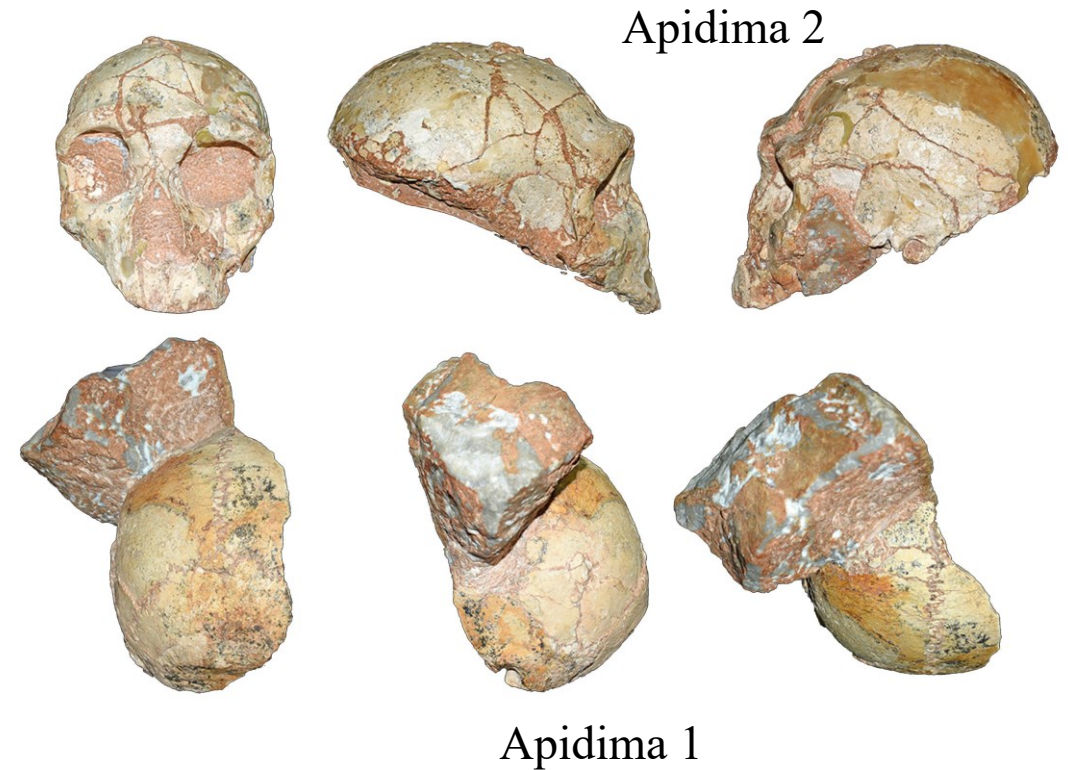




Apidima Cave fossils provide earliest evidence of *Homo sapiens* in Eurasia

Katerina Harvati^{1,2,3*}, Carolin Röding¹, Abel M. Bosman^{1,2}, Fotios A. Karakostis¹, Rainer Grün⁴, Chris Stringer⁵, Panagiotis Karkanas⁶, Nicholas C. Thompson^{1,3}, Vassilis Koutoulidis⁷, Lia A. Mouloupoulos⁷, Vassilis G. Gorgoulis^{8,9,10*} & Mirsini Kouloukoussa^{3,8}

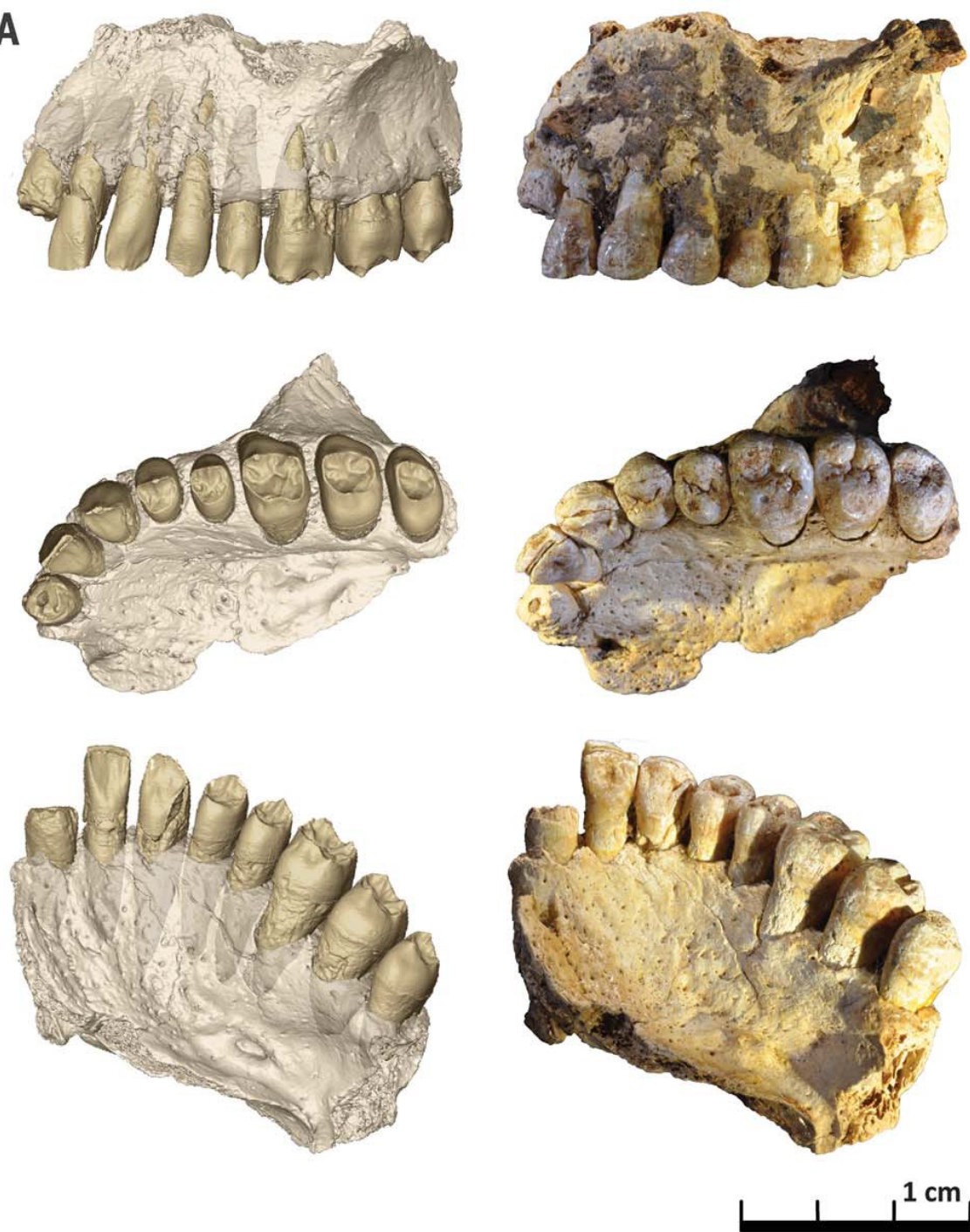
Two fossilized human crania (Apidima 1 and Apidima 2) from Apidima Cave, southern Greece, were discovered in the late 1970s but have remained enigmatic owing to their incomplete nature, taphonomic distortion and lack of archaeological context and chronology. Here we virtually reconstruct both crania, provide detailed comparative descriptions and analyses, and date them using U-series radiometric methods. **Apidima 2 dates to more than 170 thousand years ago and has a Neanderthal-like morphological pattern.** By contrast, **Apidima 1 dates to more than 210 thousand years ago and presents a mixture of modern human and primitive features.** These results suggest that **two late Middle Pleistocene human groups were present at this site—an early *Homo sapiens* population, followed by a Neanderthal population.** Our findings support multiple dispersals of early modern humans out of Africa and highlight the complex demographic processes that characterized Pleistocene human evolution and modern human presence in southeast Europe.



The earliest modern humans outside Africa

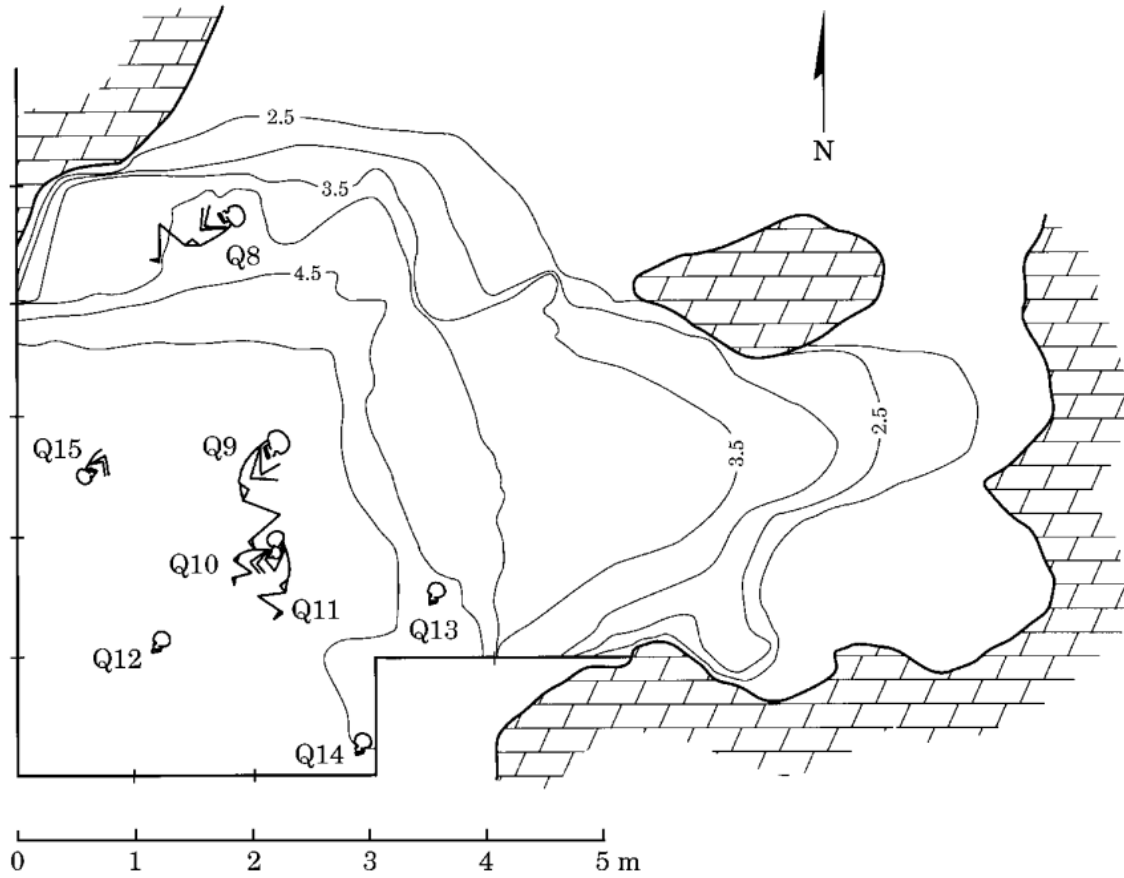
Israel Hershkovitz,^{1,2,*†} Gerhard W. Weber,^{3†} Rolf Quam,^{4,5,6†} Mathieu Duval,^{7,8} Rainer Grün,^{7,9} Leslie Kinsley,⁹ Avner Ayalon,¹⁰ Miryam Bar-Matthews,¹⁰ Helene Valladas,¹¹ Norbert Mercier,¹² Juan Luis Arsuaga,^{5,13} María Martínón-Torres,^{8,14} José María Bermúdez de Castro,^{8,14} Cinzia Fornai,^{3,15} Laura Martín-Francés,^{8,16} Rachel Sarig,^{2,17} Hila May,^{1,2} Viktoria A. Krenn,^{3,15} Viviane Slon,¹ Laura Rodríguez,^{5,18,19} Rebeca García,^{5,18} Carlos Lorenzo,^{20,21} Jose Miguel Carretero,^{5,18} Amos Frumkin,²² Ruth Shahack-Gross,²³ Daniella E. Bar-Yosef Mayer,^{24,25} Yaming Cui,²⁶ Xinzhi Wu,²⁶ Natan Peled,²⁷ Iris Groman-Yaroslavski,²⁸ Lior Weissbrod,²⁸ Reuven Yeshurun,²⁸ Alexander Tsatskin,²⁸ Yossi Zaidner,^{28,29} Mina Weinstein-Evron²⁸

To date, the earliest modern human fossils found outside of Africa are dated to around 90,000 to 120,000 years ago at the Levantine sites of Skhul and Qafzeh. A maxilla and associated dentition recently discovered at Misliya Cave, Israel, was dated to **177,000 to 194,000** years ago, **suggesting that members of the *Homo sapiens* clade left Africa earlier than previously thought.** This finding changes our view on modern human dispersal and is consistent with recent genetic studies, which have posited the possibility of an earlier dispersal of *Homo sapiens* around 220,000 years ago. The Misliya maxilla is associated with full-fledged Levallois technology in the Levant, suggesting that the emergence of this technology is linked to the appearance of *Homo sapiens* in the region, as has been documented in Africa.



Qafzeh, Israel 90-100 000 y BP

Human remains (NMI 25 individuals)
Mousterian assemblage

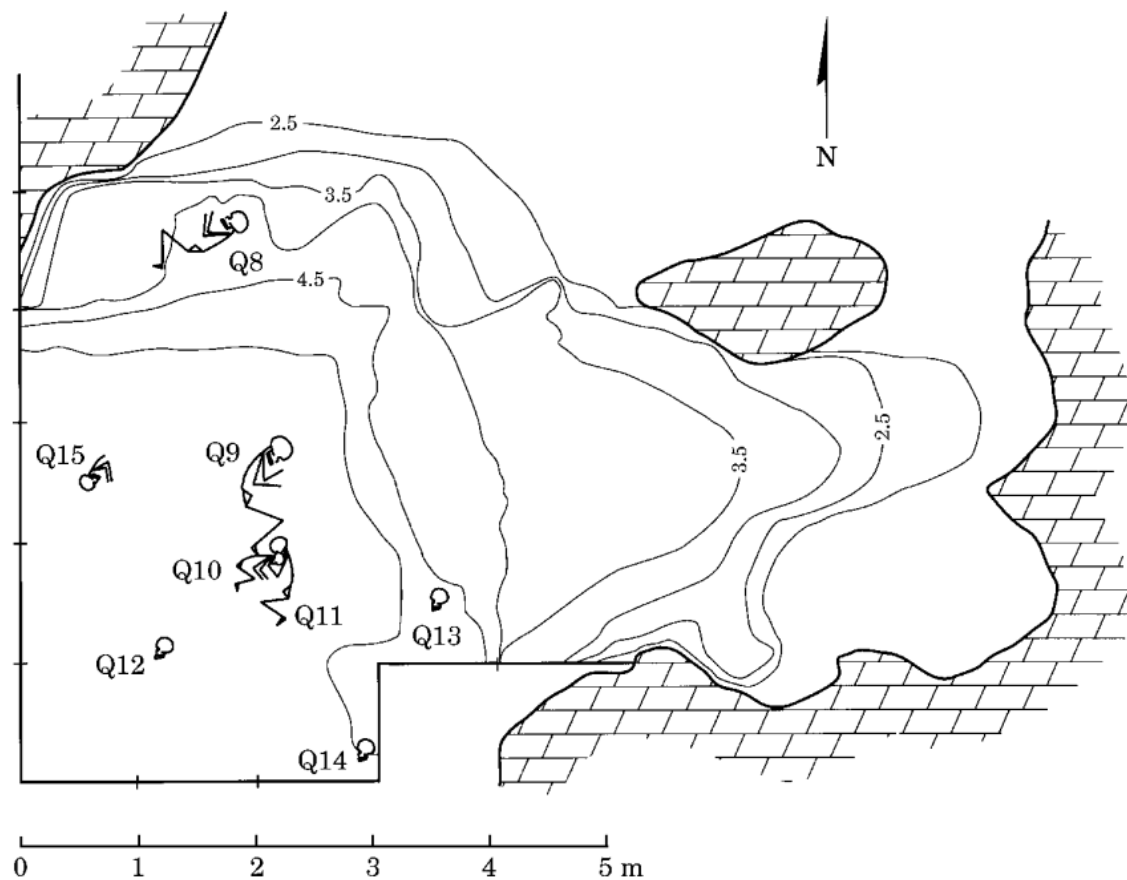


(Vandermeersch, 1981)

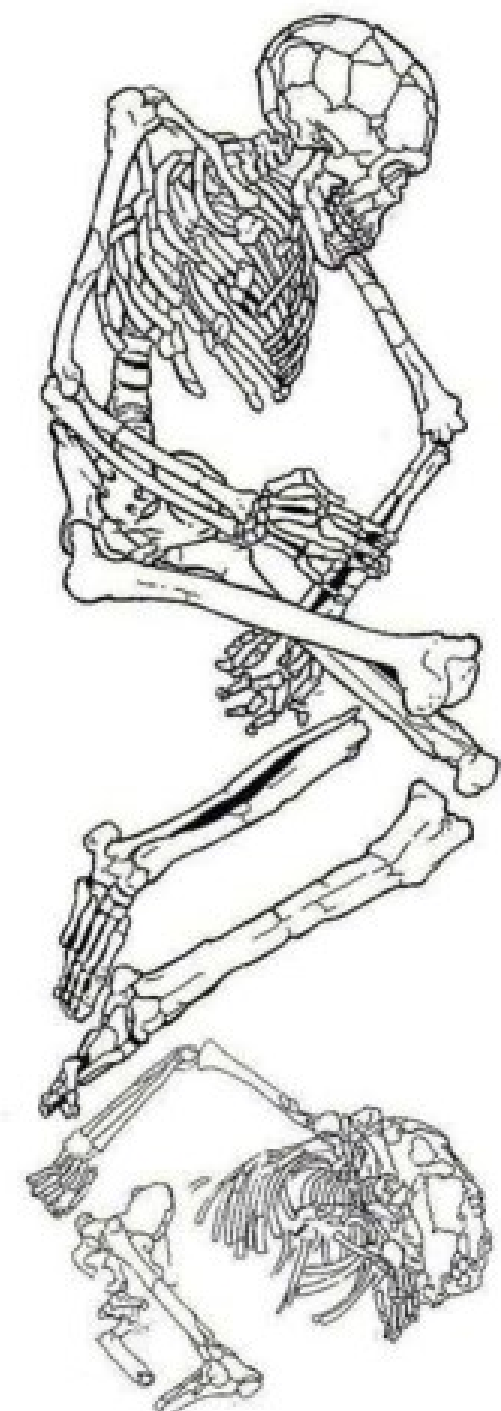


Qafzeh, Israel 90-100 000 y BP

Multiple burial



(Vandermeersch, 1981)



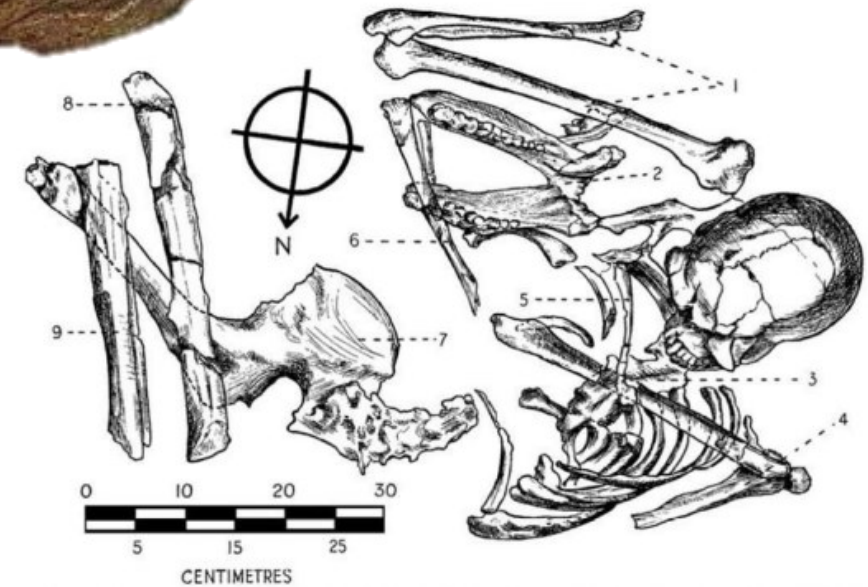
Skhul - Israel (100.000 BP)

- Mousterian lithic industries
- 10 complete skeleton
- Archaic morphology (attributed at the beginning to *Homo neanderthalensis*)



Skhul IV

Skhul V, Israel
100 000 y BP



2. Plan of the contracted burial of a tall male, Skhul V. 1, right arm; 2, Pig's mandible; 3, dorsal vertebrae; 4, left scapula and humerus; 5, left clavicle; 6, left radius; 7, right ilium; 8, left femur; 9, left tibia and fibula.

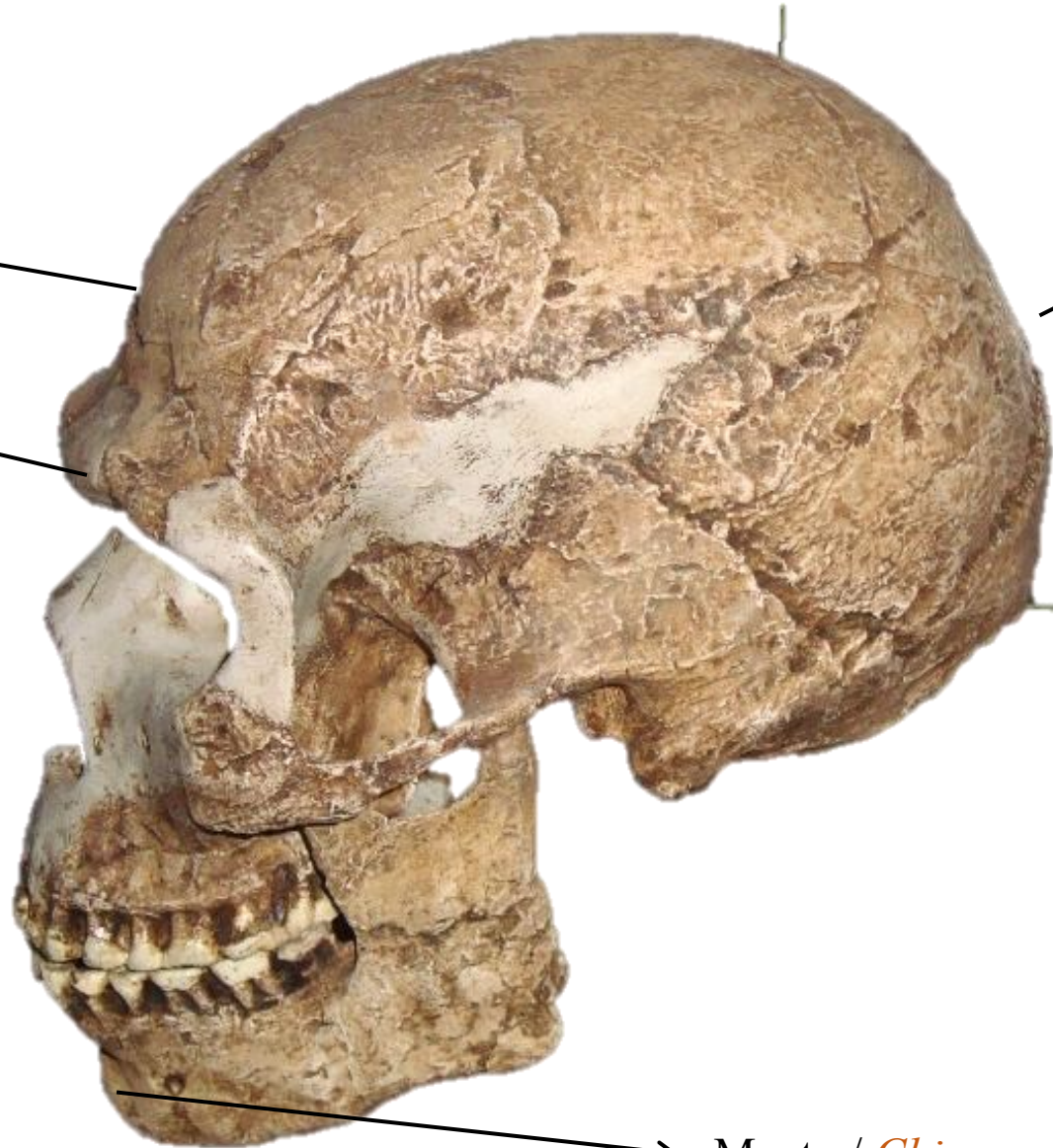
(Garod & Bate, 1937)

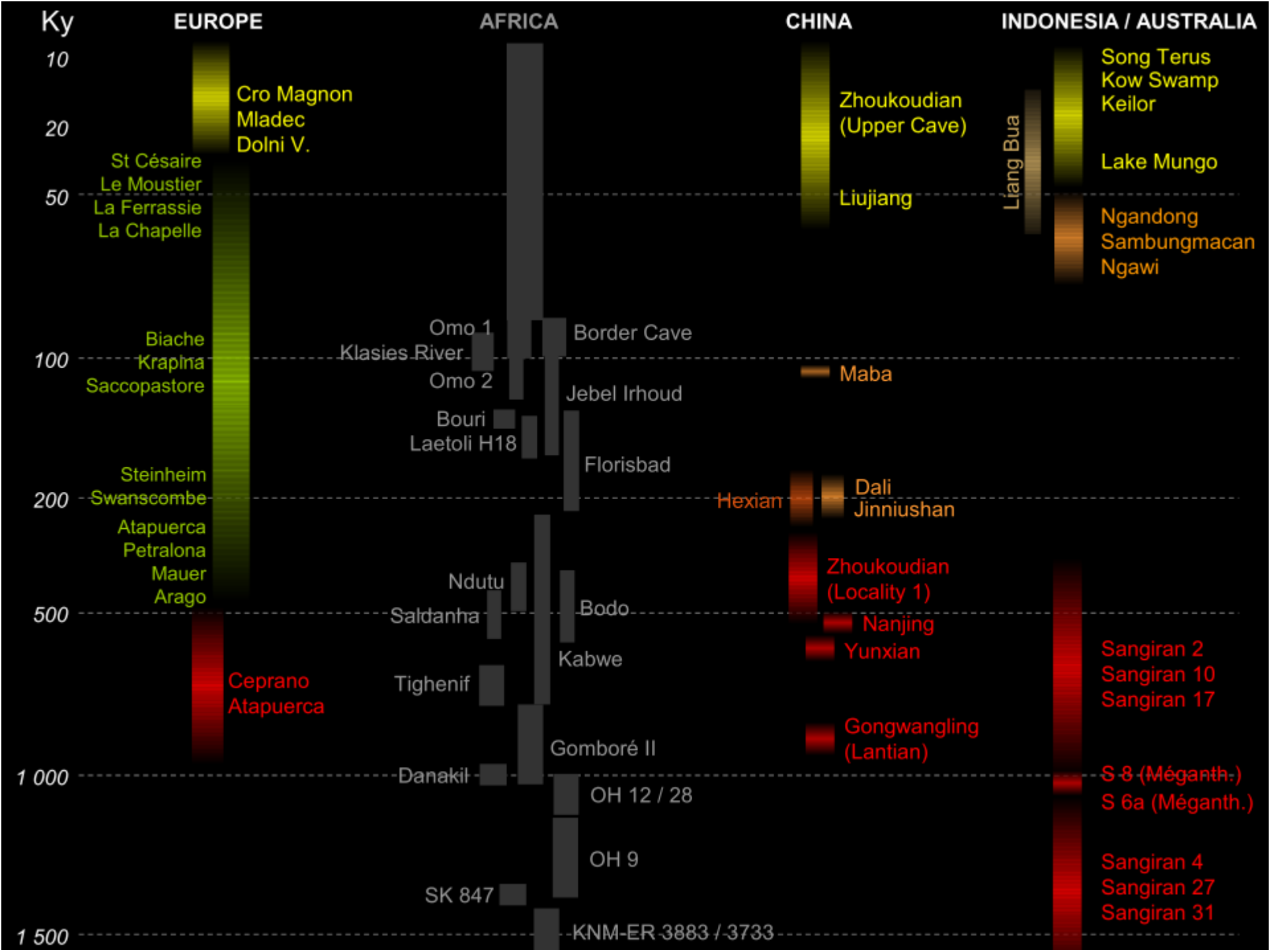
Fronte convessa /
Convexe fronthead

Assenza del toro sopra
orbitario /
Lack of supra-orbital torus

Occipitale arrotondato /
Rounded occipital

Mento / *Chin*





Fossil record

Homo erectus

East Asia (China)

Southeast Asia

mainland

insular (Java)

H. erectus /archaic *H. sapiens*

East Asia (China)

Southeast Asia

insular (Java)

fossil *Homo sapiens*

East Asia

Southeast Asia

Australia

Pacific



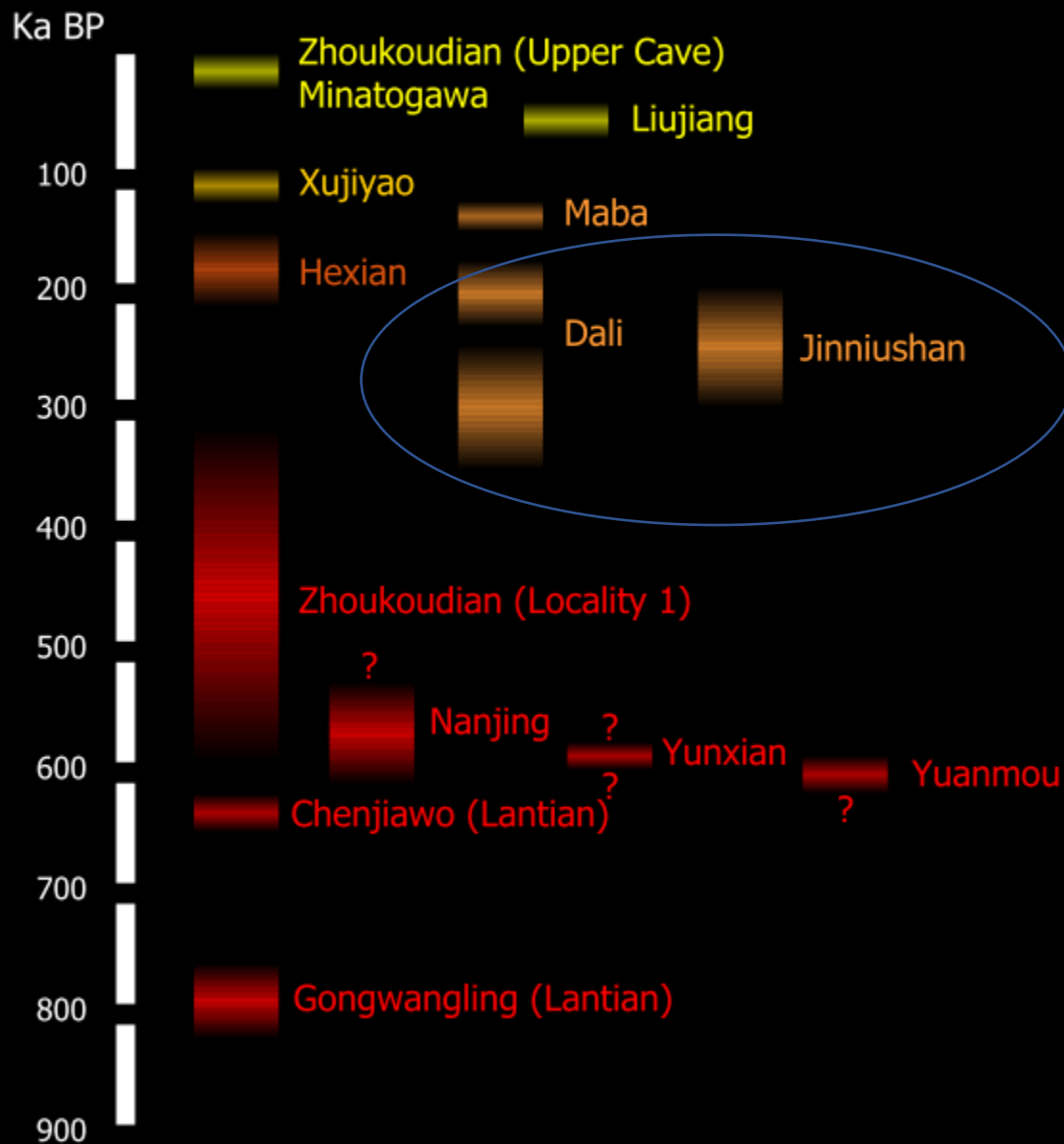
Chronology of Chinese human fossils

Anatomically modern
Homo sapiens

“Archaic” *Homo sapiens*
transition forms?

~*Homo heidelbergensis*?

Homo erectus



Dali, China

180 – 230 ky BP (U-Th on tooth)

250-350 ky BP (ESR – U-Th on tooth)

~550 ky BP (Geomorphology, Hu et al., 2020)



Jinniushan, China

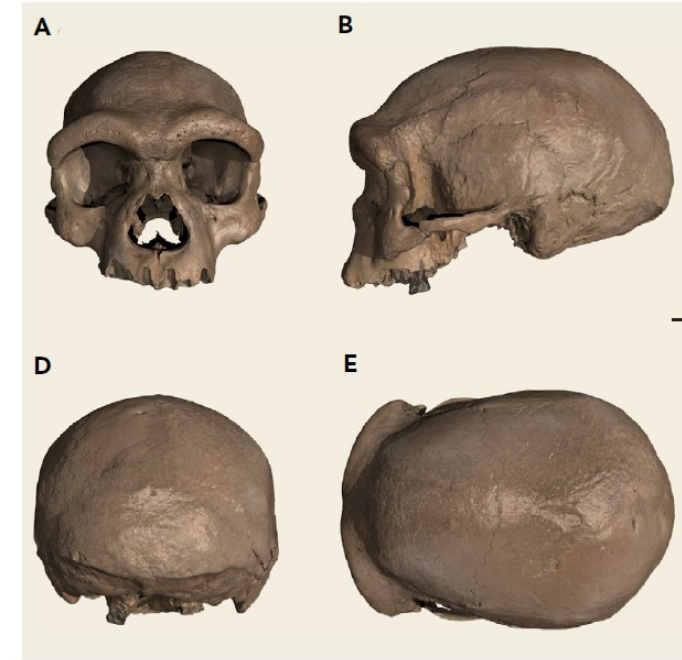
260 000 y B.P.



(Rosenberg et al., 2006)

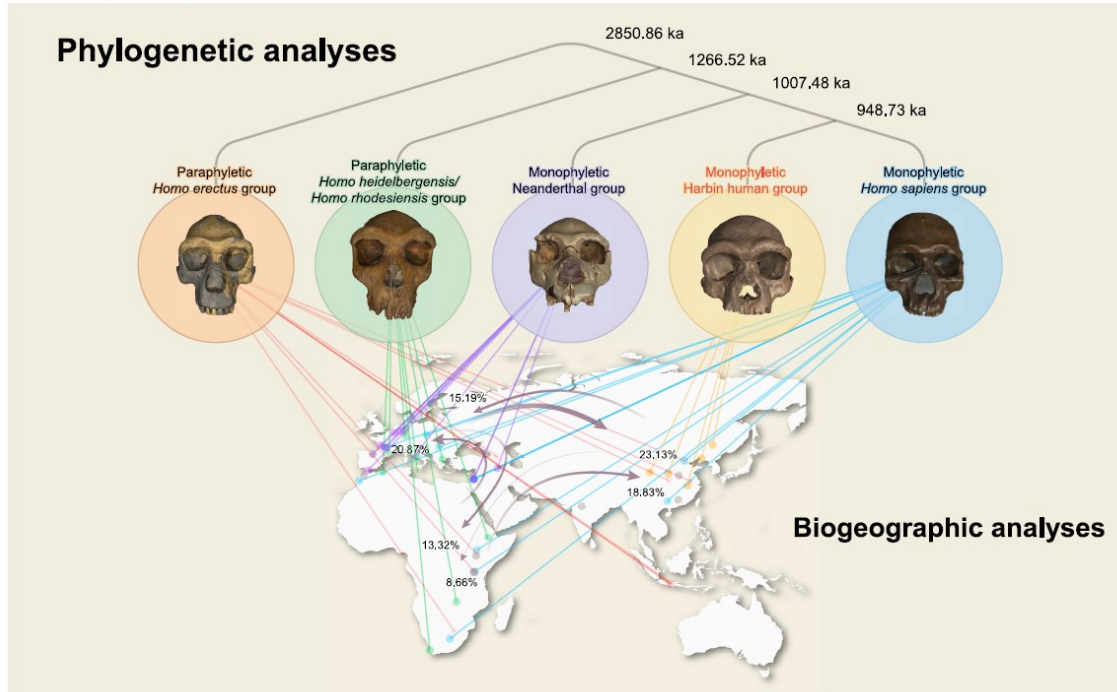
Harbin, China

146 000 y B.P.



Mosaic of *H. erectus* and *H. sapiens* features
« archaic » *H. sapiens* = *H. rhodesiensis*, *H. heidelbergensis*?

Graphical abstract



It differs from all the other named *Homo* species by presenting a combination of features, such as long and low cranial vault, a wide and low face, large and almost square orbits, gently curved but massively developed supra-orbital torus, flat and low cheekbones with a shallow canine fossa, and a shallow palate with thick alveolar bone supporting very large molars. The excellent preservation of the Harbin cranium advances our understanding of several less-complete late Middle Pleistocene fossils from China, which have been interpreted as local evolutionary intermediates between the earlier species *Homo erectus* and later *H. sapiens*. Phylogenetic analyses based on parsimony criteria and Bayesian tip-dating suggest that the **Harbin cranium and some other Middle Pleistocene human fossils from China, such as those from Dali and Xiahe, form a third East Asian lineage, which is a part of the sister group of the *H. sapiens* lineage.** Our analyses of such morphologically distinctive archaic human lineages from Asia, Europe, and Africa suggest that the diversification of the *Homo* genus may have had a much deeper timescale than previously presumed. **Sympatric isolation of small populations combined with stochastic long-distance dispersals is the best fitting biogeographical model**

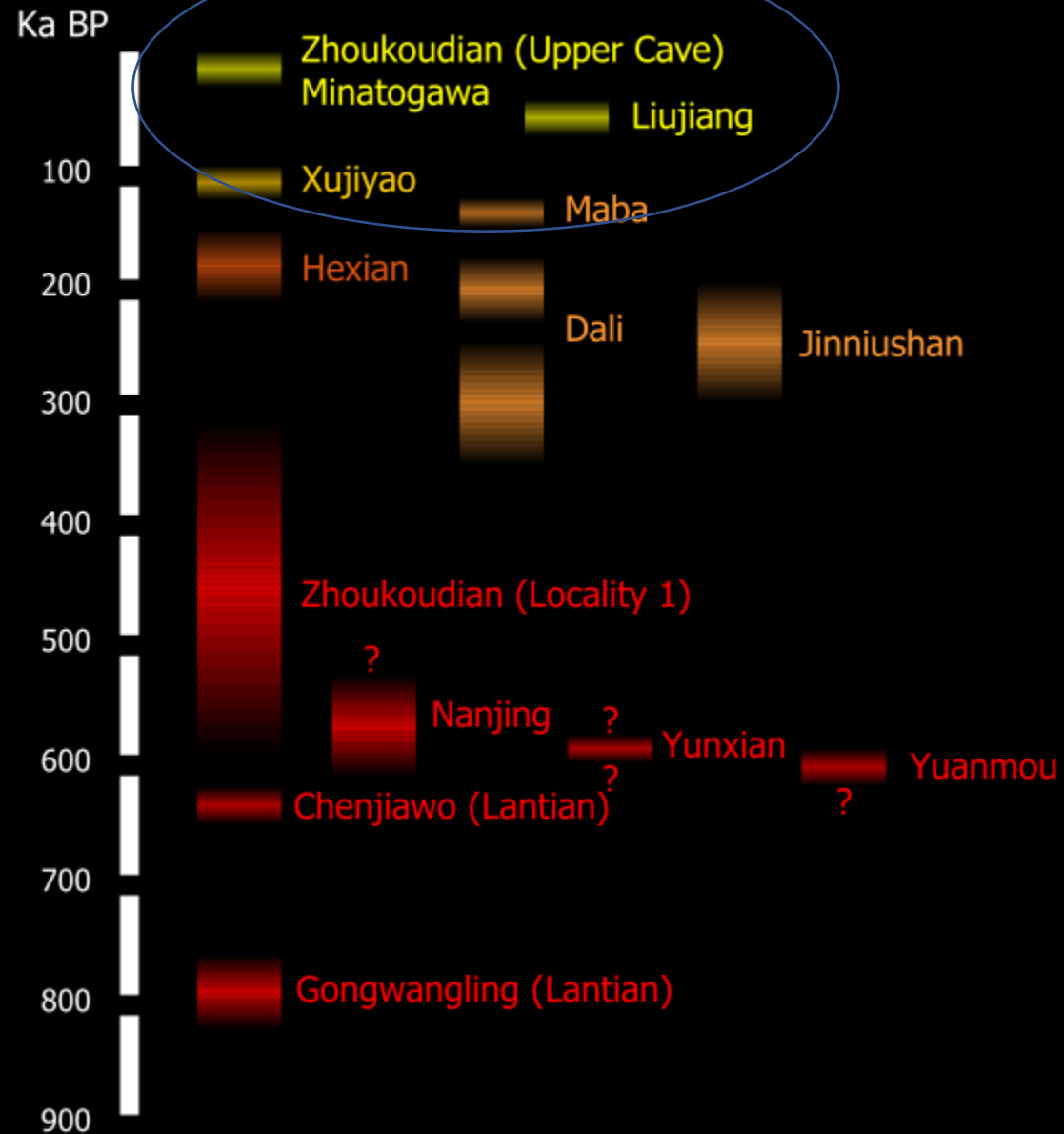
Chronology of Chinese human fossils

Anatomically modern
Homo sapiens

“Archaic” *Homo sapiens*
transition forms?

~*Homo heidelbergensis*?

Homo erectus



The earliest unequivocally modern humans in southern China

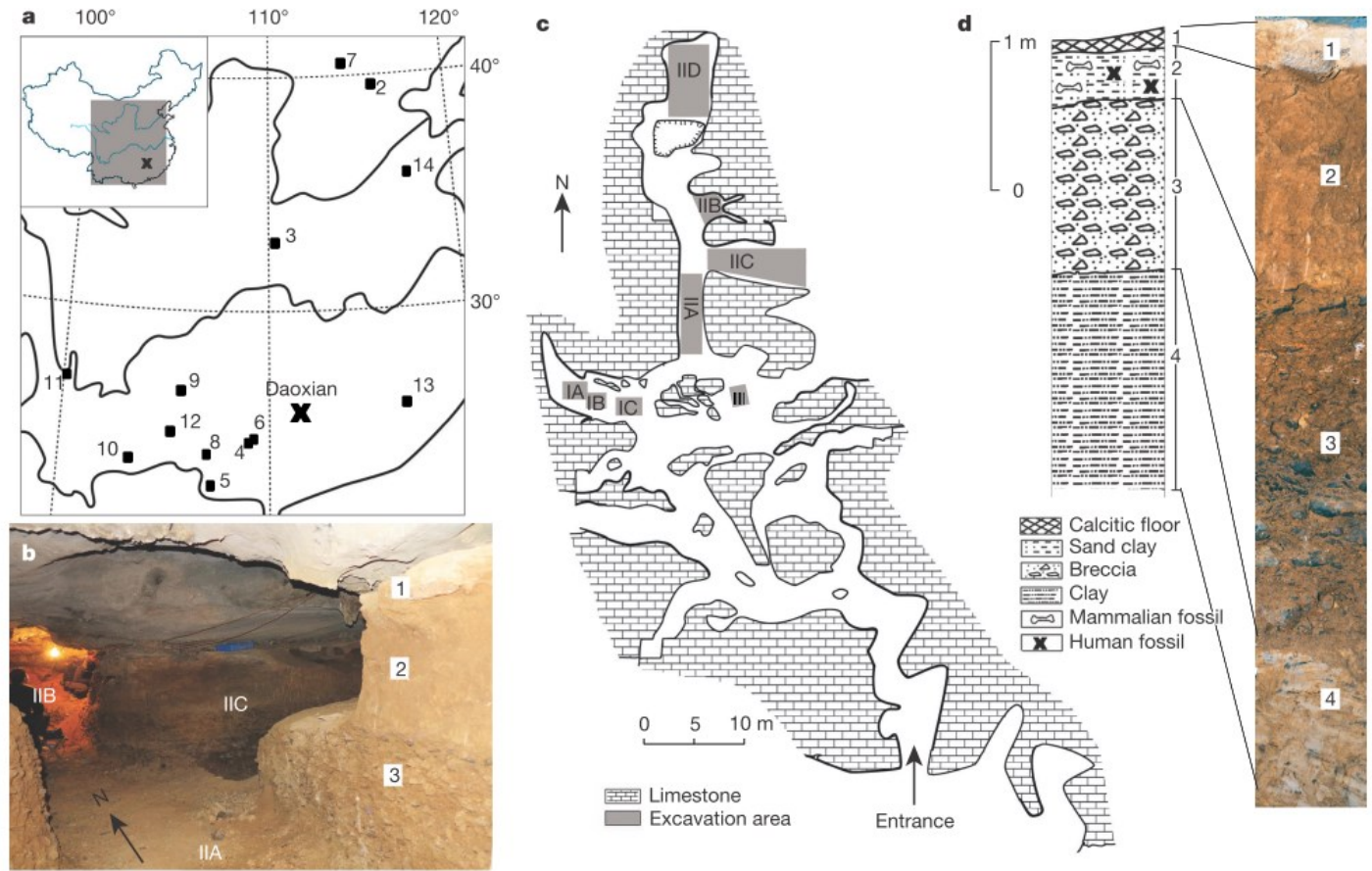
Wu Liu^{1*}, Maria Martínón-Torres^{2,3,4*}, Yan-jun Cai⁵, Song Xing¹, Hao-wen Tong¹, Shu-wen Pei¹, Mark Jan Sier^{4,6,7}, Xiao-hong Wu⁸, R. Lawrence Edwards⁹, Hai Cheng¹⁰, Yi-yuan Li¹¹, Xiong-xin Yang¹², José María Bermúdez de Castro^{2,4} & Xiu-jie Wu^{1*}

Fuyan Cave in Daoxian

47 denti umani

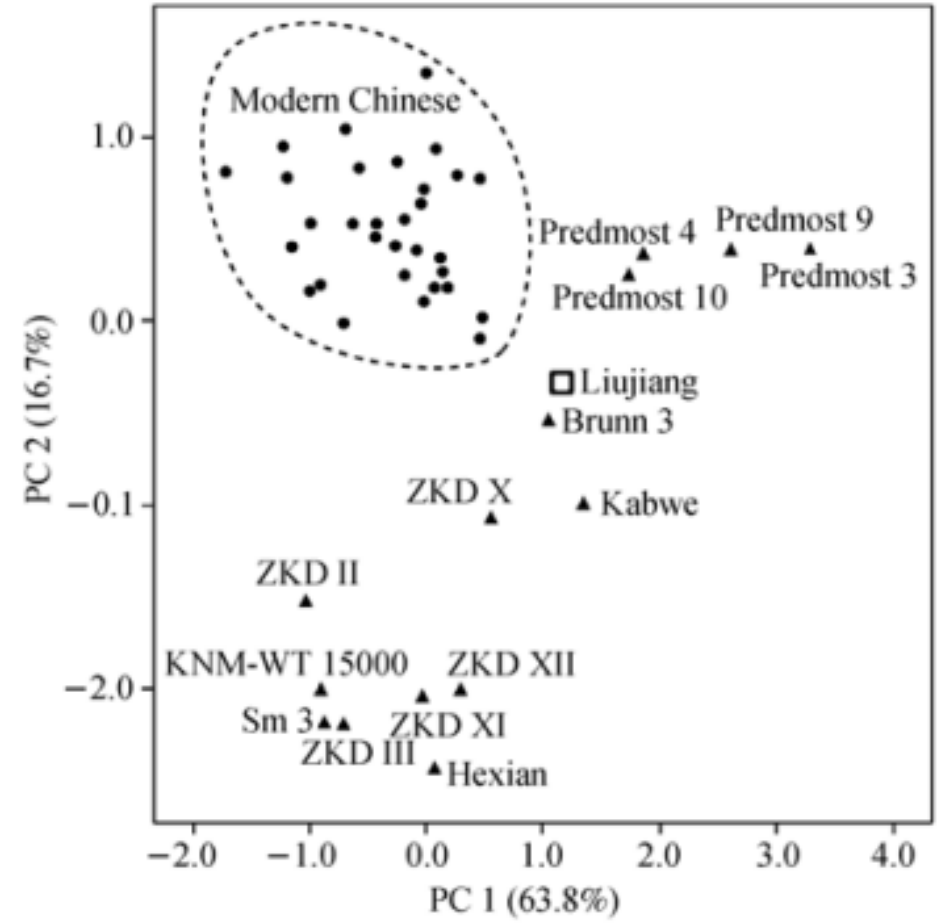
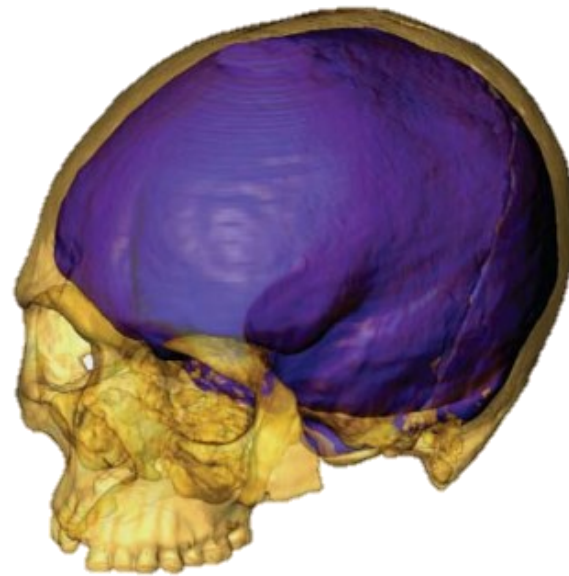
80 – 120 000 y BP

Più derivati che tutti i altri umani anatomicamente moderni, similarietà con il umani del Pleistocene medio, superiore e anche di tempi moderni.



“I risultati sono rilevanti per l’indagine sulle ragioni della relativamente tardiva entrata di *H. sapiens* in Europa. Degli umani moderni “completi” erano già presenti in Cina almeno 80.000 anni fa, non ci sono però evidenze dell’entrata in Europa prima di 45.000 anni fa. Questo potrebbe indicare che *H. neanderthalensis* fosse stato un’ulteriore **barriera ecologica** per gli uomini moderni, che sono potuti entrare in Europa solo quando la scomparsa dei Neanderthals era già iniziata”.

Liujiang, China
60 – 100 000 y BP?



(Wu et al., 2008)

Zhoukoudian Upper Cave, China
20 – 30 000 y BP





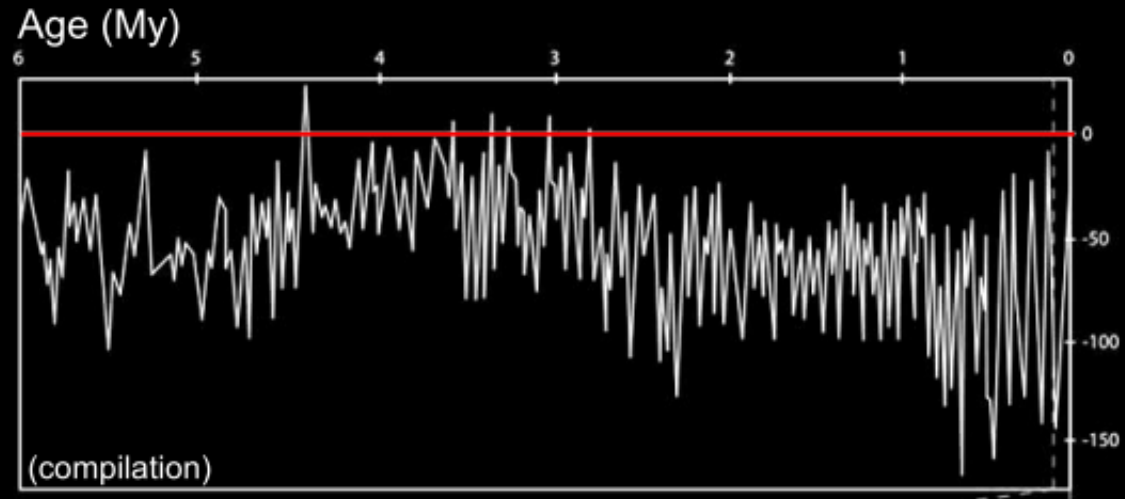
Toward Southeast Asia and
Australia

How did they arrive ?

Homo erectus

Glacial / interglacial
=> Sea level changes

- insular periods



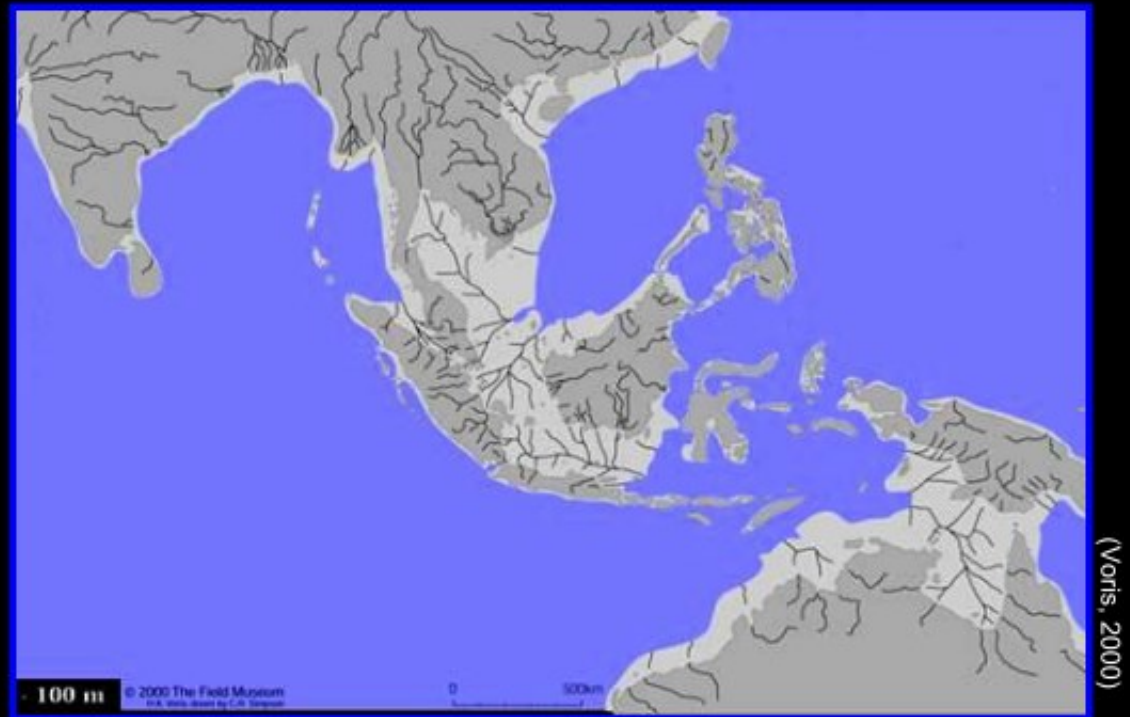
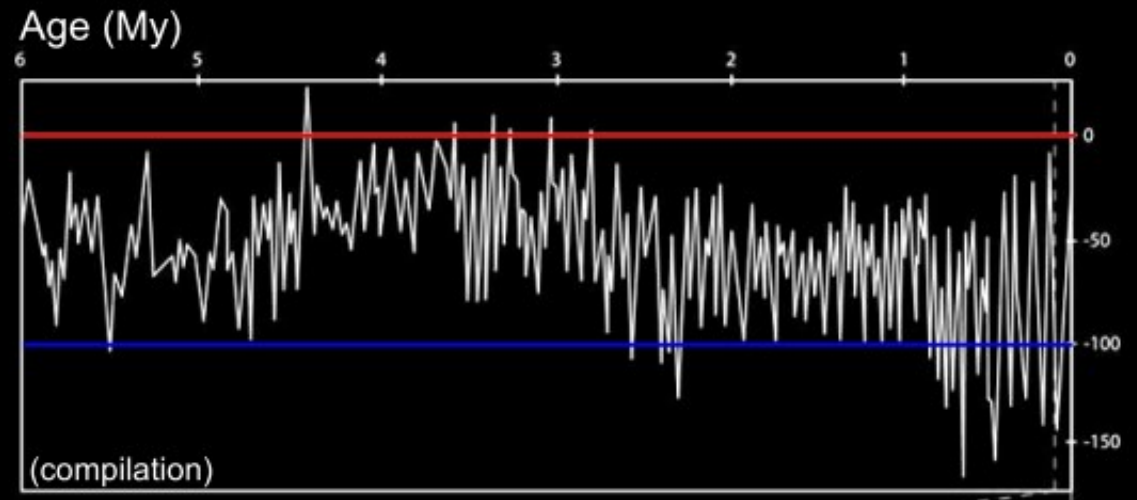
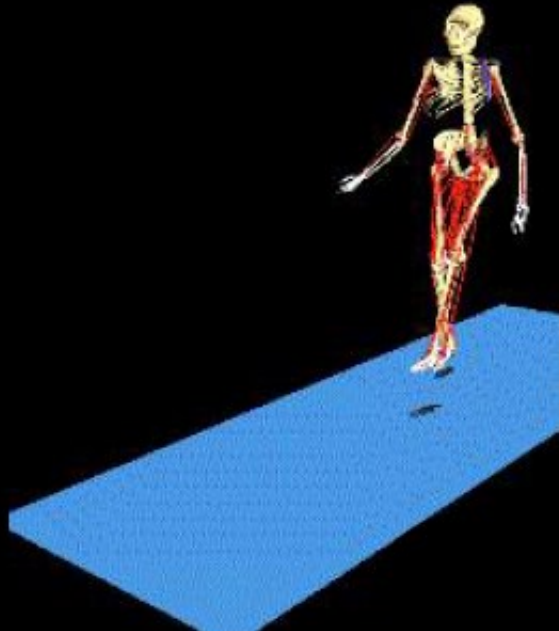
How did they arrive ?

Homo erectus

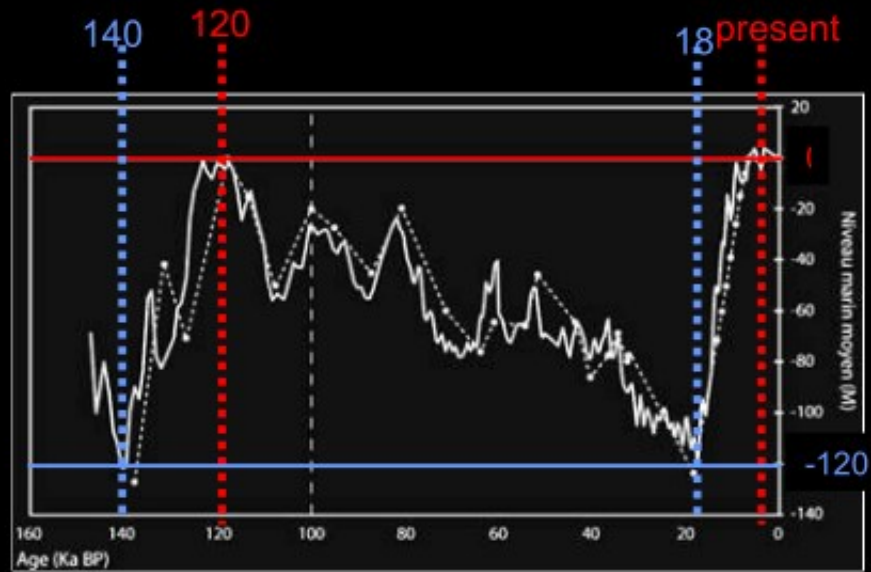
Glacial / interglacial
=> Sea level changes

- insular periods

- continental periods



Homo sapiens is able to navigate for at least 60 000 years



d'après Chappell *et al.* (1996) ; Pillans *et al.* (1998) et Voris (2002)

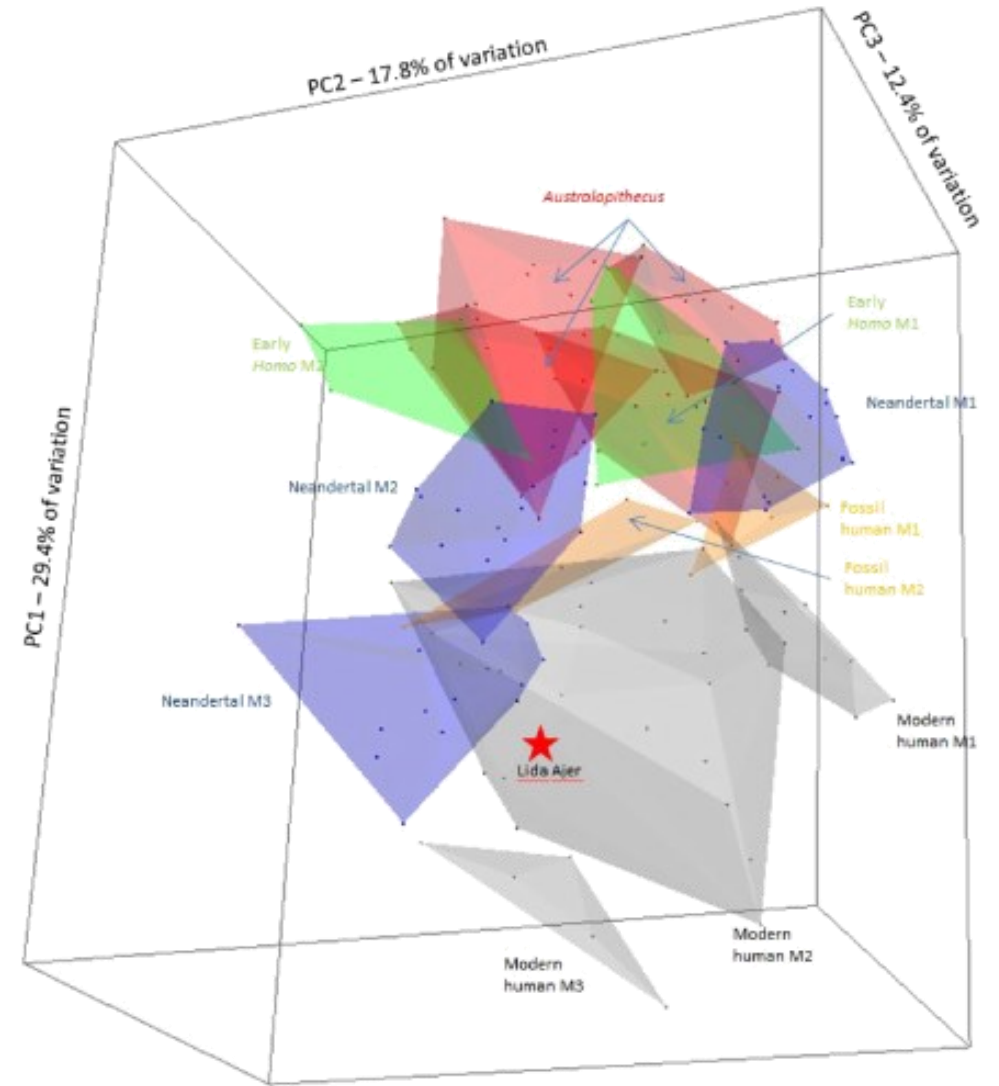
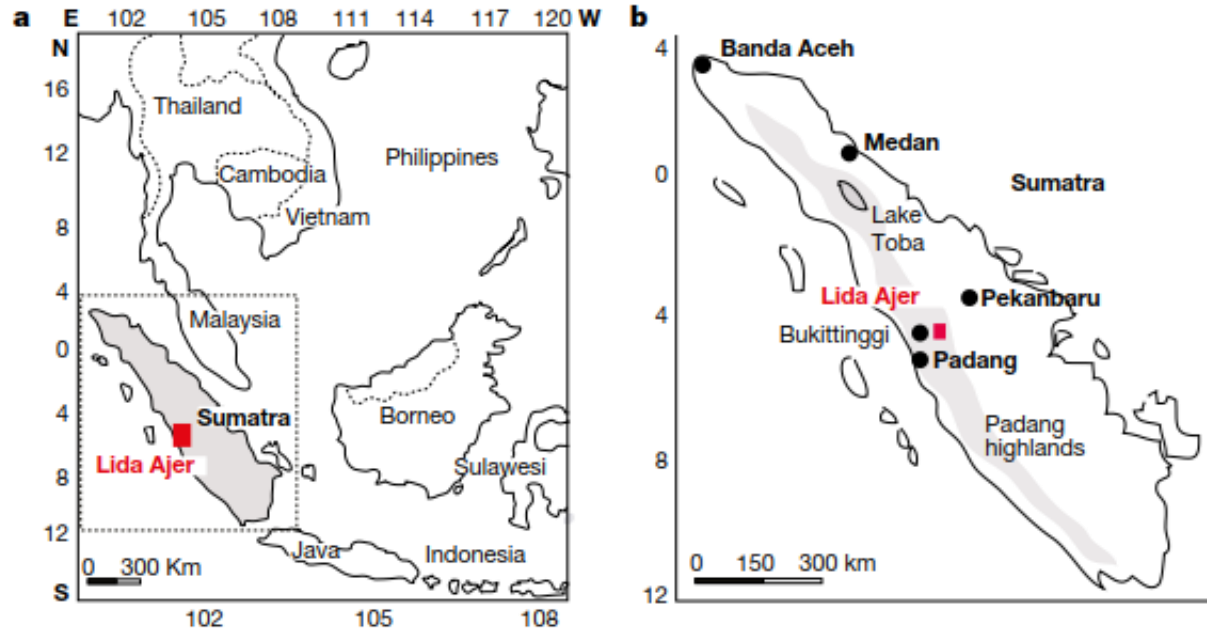
Homo species in Indonesia before the arrival of *Homo sapiens*



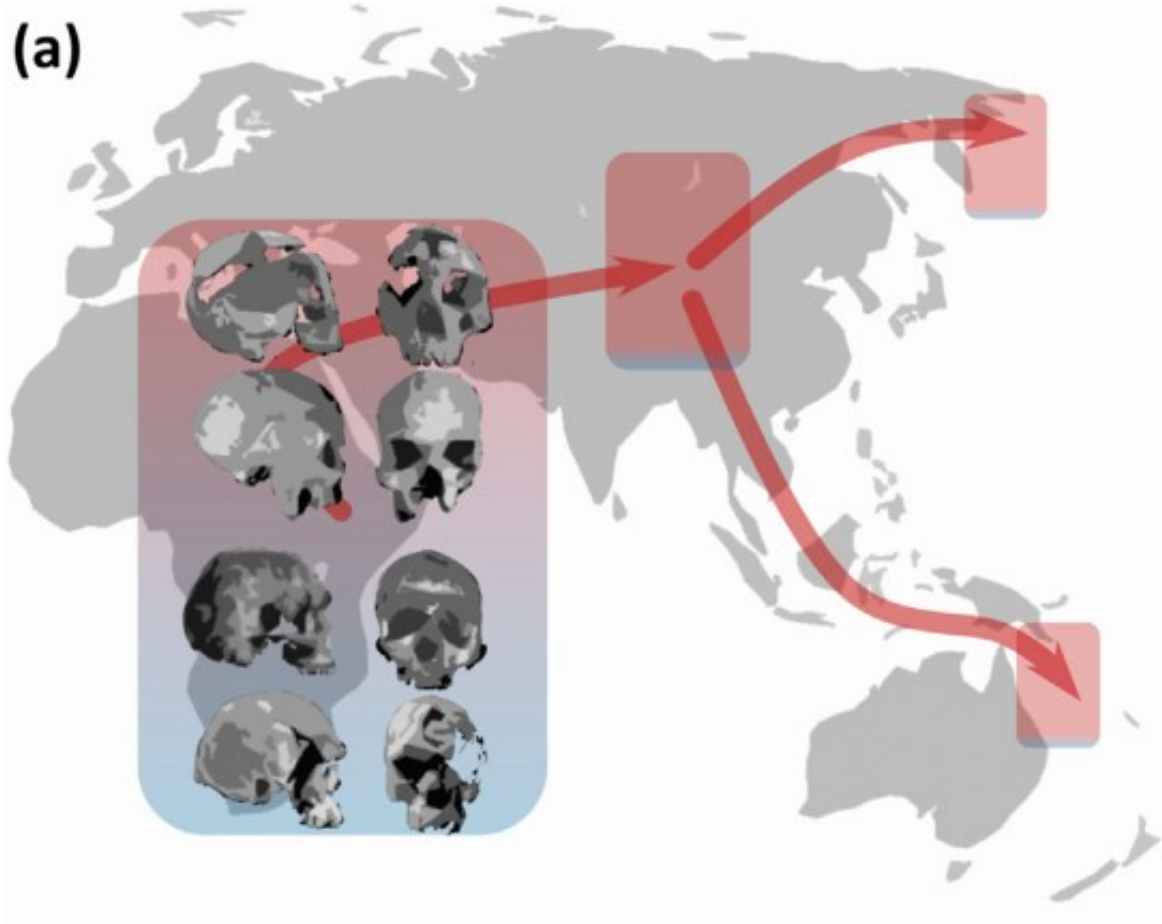
(Cavalli Sforza & Pievani, 2012)

An early modern human presence in Sumatra 73,000–63,000 years ago

K. E. Westaway¹, J. Louys², R. Due Awe^{3,†}, M. J. Morwood^{4,‡}, G. J. Price⁵, J.-x. Zhao⁵, M. Aubert⁶, R. Joannes-Boyau⁷, T. M. Smith^{8,9}, M. M. Skinner^{10,11}, T. Compton¹², R. M. Bailey¹³, G. D. van den Bergh⁴, J. de Vos¹⁴, A. W. G. Pike¹⁵, C. Stringer¹², E. W. Saptomo³, Y. Rizal¹⁶, J. Zaim¹⁶, W. D. Santoso¹⁶, A. Trihascaryo¹⁶, L. Kinsley¹⁷ & B. Sulistyanto³

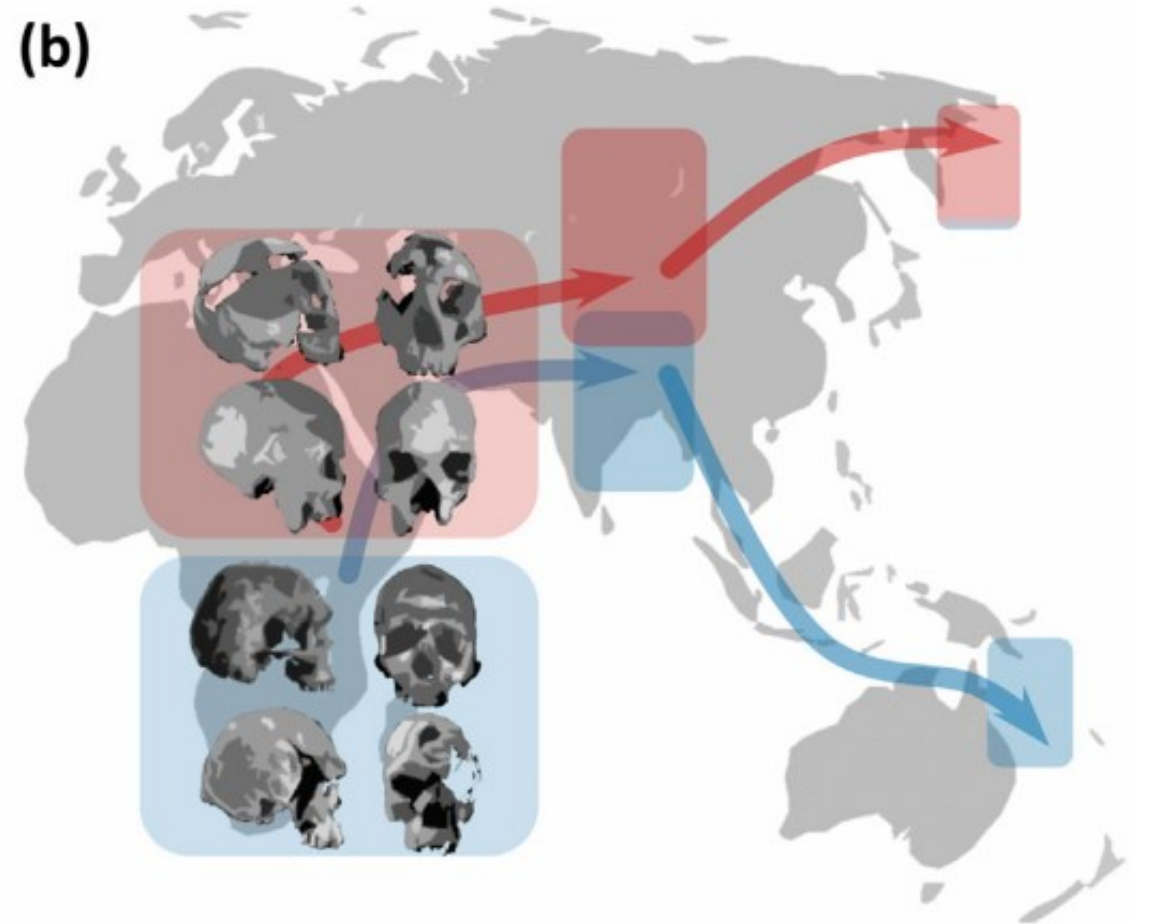


(a)



Single dispersal

(b)



Multiple dispersal

MD



MDI



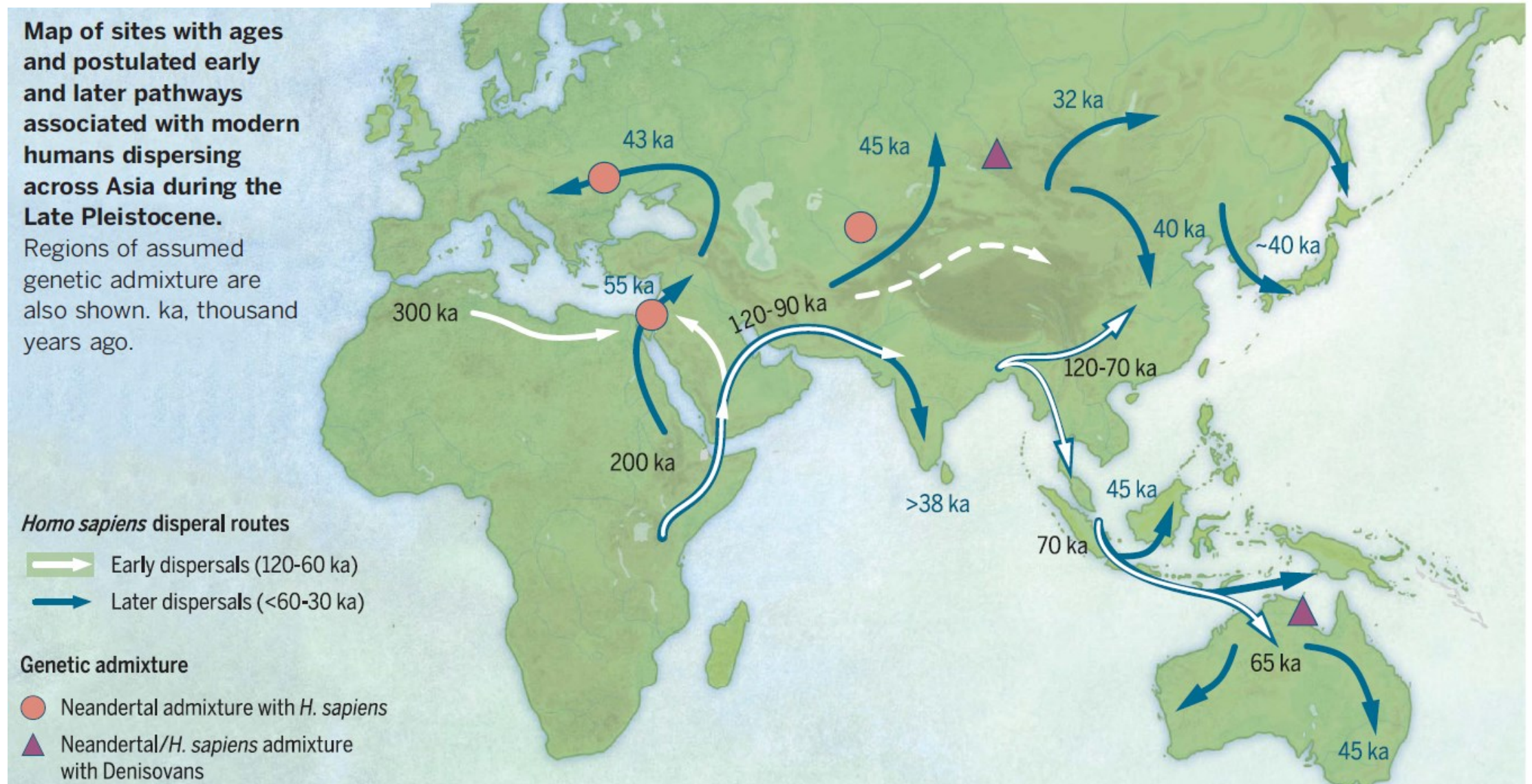
Recent genetic studies and accumulating archaeological and paleontological evidence suggest a « southern route » dispersal into Asia in the late Middle Pleistocene, followed by a separate dispersal into northern Eurasia. Australo-Melanesian populations are descendants of an early dispersal whereas other Asian populations are descended from, or highly admixed with, members of a subsequent migration event.



(Cavalli Sforza & Pievani, 2012)

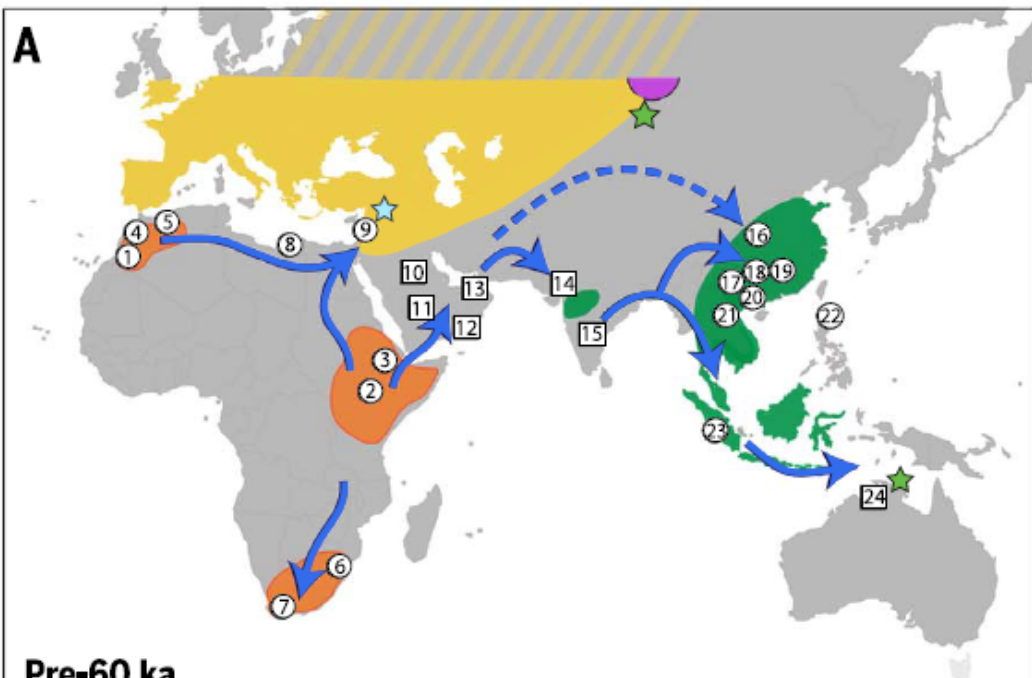
On the origin of modern humans: Asian perspectives

Christopher J. Bae,^{1*} Katerina Douka,^{2,3*} Michael D. Petraglia^{2,4*}



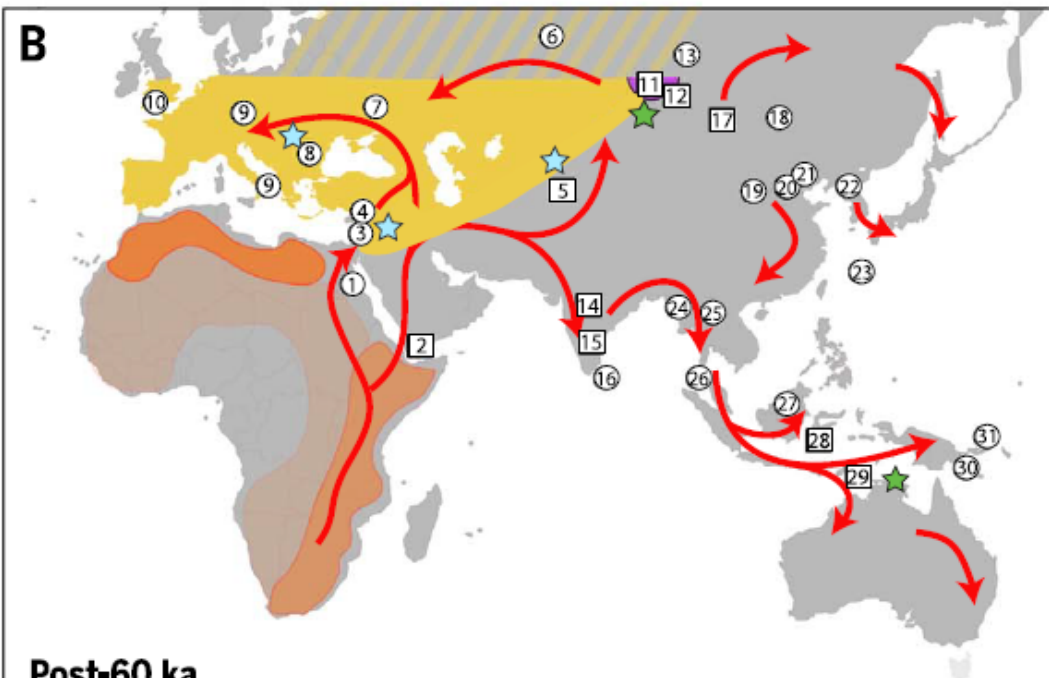
On the origin of modern humans: Asian perspectives

Christopher J. Bae,^{1*} Katerina Douka,^{2,3*} Michael D. Petraglia^{2,4*}



Pre-60 ka

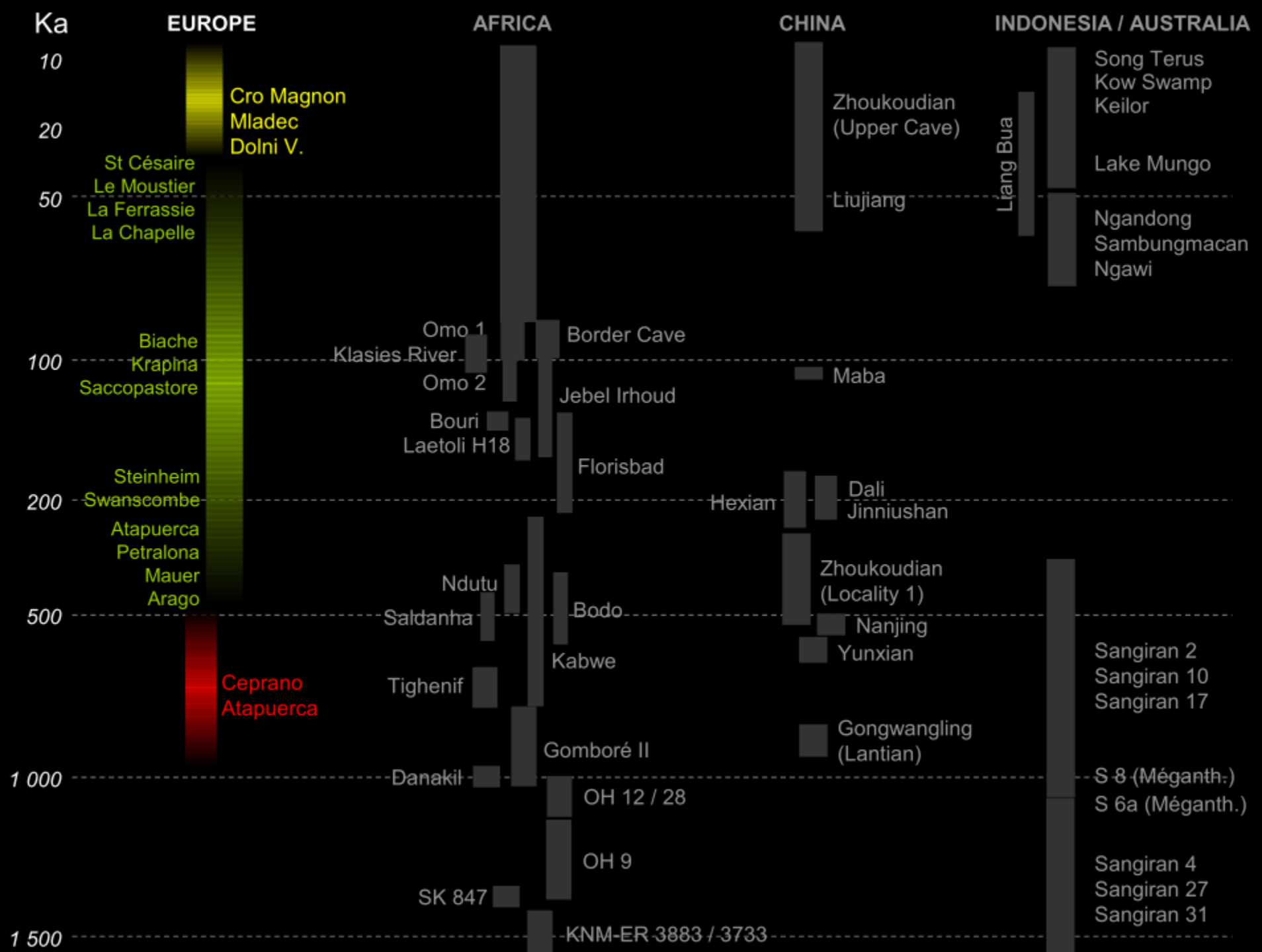
- | | | |
|--|-------------------------------------|---|
| 1 Jebel Irhoud (315 ka) | 8 Haua Fteah (150-70 ka) | 17 Luna (120-70 ka) |
| 2 Omo Kibish (195 ka) | 9 Skhül/ Qafzeh (120/ 90 ka) | 18 Liujiang (130-70 ka) |
| 3 Herto (160 ka) | 10 Jebel Qattar (75 ka) | 19 Fuyan (120-80 ka) |
| 4 Dar es-Soltan/ El Harhoura/
Contrebandiers (120-90 ka) | 11 Mundafan (100-80 ka) | 20 Zhiren (100 ka) |
| 5 Taforalt / Ifri n'Ammar/ Rhafas
(>100-70 ka) | 12 Aybut Al Auwal (105 ka) | 21 Tam Pa Ling (63-46 ka) |
| 6 Border Cave (75 ka) | 13 Jebel Faya C (125 ka) | 22 Callao (67 ka) |
| 7 Die Kelders/ Blombos Cave/
Klasies River Mouth (>100-75 ka) | 14 Katoati/ 16R Dune (96 ka/ 80 ka) | 23 Lida Ajer (73-63 ka) |
| | 15 Jwalapuram (85-75 ka) | 24 Madjedbebe
(Malakunanja II) (65 ka) |
| | 16 Huanglong (100-80 ka) | |



Post-60 ka

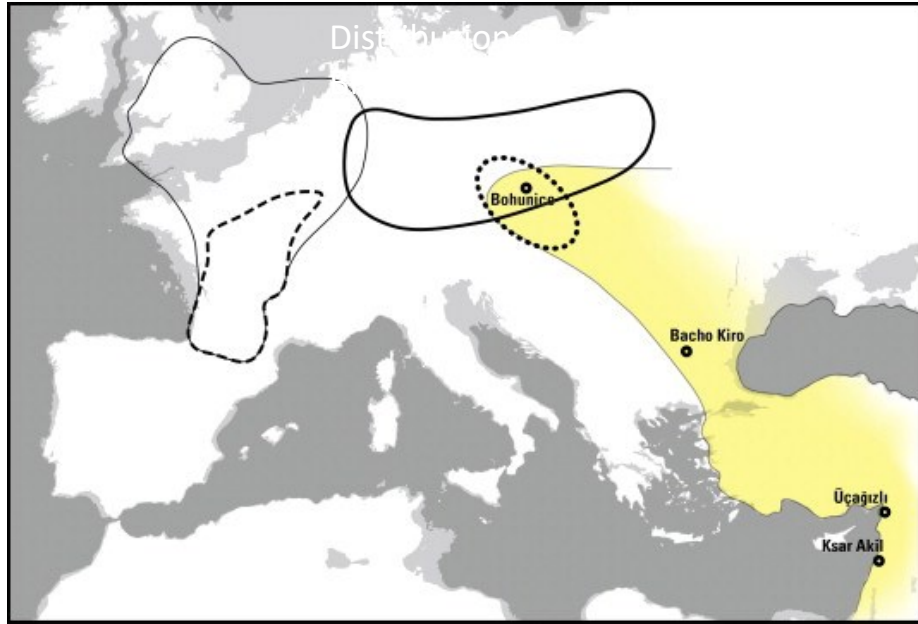
- | | | |
|--------------------------------|------------------------------------|---|
| 1 Taramsa (>48 ka) | 12 Kara Bom (50-40 ka) | 23 Yamashita-cho (>38/ 36 ka) |
| 2 Shi'bat Dihya (55 ka) | 13 Pokrovka/ Mal'ta (32-23 ka) | 24 Badalinh (~42 ka) |
| 3 Manot (55 ka) | 14 Patne (29 ka) | 25 Tham Lod (40 ka) |
| 4 Ksar Akil/ Uçağızlı (43 ka) | 15 Jwalapuram (38 ka) | 26 Lang Rongrien/
Moh Khiew (43 ka) |
| 5 Kulbulak/ Shugnou (30-20 ka) | 16 Fa Hien/ Batadomba (>38/ 36 ka) | 27 Niah Caves (45-40 ka) |
| 6 Ust' Ishim (45 ka) | 17 Tolbor 16 (45 ka) | 28 Sulawesi sites (40-35 ka) |
| 7 Kostenki sites (42 ka) | 18 Salkhit (>25 ka) | 29 Jerimalai (42 ka) |
| 8 Pesteru çu Oase (40 ka) | 19 Shuidonggou (38 ka) | 30 Ivane Valley (48-43ka) |
| 9 Cavallo (43 ka) | 20 Tianyuan (40 ka) | 31 Matenkupkum/
Buang Merabak (41/44 ka) |
| 10 Kent's Cavern (41 ka) | 21 Zhoukoudian Upper Cave (35 ka) | |
| 11 Denisova (45 ka) | 22 Ryonggok (?50-40 ka) | |

MAP LEGEND	
Possible range of hominins	
	Neandertals
	early <i>Homo sapiens</i>
	Denisovans
	Asian archaic <i>Homo sp.</i>
<i>H. sapiens</i> dispersal routes	
→	Early dispersals (120-60 ka)
→	Later dispersals (<60-30 ka)
Assumed genetic admixture	
★	Neandertal admixture with <i>H. sapiens</i>
★	Neandertal & <i>H. sapiens</i> admixture with Denisovans
①	Site with <i>H. sapiens</i> fossils
②	Site with archaeology only





The first modern human in Europe



Bacho Kiro (Bulgaria) (Aurignacian) – 45-43.000 y

Grotta del Cavallo (Italy) (Uluzzian) – 45-43.000 y

Kents Cavern (UK) – 44-41 000 y

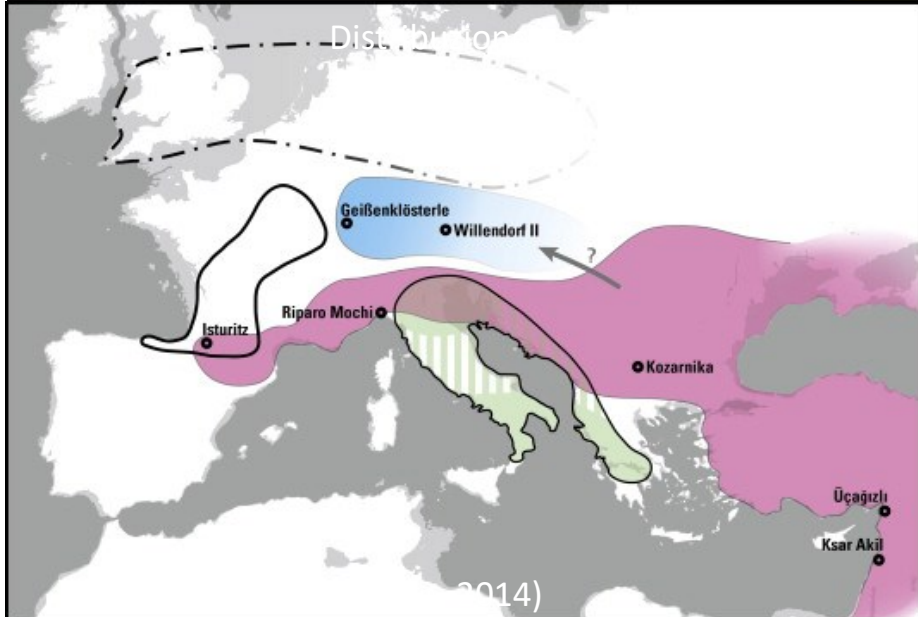
El Castillo (Spain) (Aurignacian) – 37.000- 34.000 y

Mladec (Czech Republic) – 32.000 y

Dolni Vestonice, Pavlov (Czech Republic) – 25.000 y

Cro Magnon (France) – 30.000 y

Pestera cu Oase (Grotta degli orsi, Romania) – 34-36.000 y





Initial Upper Palaeolithic *Homo sapiens* from Bacho Kiro Cave, Bulgaria

<https://doi.org/10.1038/s41586-020-2259-z>

Received: 30 July 2019

Accepted: 24 February 2020

Published online: 11 May 2020

Check for updates

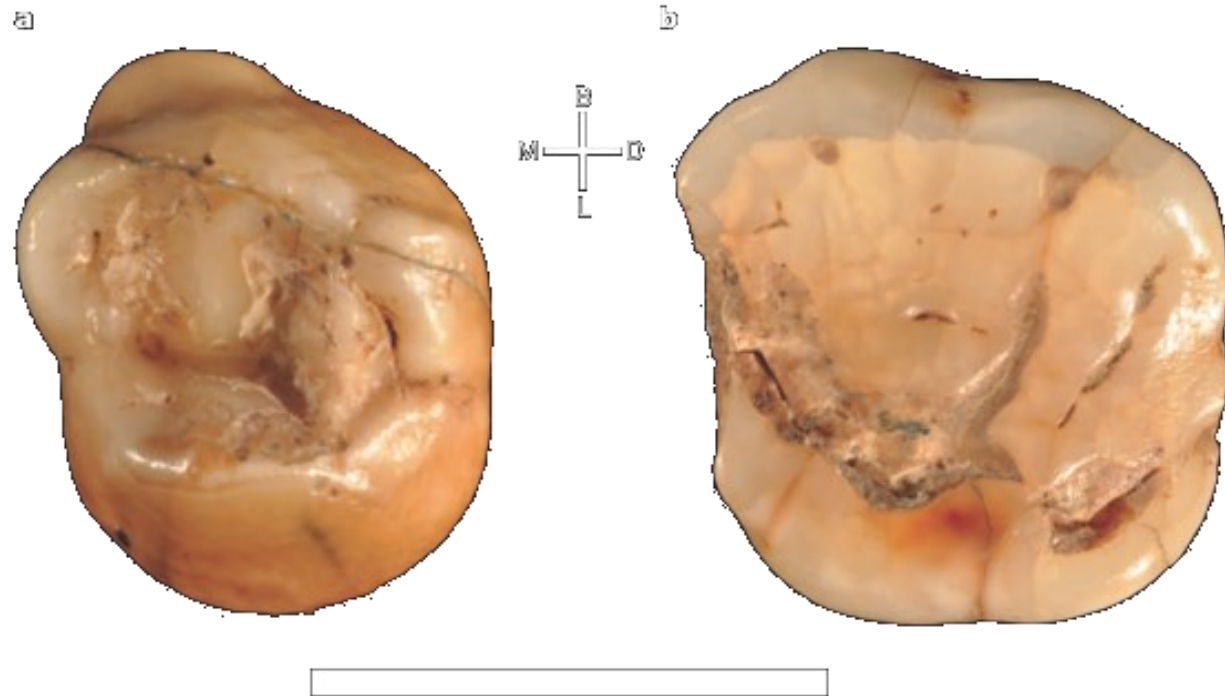
Jean-Jacques Hublin^{1,2,3}, Nikolay Sirakov⁴, Vera Aldeias⁵, Shara Bailey⁶, Edouard Bard⁶, Vincent Delvigne^{6,8}, Elena Enderova⁹, Yoann Fagault⁶, Helen Fewlass⁷, Mateja Hajdinjak¹⁰, Bernd Kromer¹, Ivaylo Krumov¹¹, João Marreiros¹², Naomi L. Martisius¹³, Lindsey Paskulin¹⁴, Virginie Sinet-Mathiot¹, Matthias Meyer¹⁵, Svante Pääbo¹⁶, Vasil Popov¹⁷, Zeljko Rezek¹⁸, Svoboda Sirakova¹, Matthew M. Skinner^{11,19}, Geoff M. Smith¹, Rosen Spasov²⁰, Sahra Talamo²⁰, Thibaut Tuna⁸, Lukas Wacker²¹, Frido Welker²¹, Arndt Wilcke²², Nikolay Zahariev²³, Shannon P. McPherron¹ & Tsenka Tzanova¹

- Initial Upper Palaeolithic sites
- Directly dated early *H. sapiens* predating 37 ka cal. BP
- Directly dated late Neanderthals associated with Châtelperronian

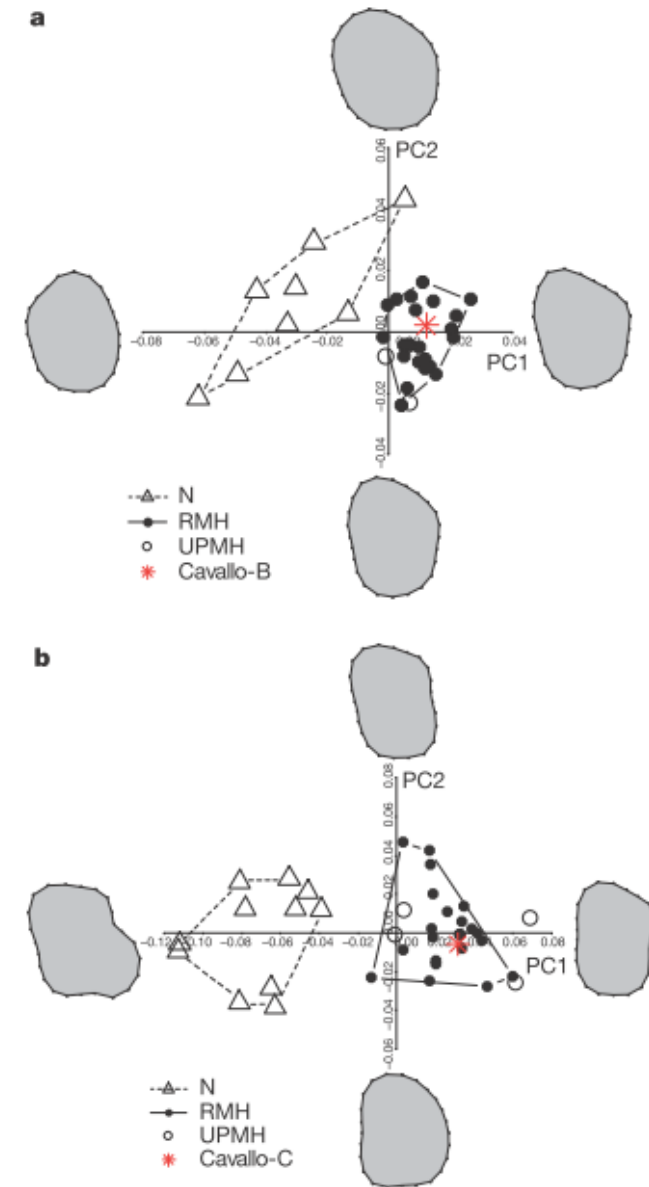


Early dispersal of modern humans in Europe and implications for Neanderthal behaviour

Stefano Benazzi¹, Katerina Douka², Cinzia Fornai¹, Catherine C. Bauer³, Ottmar Kullmer⁴, Jiří Svoboda^{5,6}, Ildikó Pap⁷, Francesco Mallegni⁸, Priscilla Bayle⁹, Michael Coquerelle¹⁰, Silvana Condemi¹¹, Annamaria Ronchitelli¹², Katerina Harvati^{3,13} & Gerhard W. Weber¹



Grotta del Cavallo, Italia (45 000 – 43 000 y BP)



Cro-Magnon, France

27 680 +/- 270 BP (Henry-Gambier et al, 2002)



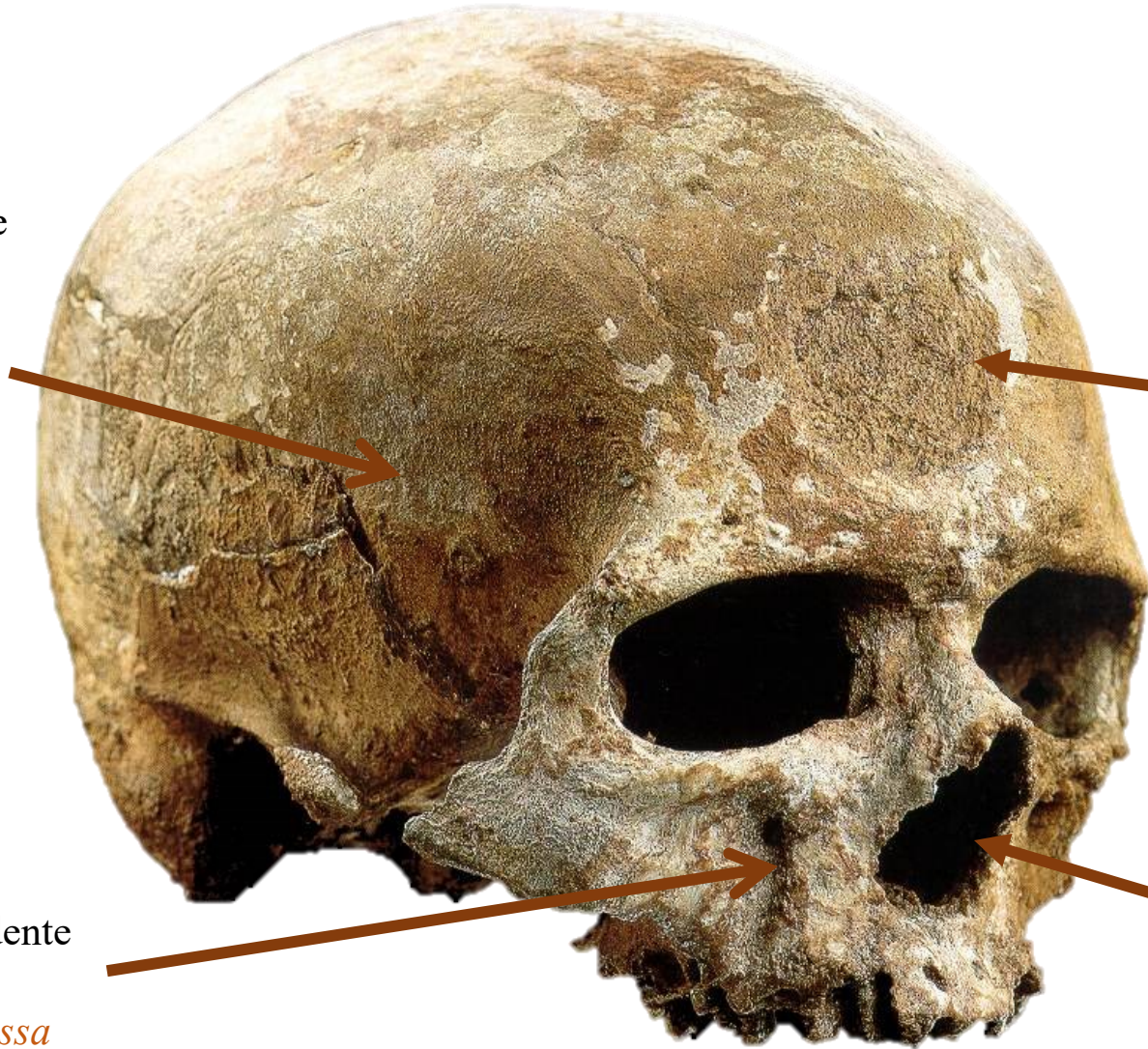
Cro-Magnon 1



Cro-Magnon 2



Parietali espansi e
occipitale
arrotondato /
*Parietals
expanded and
rounded
occipitals*



Fronte verticale /
*Vertical frontal
bone*

Fossa canina evidente
/
Evident canine fossa

Faccia piccola e
piatta / *Short and flat
face*

H. sapiens, Cro-Magnon



○ *Homo sapiens* (a.m.)
▲ Néandertaliens

Mladec V, Czech Republic
34-35 000 y BP?

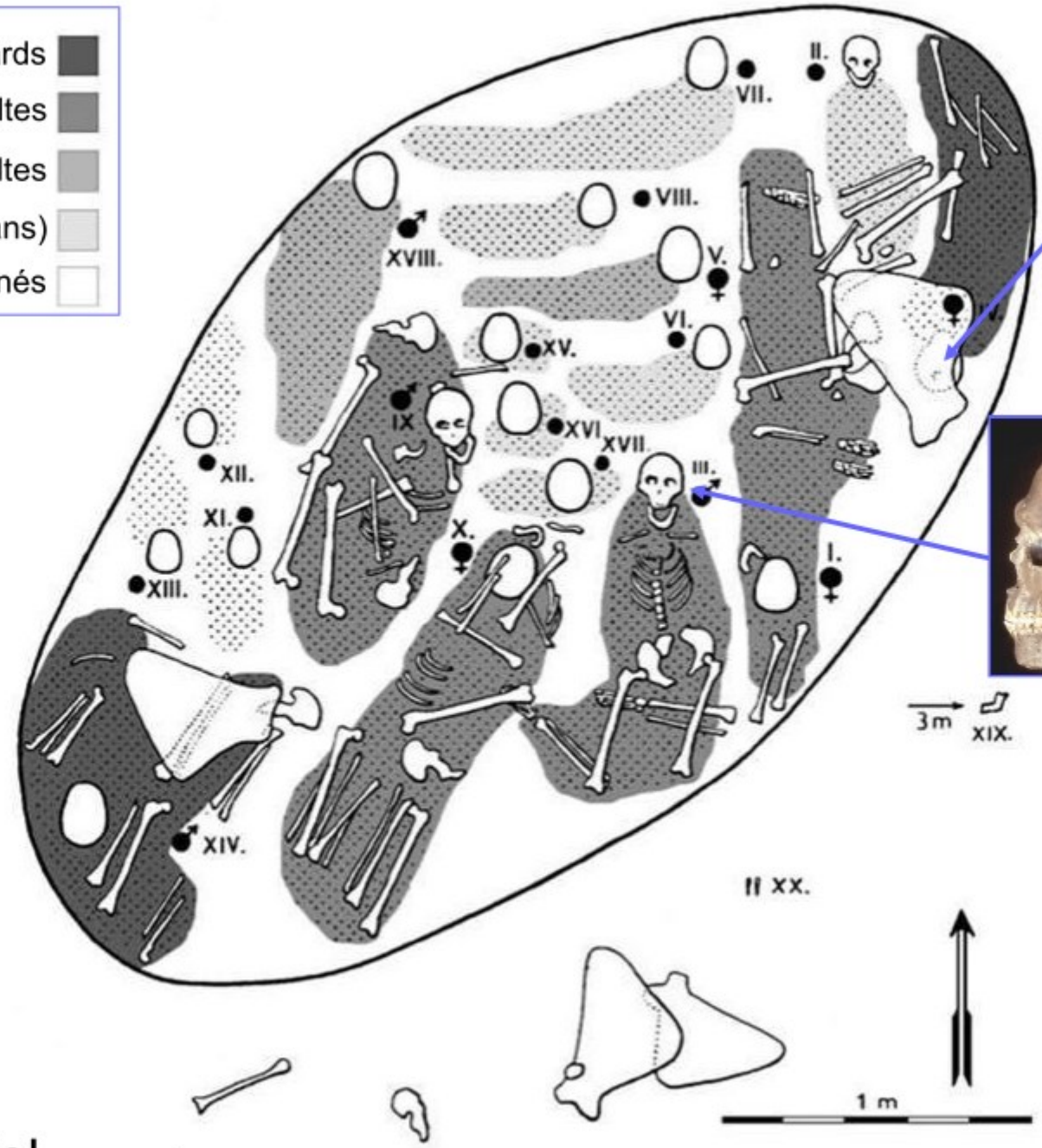


Triple burial (Mladec 5, 6 and 46)

Predmost III, Czech Republic
28 – 20 000 y BP



- 2 vieillards
- 2 "couples" adultes
- 2 jeunes adultes
- 7 enfants (2-14 ans)
- 3 nouveaux nés



Predmost
Multiple burial

(d'après Klima, 1991; in Trinkaus & Zilhao, 2002)



○ *Homo sapiens* (a.m.)
▲ Néandertaliens

Peștera cu Oase, Romania

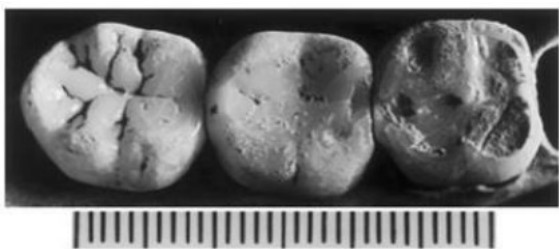
34 290 +/- 900 BP



Conclusion

The 2002 discovery of a human mandible at the Peștera cu Oase in southwestern Romania indicates that the earliest “modern” Europeans combined a variety of archaic *Homo*, derived early modern human, and possibly Neandertal features in their cranio-facial skeletal and dental morphology. Although compatible with some degree of admixture between regional Neandertal populations and in-dispersing early modern humans, the Oase 1 mandible is particularly relevant for emphasizing the degree to which early modern humans were not particularly modern.

(Trinkaus, 2003)



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News and Views

Early modern human cranial remains from the Peștera cu Oase, Romania

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Keywords: Human paleontology; Early modern humans; Europe; Late Pleistocene

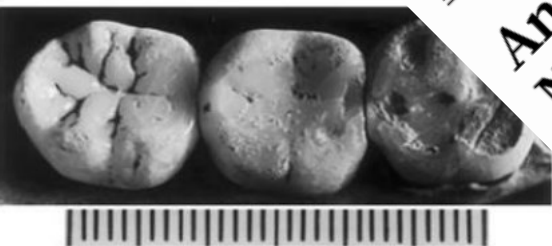


Peștera cu Oase, Romania
 34 290 +/- 900 BP



Conclusion

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Evolution 45 (2003) 245–253

Views

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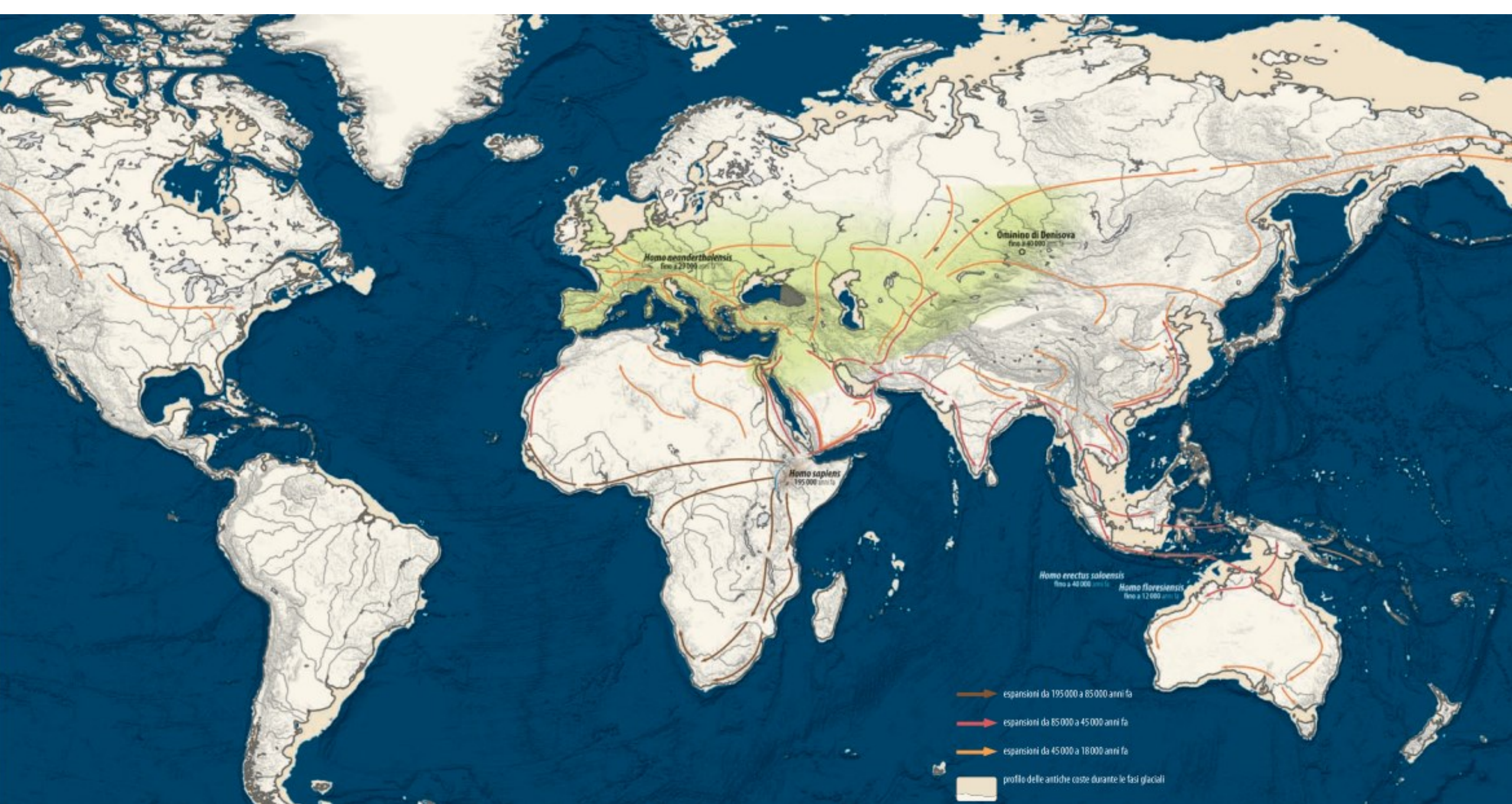
ans; Europe; Late Pleistocene

LETTER

An early modern human from Romania with a recent Neanderthal ancestor

Qianmei Fu^{1,2,3,*}, Mireia Hajdinjak^{3,*}, Oana Teodora Moldovan⁴, Silviu Constantin⁵, Sîrbu Viorela^{3,7,8}, Bence Viola^{3,7,8}, Kay Prüfer³, Matthias Meyer³, Janet Kelso³, Nick Patterson⁶, Nadin Rohland², Iosif Lazaridis², Birgit Nickel³, David Reich^{2,6,9} & Svante Pääbo³







**Modern human dispersal
routes in Europe
(47,000–41,000 BP)**





Homo sapiens
dispersal toward
America

The timing and effect of the earliest human arrivals in North America

[Lorena Becerra-Valdivia](#) & [Thomas Higham](#)

Nature **584**, 93–97 (2020) | [Cite this article](#)

Questions regarding the timing and mechanisms of this dispersal remain, and the previously accepted model (termed ‘Clovis-first’)—**suggesting that the first inhabitants of the Americas were linked with the Clovis tradition, a complex marked by distinctive fluted lithic points¹—has been effectively refuted**. The data obtained show that **humans were probably present before, during and immediately after the Last Glacial Maximum (about 26.5–19 thousand years ago) but that more widespread occupation began during a period of abrupt warming, Greenland Interstadial 1 (about 14.7–12.9 thousand years before AD 2000)**. We also identify the near-synchronous commencement of Beringian, Clovis and Western Stemmed cultural traditions, and an overlap of each with the last dates for the appearance of 18 now-extinct faunal genera. Our analysis suggests that the widespread expansion of humans through North America was a key factor in the extinction of large terrestrial mammals.

