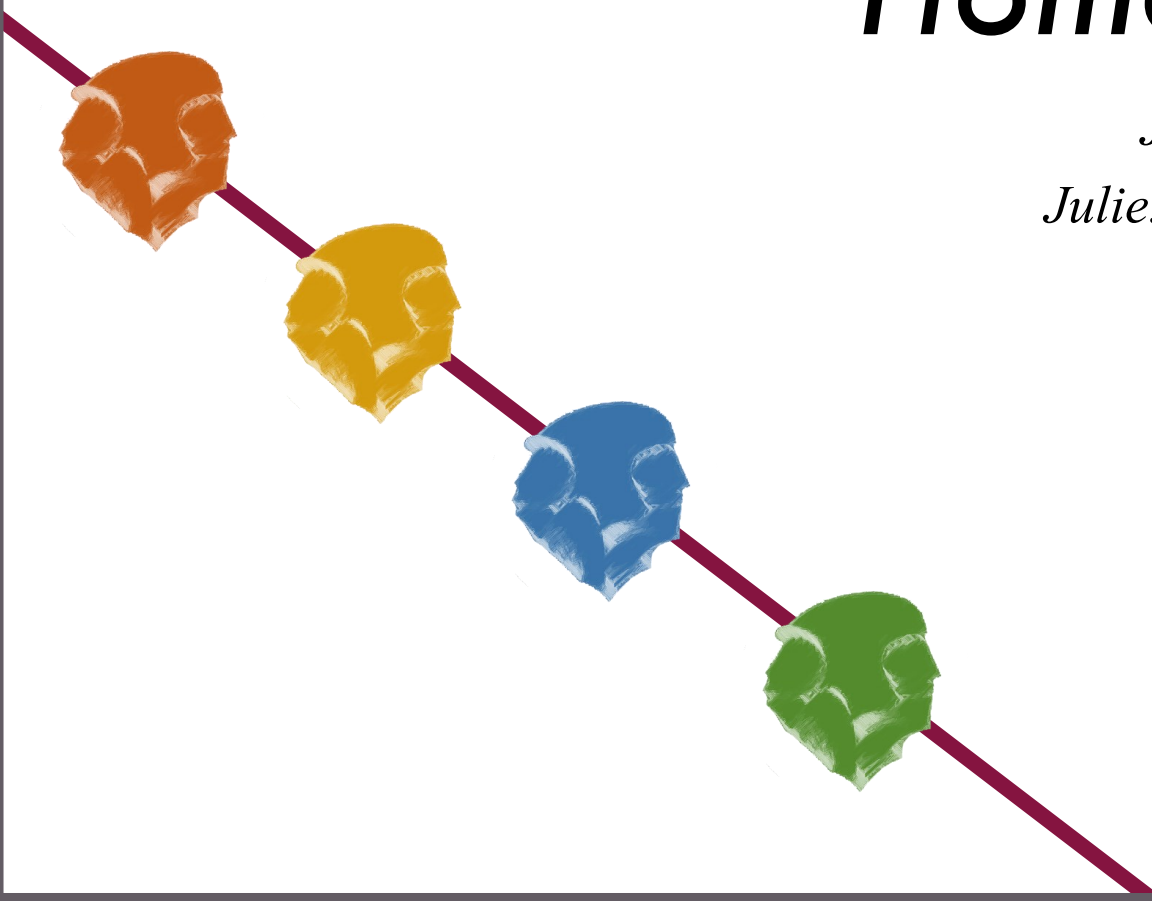


Homo sapiens

Julie Arnaud

Julie.arnaud@unife.it

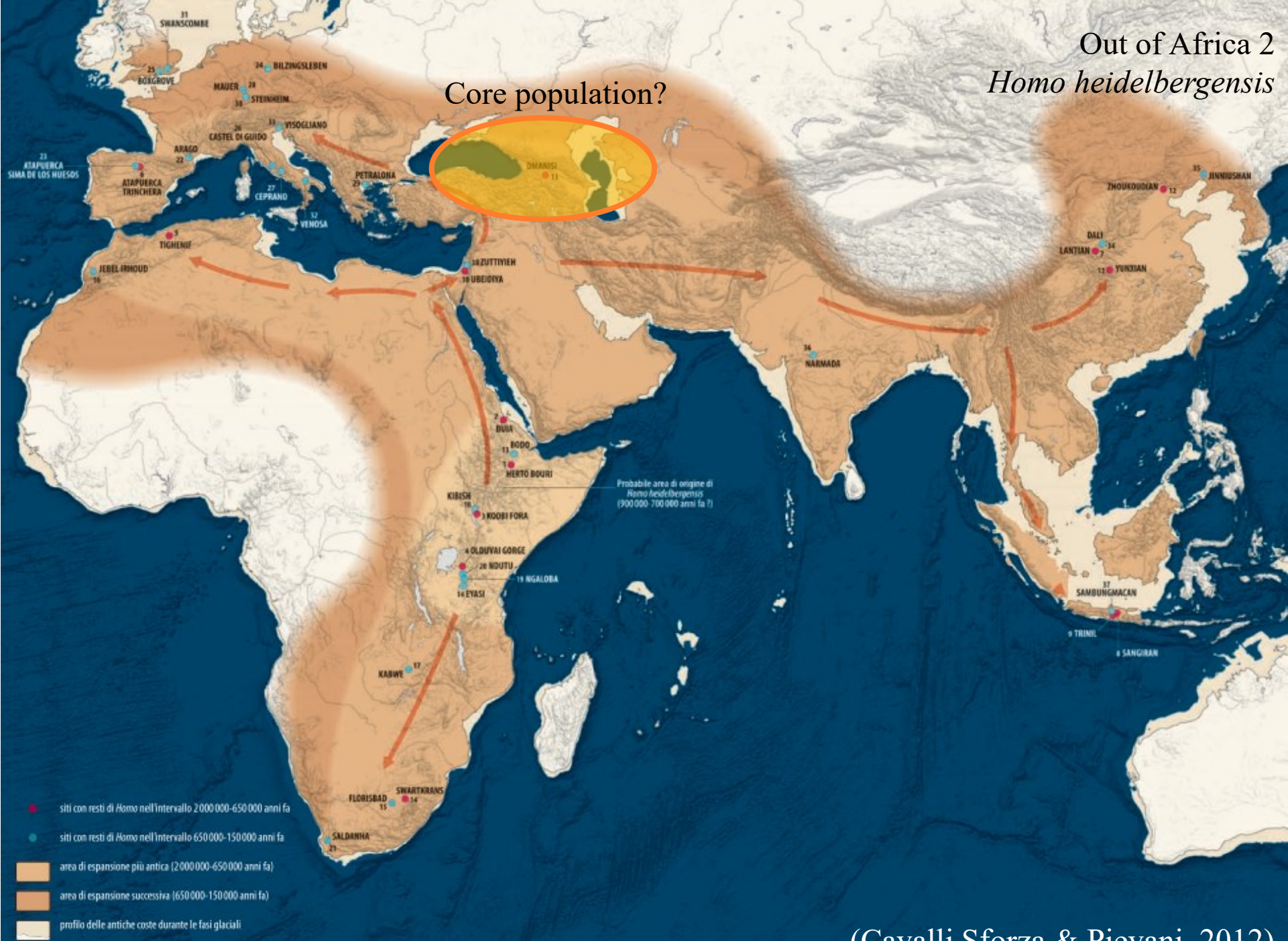


Out of Africa 1
Homo ergaster



(Cavalli Sforza & Pievani, 2012)

Out of Africa 2
Homo heidelbergensis

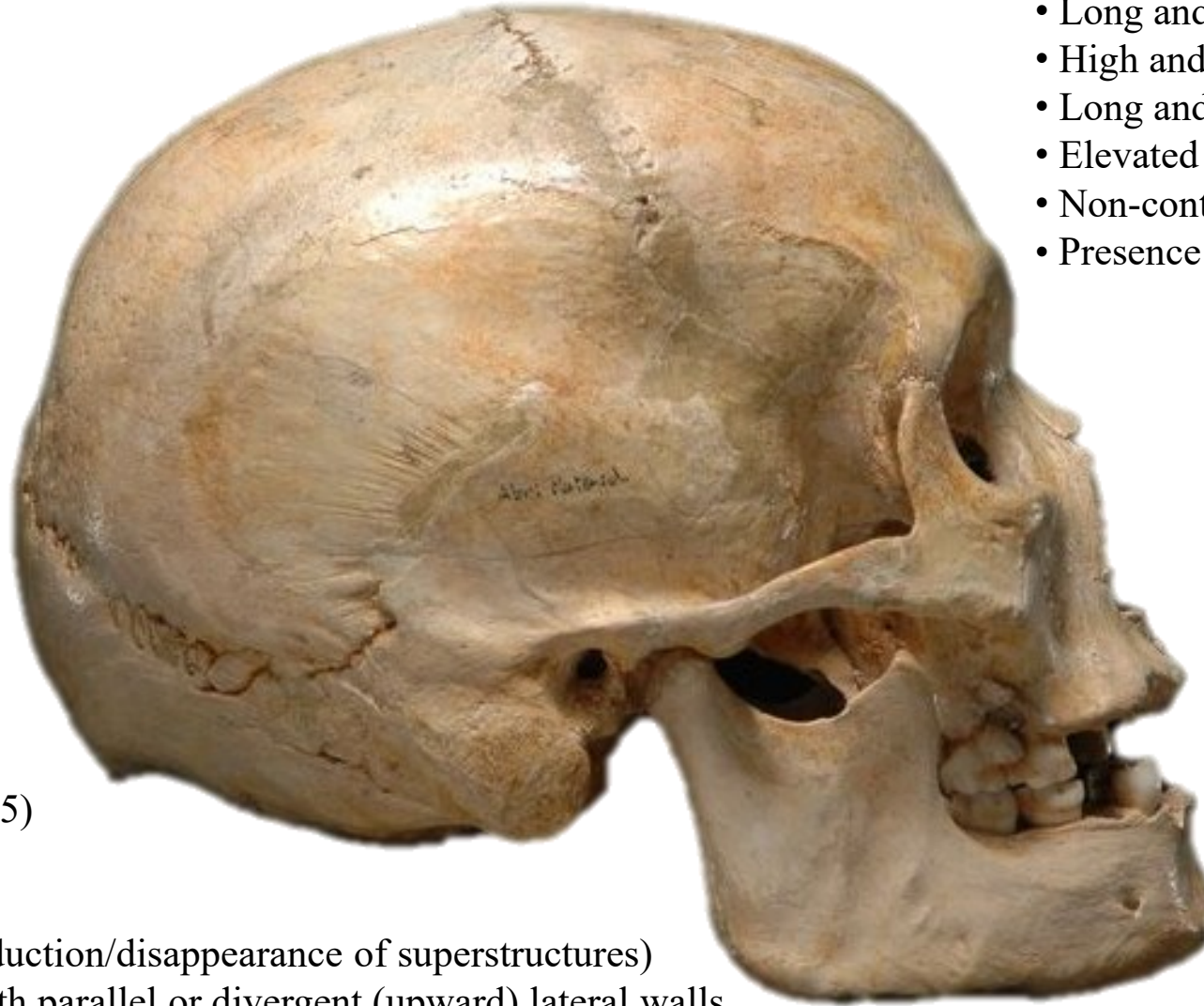


(Cavalli Sforza & Pievani, 2012)

[illegible]

(Cavalli Sforza & Pievani, 2012)

***Homo sapiens* morphological features
(paleontological definition of the specie)**



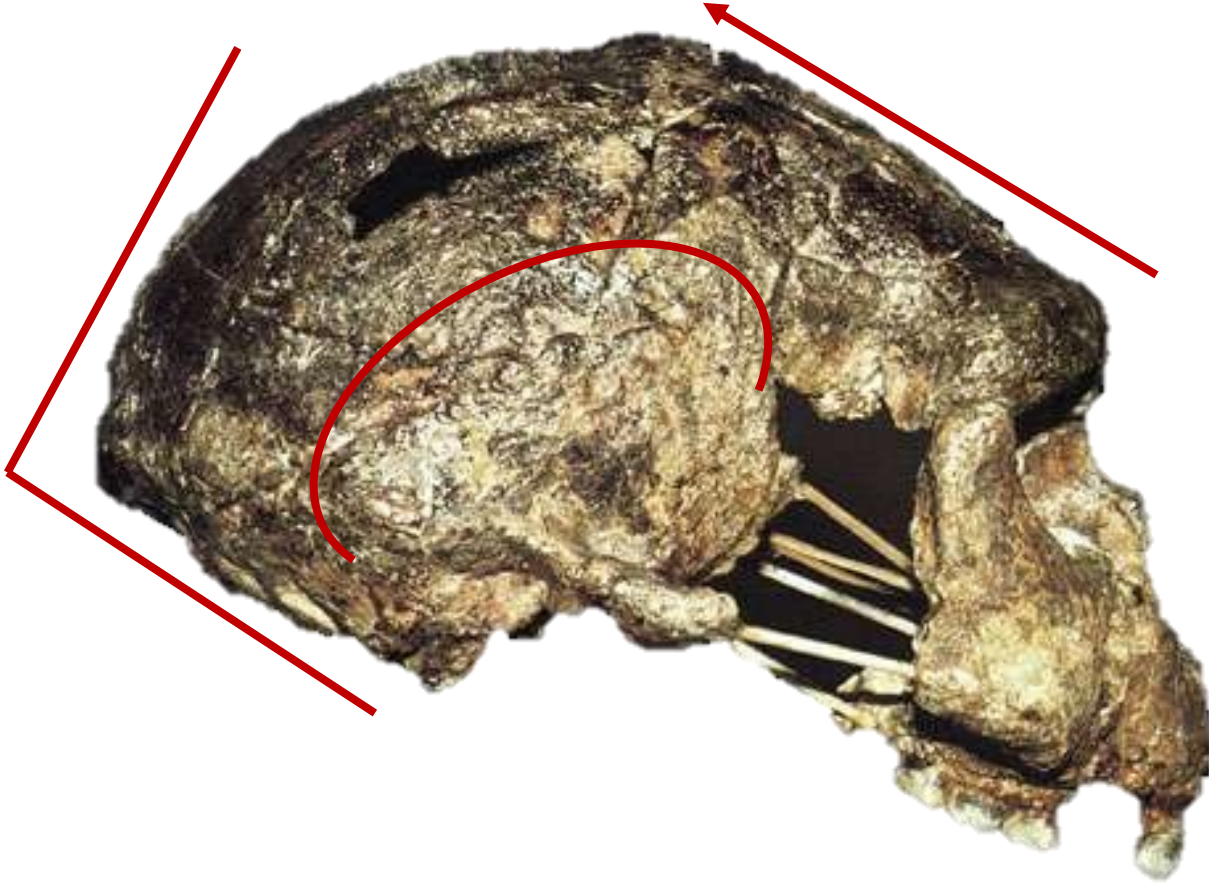
Day & Stringer (1982)

- Short and elevated cranial vault
- Long and curved parietal bones in the sagittal plan
- High and wide biparietal vault in the coronal plan
- Long and narrow occipital bone, without projection
- Elevated frontal bone
- Non-continuous supra-orbital complex
- Presence of a canine fossa

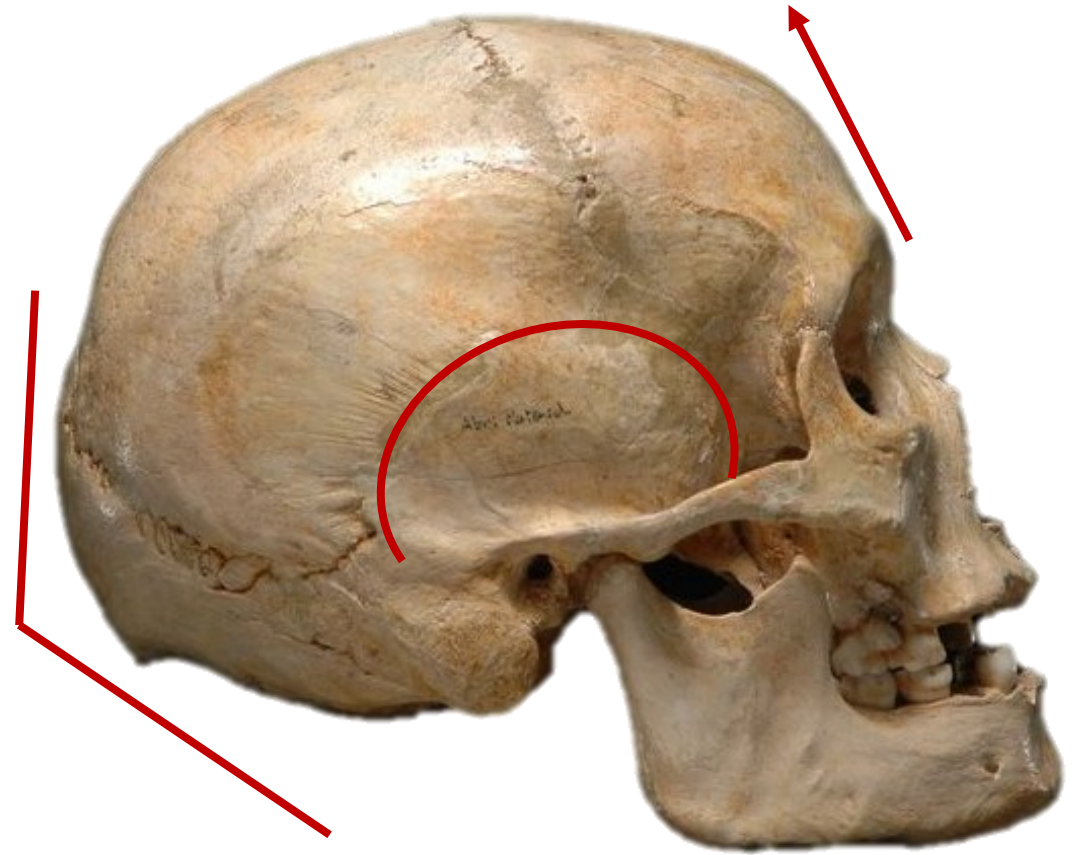
Vandermeersch (1981, 2005)

- rounded cranial shape
- large cranial capacity
- decreased robustness (reduction/disappearance of superstructures)
- elevated cranial vault, with parallel or divergent (upward) lateral walls
- regularly rounded occipital bone
- short face
- teeth-size reduction tendency

Homo erectus
Sangiran 17

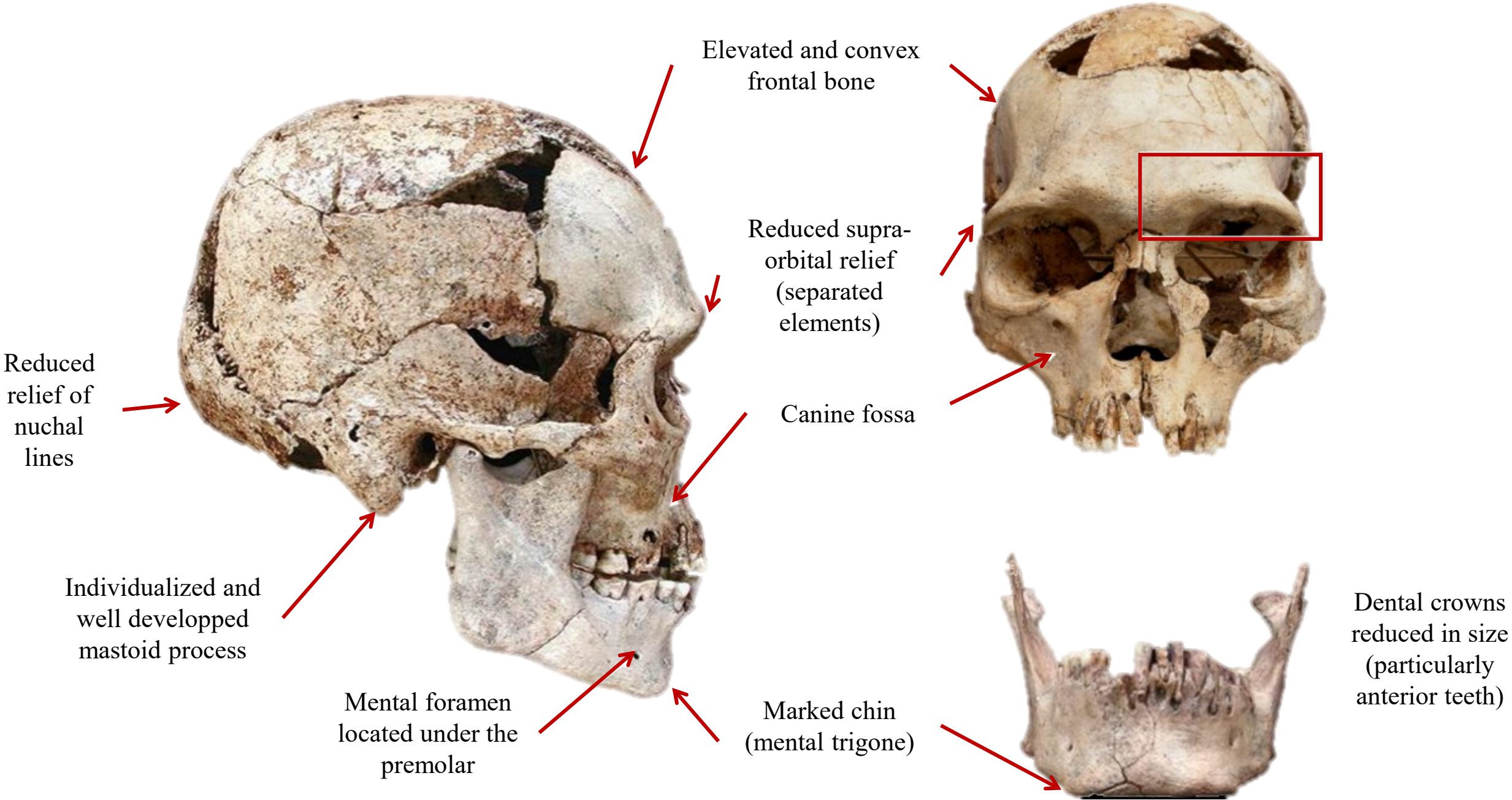


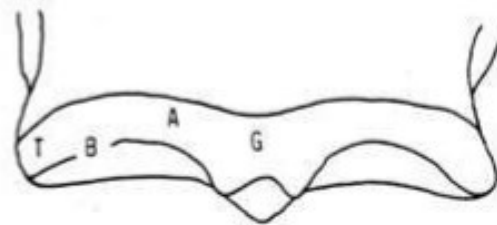
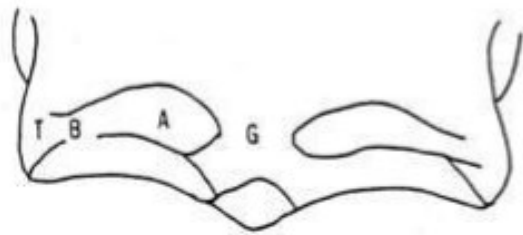
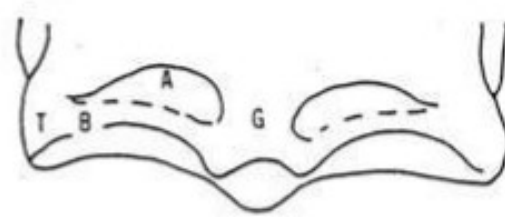
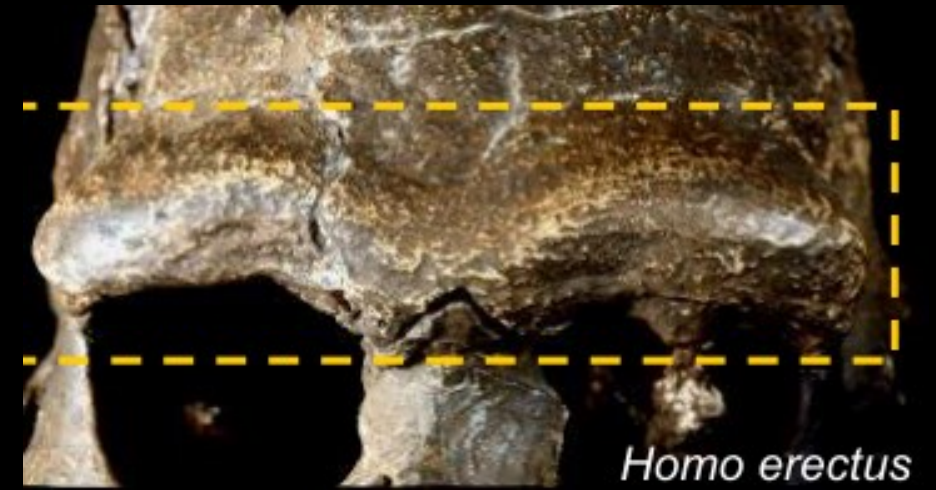
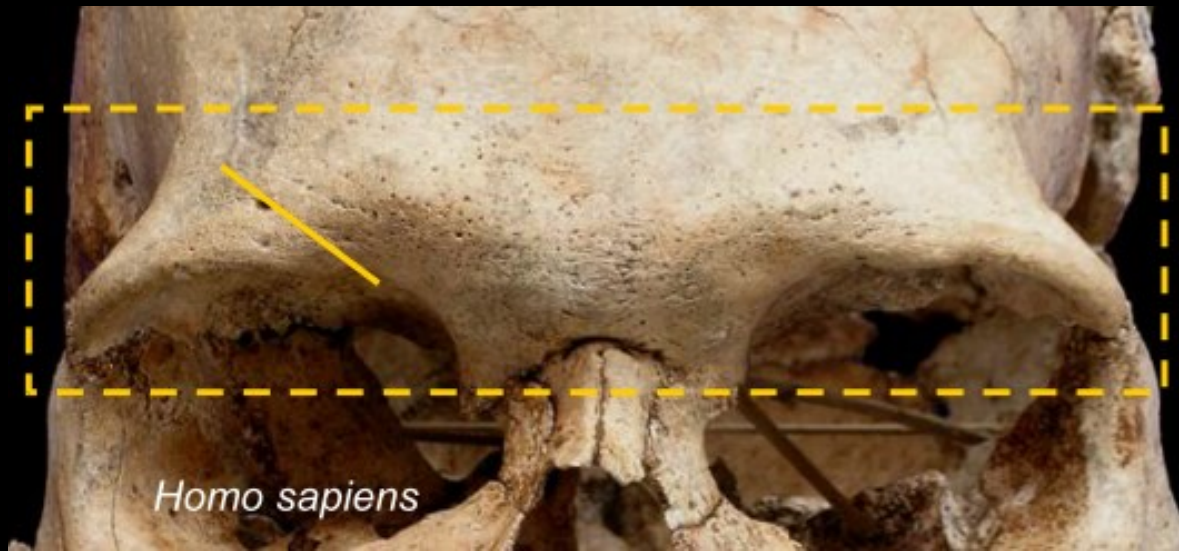
Homo sapiens
Pataud 1



Short and rounded vault
Elevated frontal bone
Rounded occipital bone
Reduced face, placed under the braincase

Global decrease of robustness



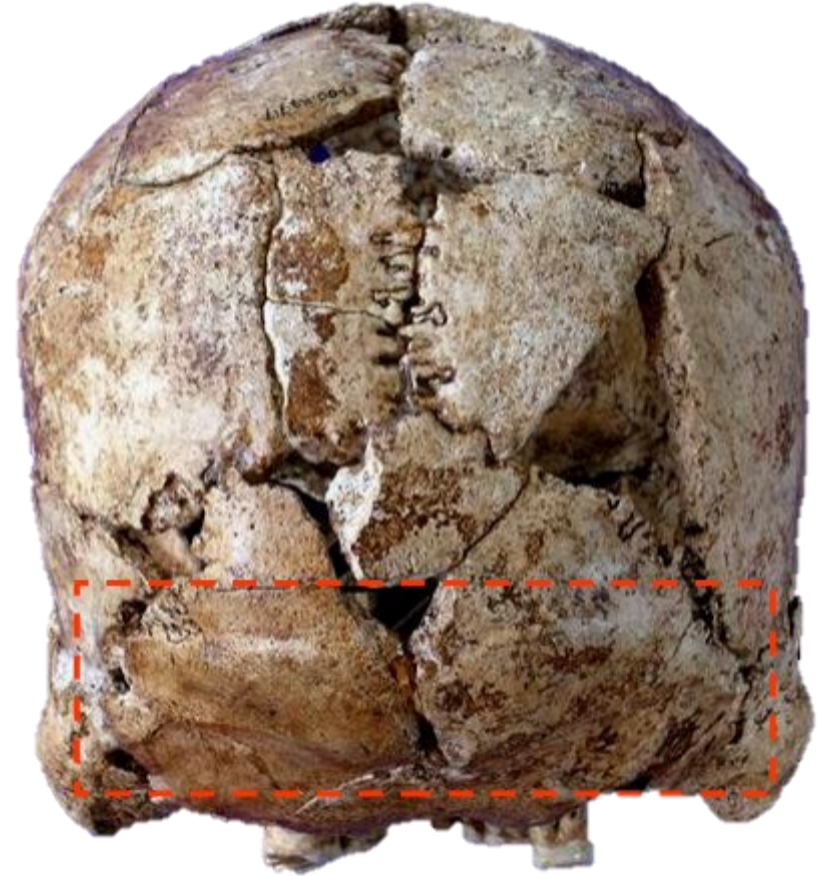


G: Glabella
 A: Superciliary arch
 B: Upper border of the orbit
 T: Lateral trigone

Transverse occipital torus



Homo erectus



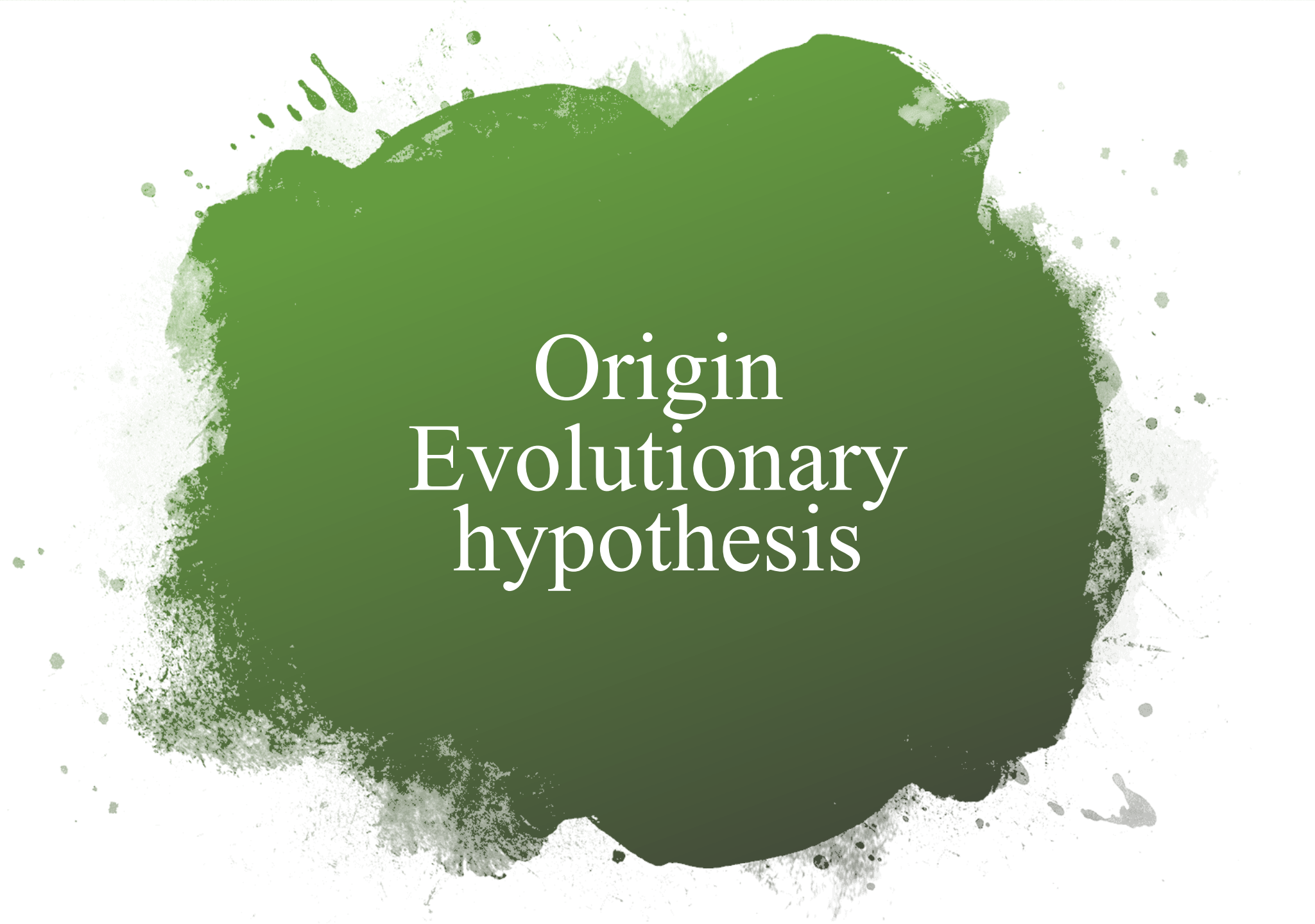
Homo sapiens



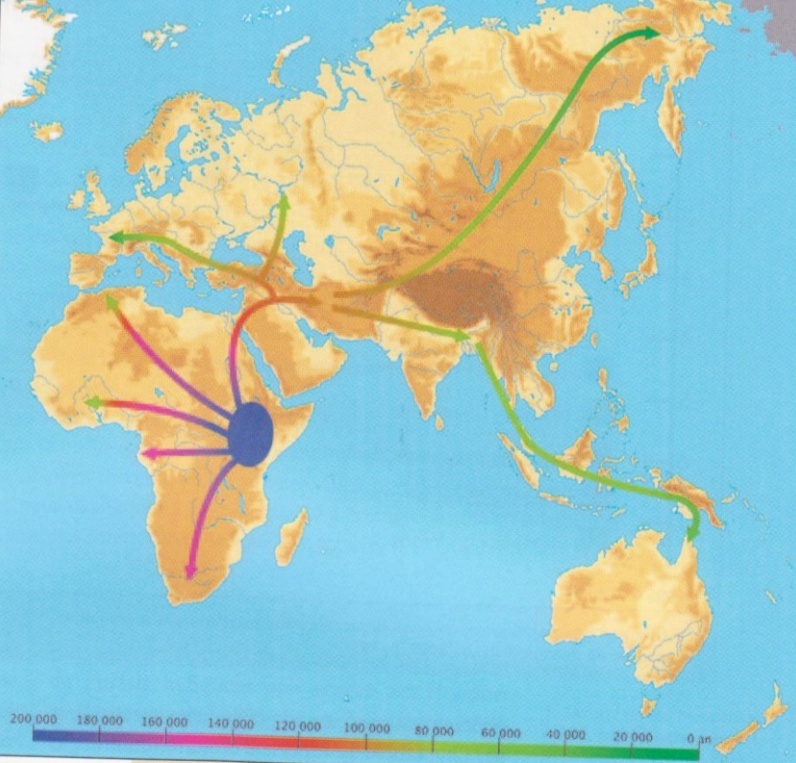
- Narrow trunk and pelvis
- Low body mass compared to stature
- Center of gravity at the level of the 2° sacral vertebra
- vertebral column with marked secondary convexities
- Long limbs compared to trunk
- Robust and lengthened lower limbs
- Lengthening of the distal segments of the limbs
- Reduced thickness of cortical bone and large medullar cavity (compared to *Homo erectus*)

Neanderthal
Versus
Feb. 03

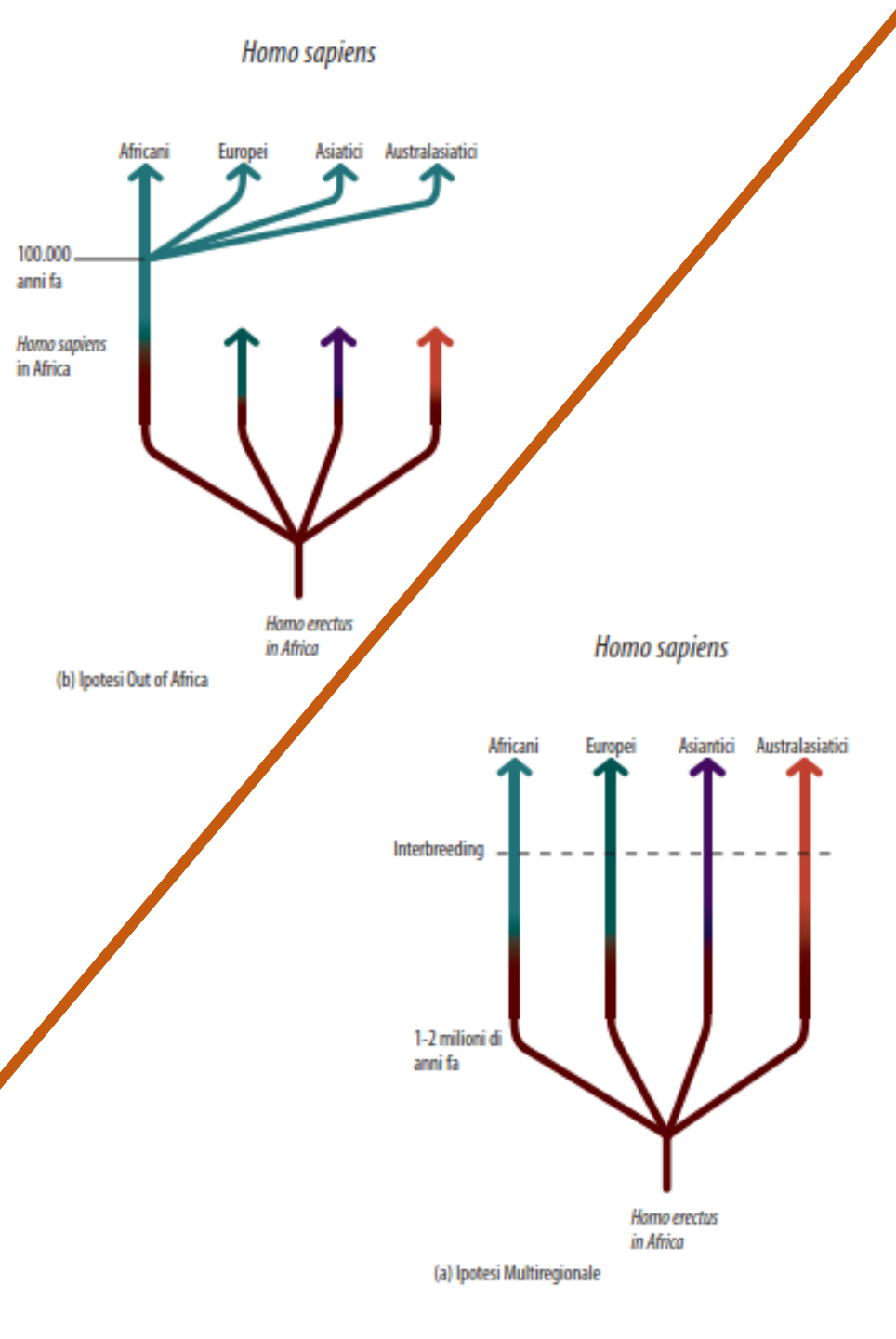




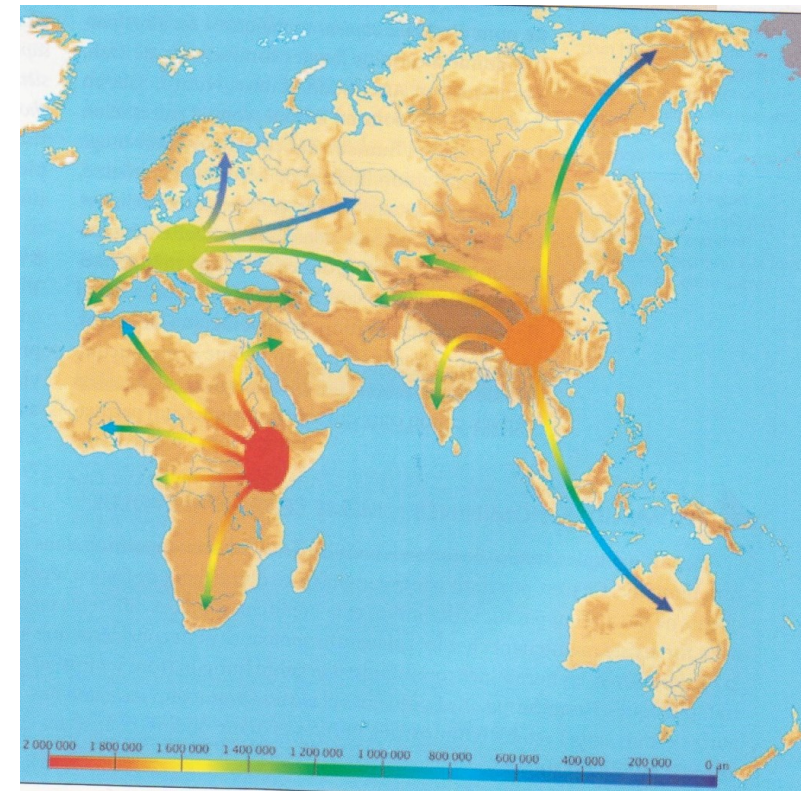
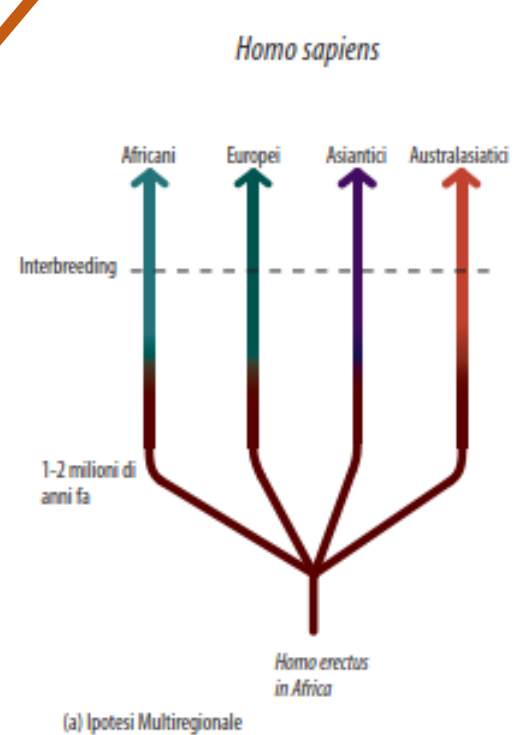
Origin Evolutionary hypothesis



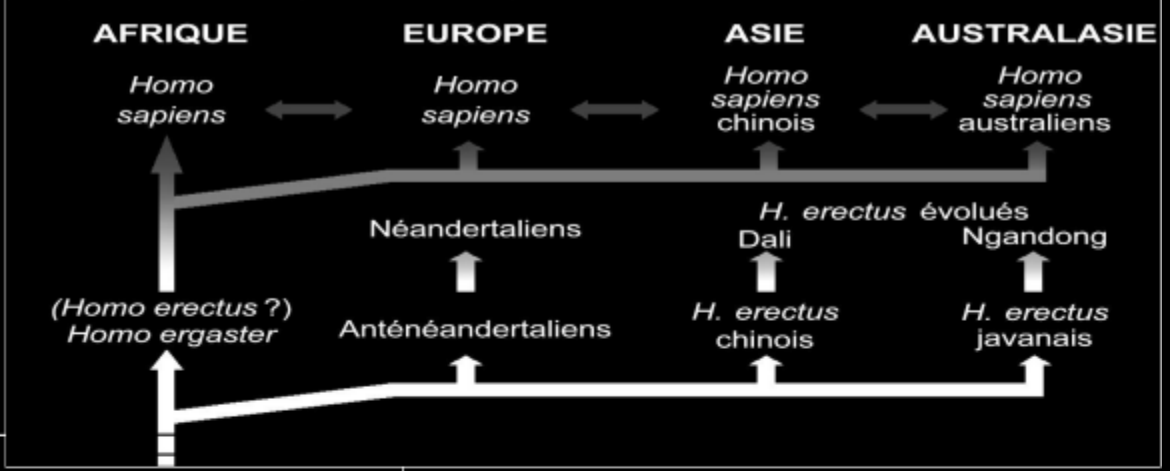
Out-of-Africa Model or substitution model



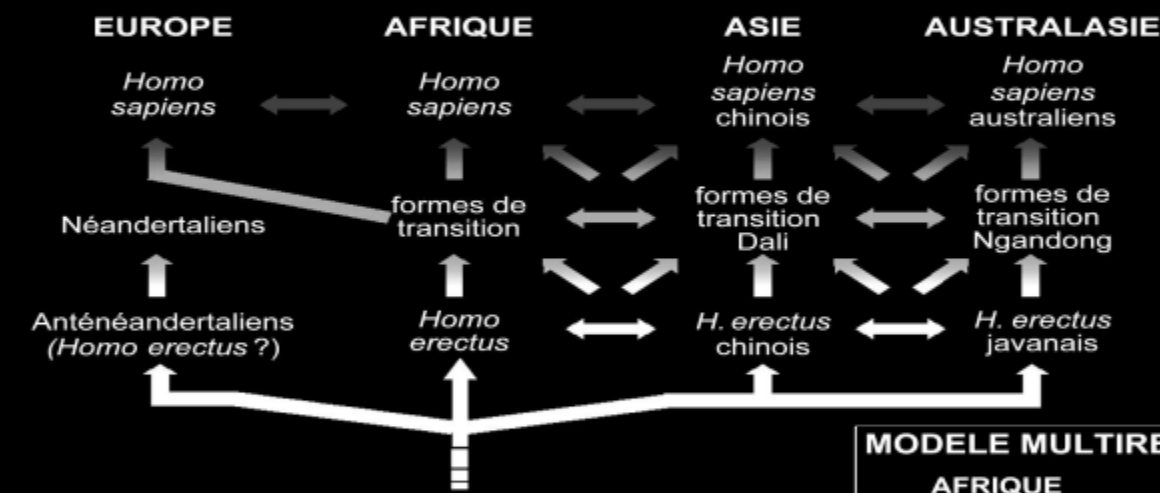
Multiregional model



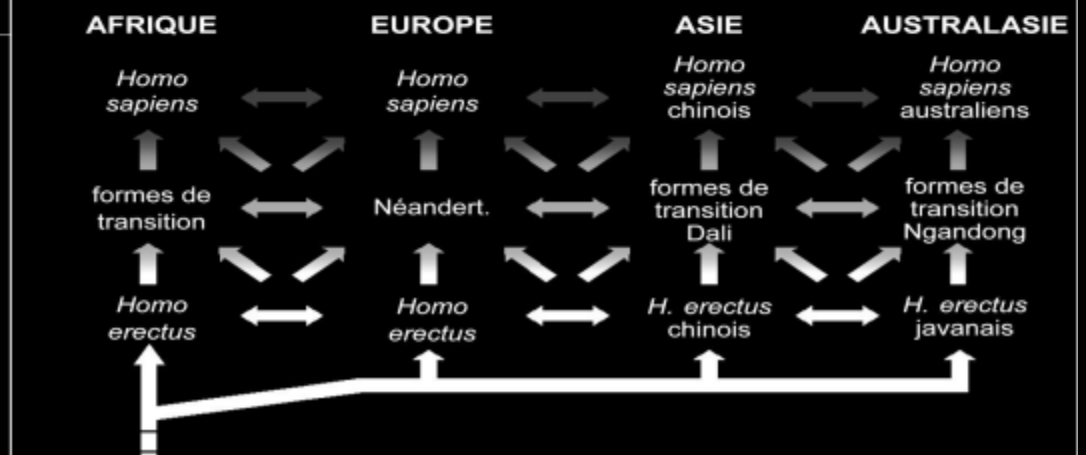
MODELE AVEC REMPLACEMENT



MODELE INTERMEDIAIRE



MODELE MULTIREGIONAL



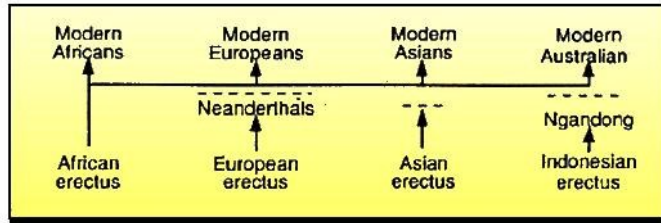
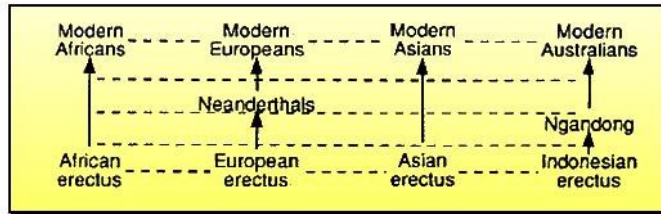
Multiregional model

The principal bases of the multiregional theory are:

- Specific regional similarity between archaic *Homo sapiens* and modern one;

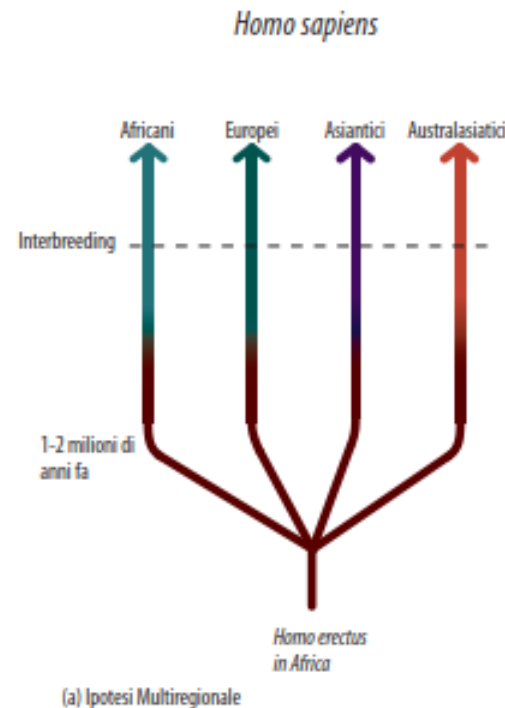
Principal criticism :

- Lack of transition shape in Asia and Europe
- Genetical proof
- This theory request a continuous gene flow between the regional population

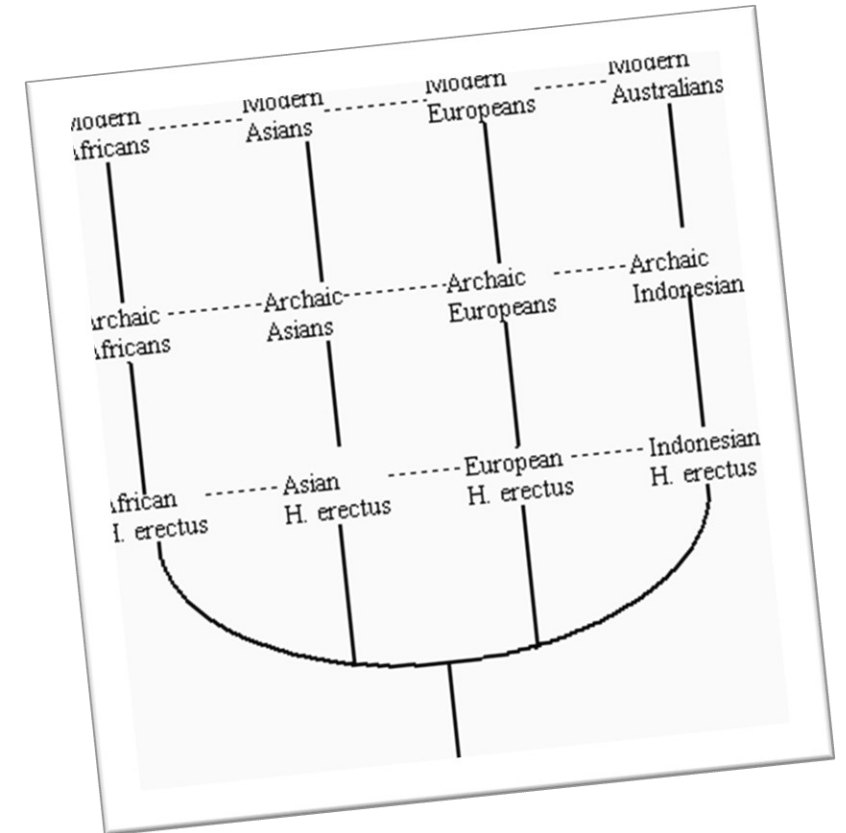


Two views of the origins of modern humans: the multiregional model (top) and the "Out of Africa" model. Each interprets the same fossil evidence in a radically different way

Stringer, 1990



(a) Ipotesi Multiregionale



Partial substitution model

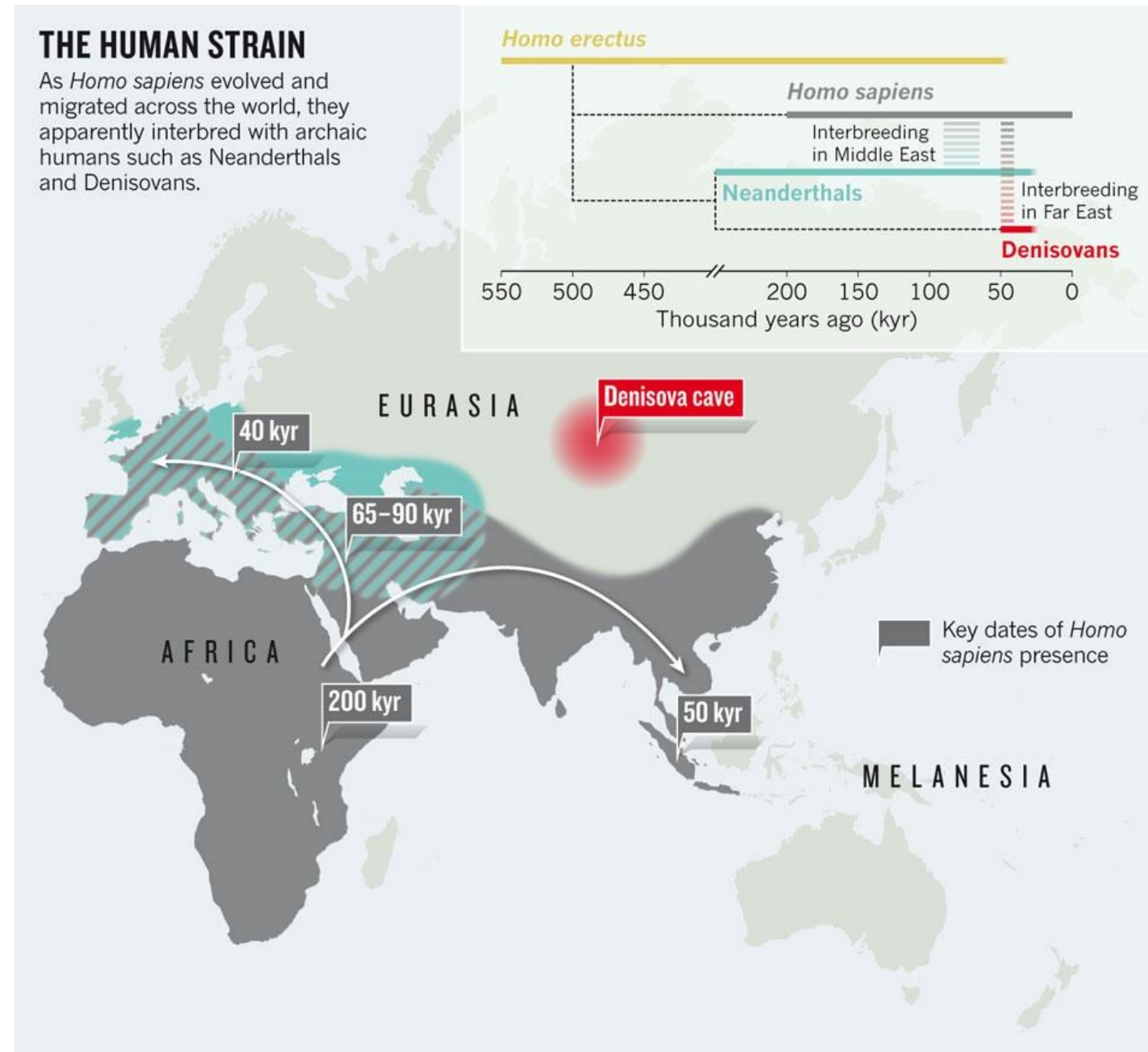
Theory of integration (Smith, 1987) or partial replacement:

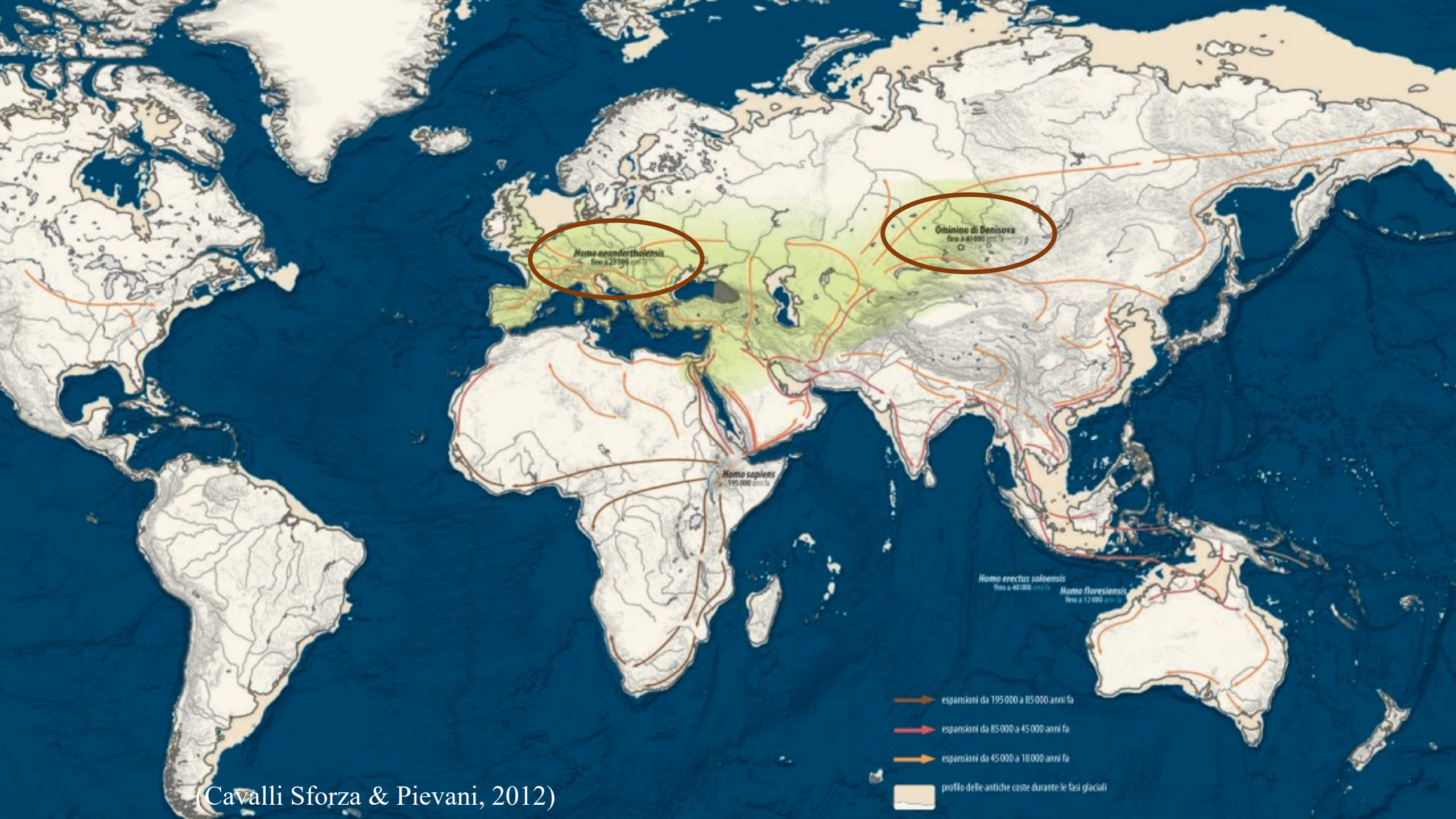
Integration from European population of the genetic patrimony of modern human, by genetic flow*. Paleontological proof: fossils from eastern Europe (Vindija).

Hybridation theory (Trinkaus):

Interbreeding in the various geographic area of modern human and preexisting population

*Genetic flow is the diffusion of gene between population, by migration of individual in reproductive age. The genetic flow can introduce in a population new allele and can change the allelic frequency. The global genetic effect is the reduction of genetic differences between population and then limit the evolution. In another hand, genetic flow can increase the internal variability of a population, increasing the polymorphism.



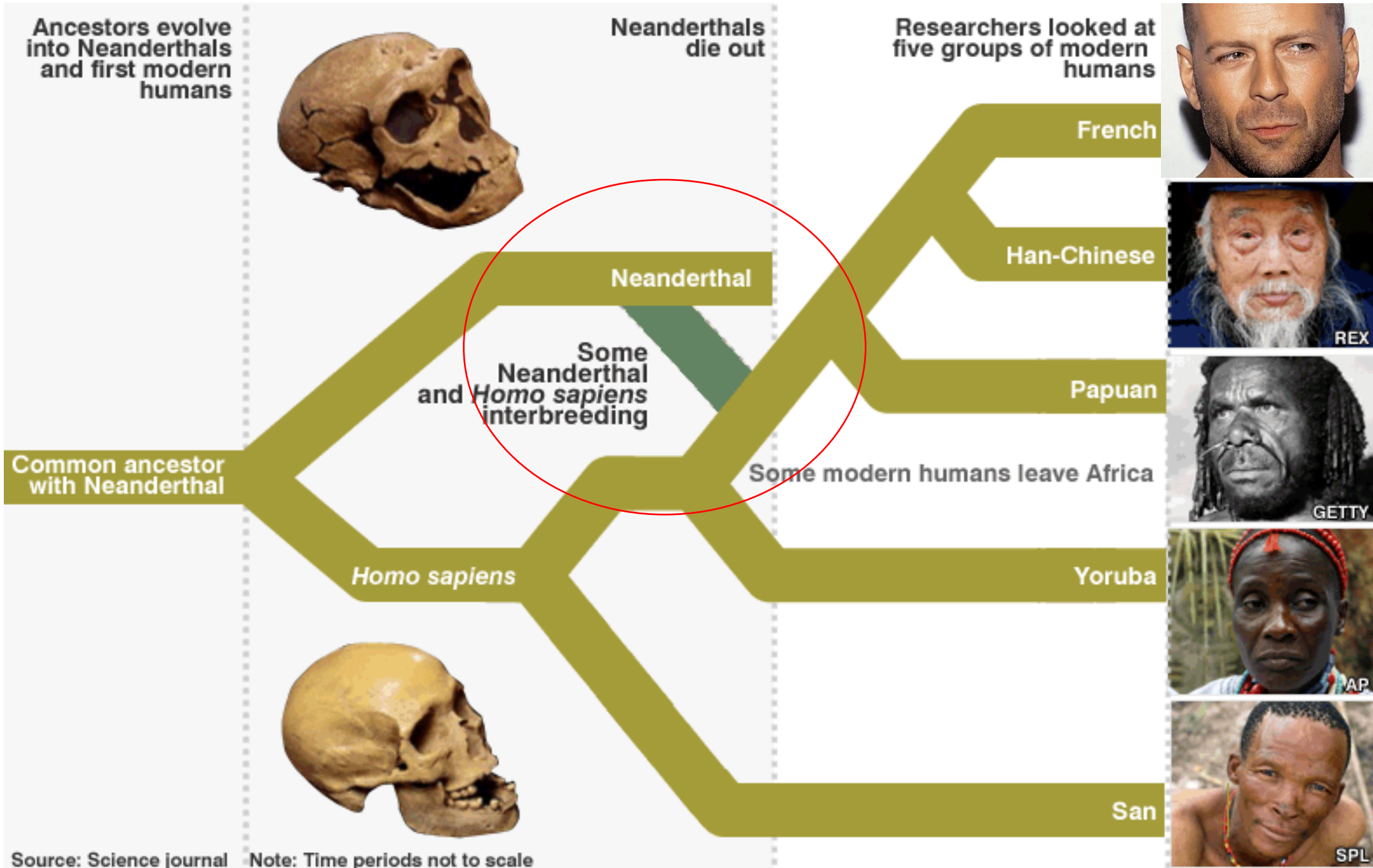


(Cavalli Sforza & Pievani, 2012)

Ancestors evolve into Neanderthals and first modern humans

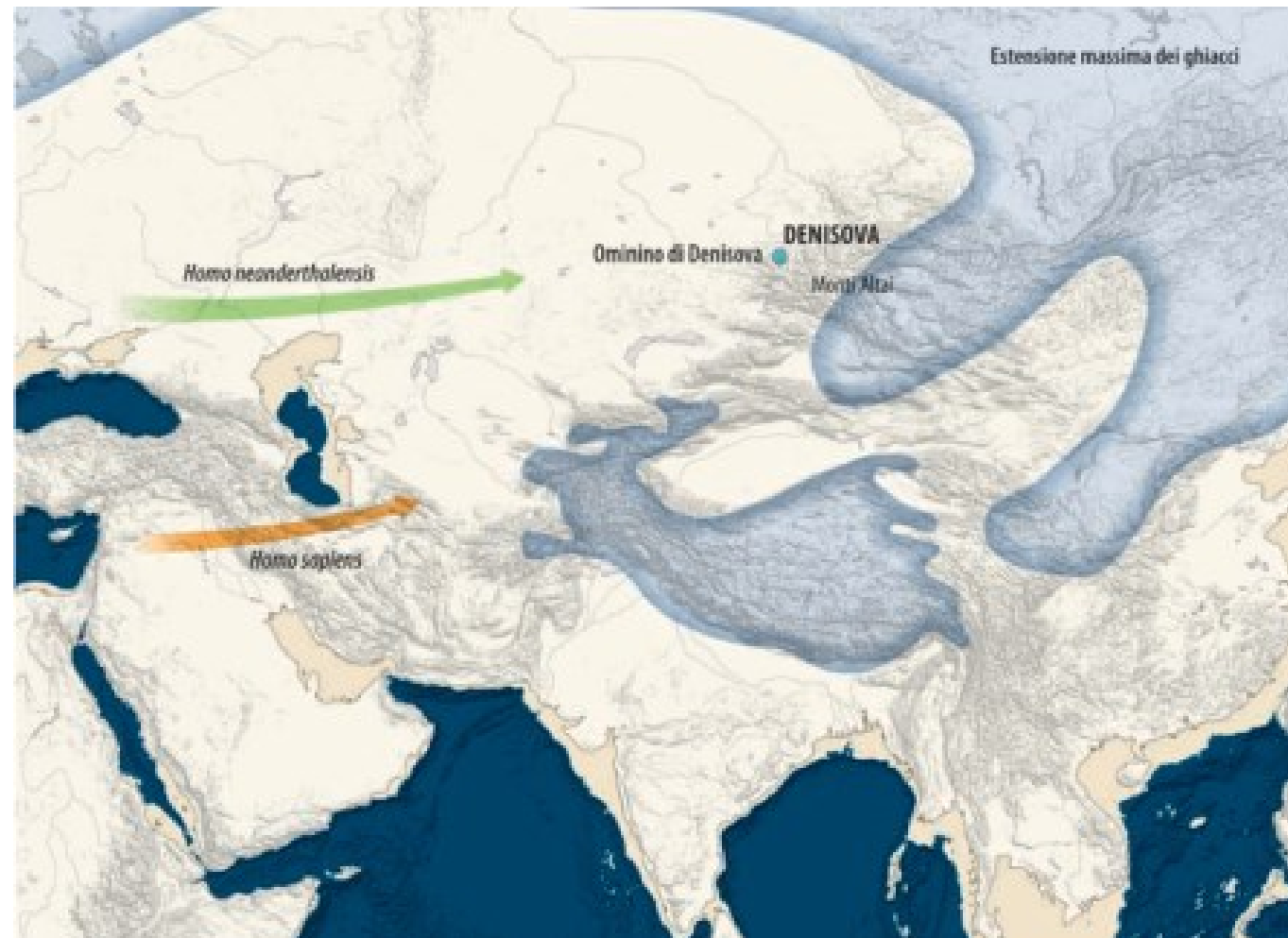
Neanderthals die out

Researchers looked at five groups of modern humans





Possible interbreed area



An early modern human from Romania with a recent Neanderthal ancestor

Qiaomei Fu^{1,2,3*}, Mateja Hajdinjak^{3*}, Oana Teodora Moldovan⁴, Silviu Constantin⁵, Swapan Mallick^{2,6,7}, Pontus Skoglund², Nick Patterson⁶, Nadin Rohland², Iosif Lazaridis², Birgit Nickel³, Bence Viola^{3,7,8}, Kay Prüfer³, Matthias Meyer³, Janet Kelso³, David Reich^{2,6,9} & Svante Pääbo³

Neanderthals are thought to have disappeared in Europe approximately 39,000–41,000 years ago but they have contributed 1–3% of the DNA of present-day people in Eurasia¹. Here we analyse DNA from a 37,000–42,000-year-old² modern human from Peștera cu Oase, Romania. Although the specimen contains small amounts of human DNA, we use an enrichment strategy to isolate sites that are informative about its relationship to Neanderthals and present-day humans. We find that on the order of 6–9% of the genome of the Oase individual is derived from Neanderthals, more than any other modern human sequenced to date. Three chromosomal segments of Neanderthal ancestry are over 50 centimorgans in size, indicating that this individual had a Neanderthal ancestor as recently as four to six generations back. However, the Oase individual does not share more alleles with later Europeans than with East Asians, suggesting that the Oase population did not contribute substantially to later humans in Europe.

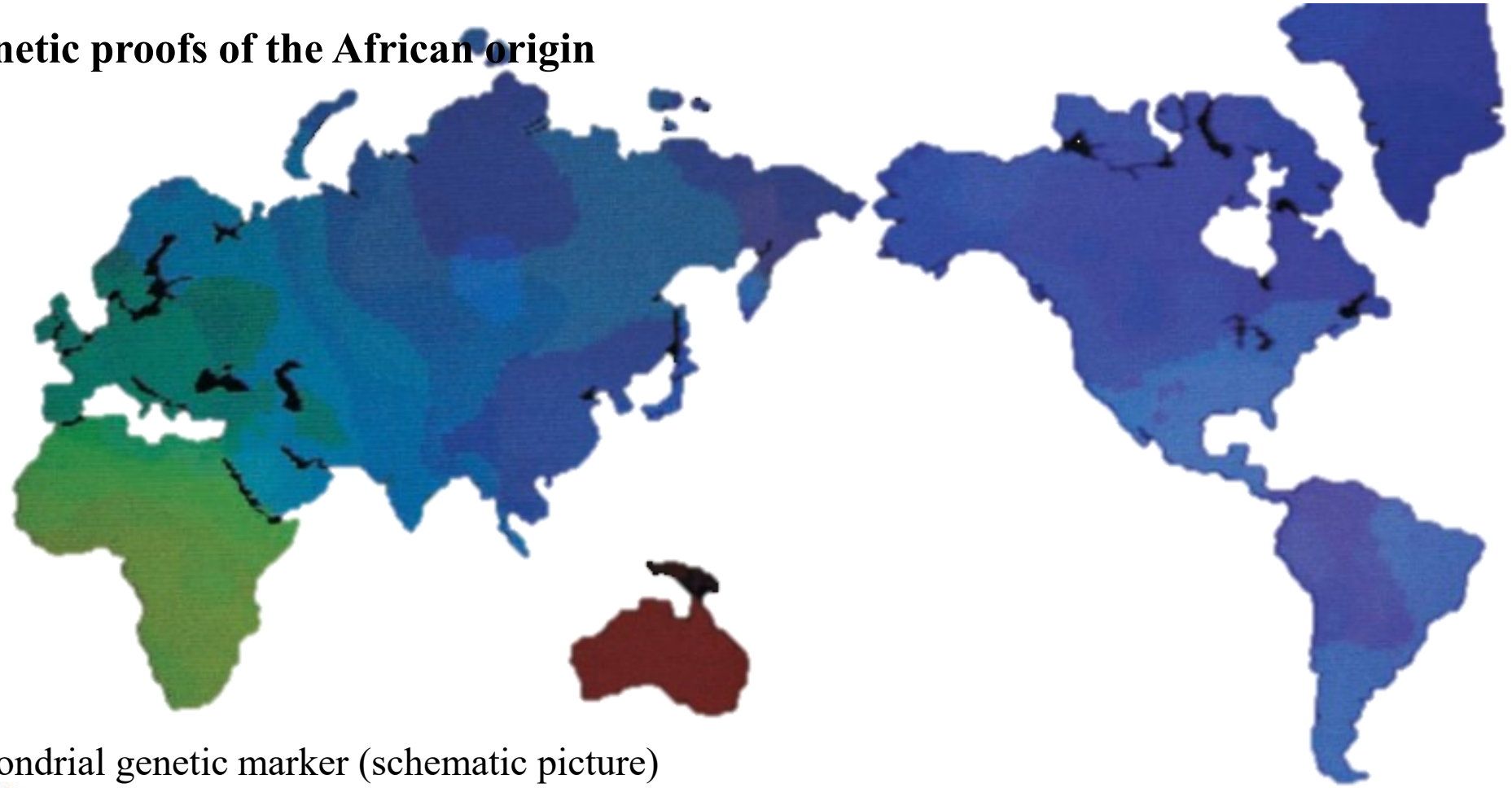


Putative recent Neanderthal ancestry

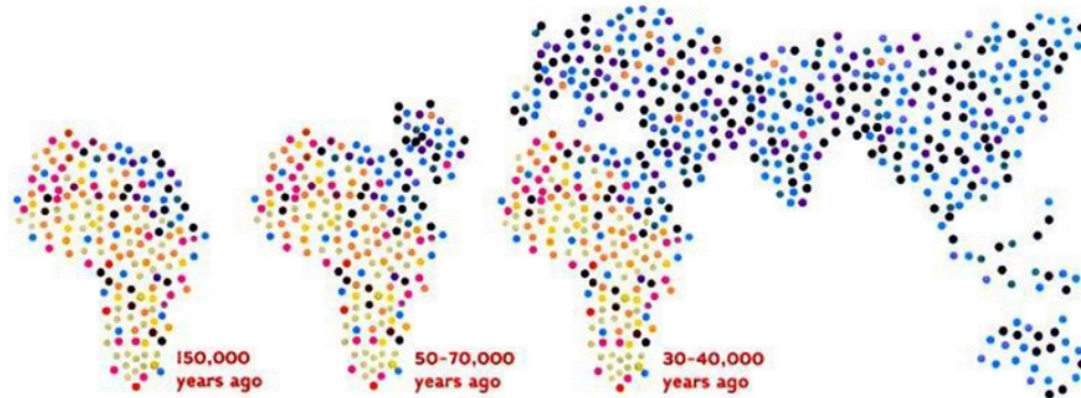


«Out of Africa» Model – Genetic proofs of the African origin

The African origin of modern human
evidenced by the study of actual
mitochondrial DNA



Diversity of one imaginary mitochondrial genetic marker (schematic picture)

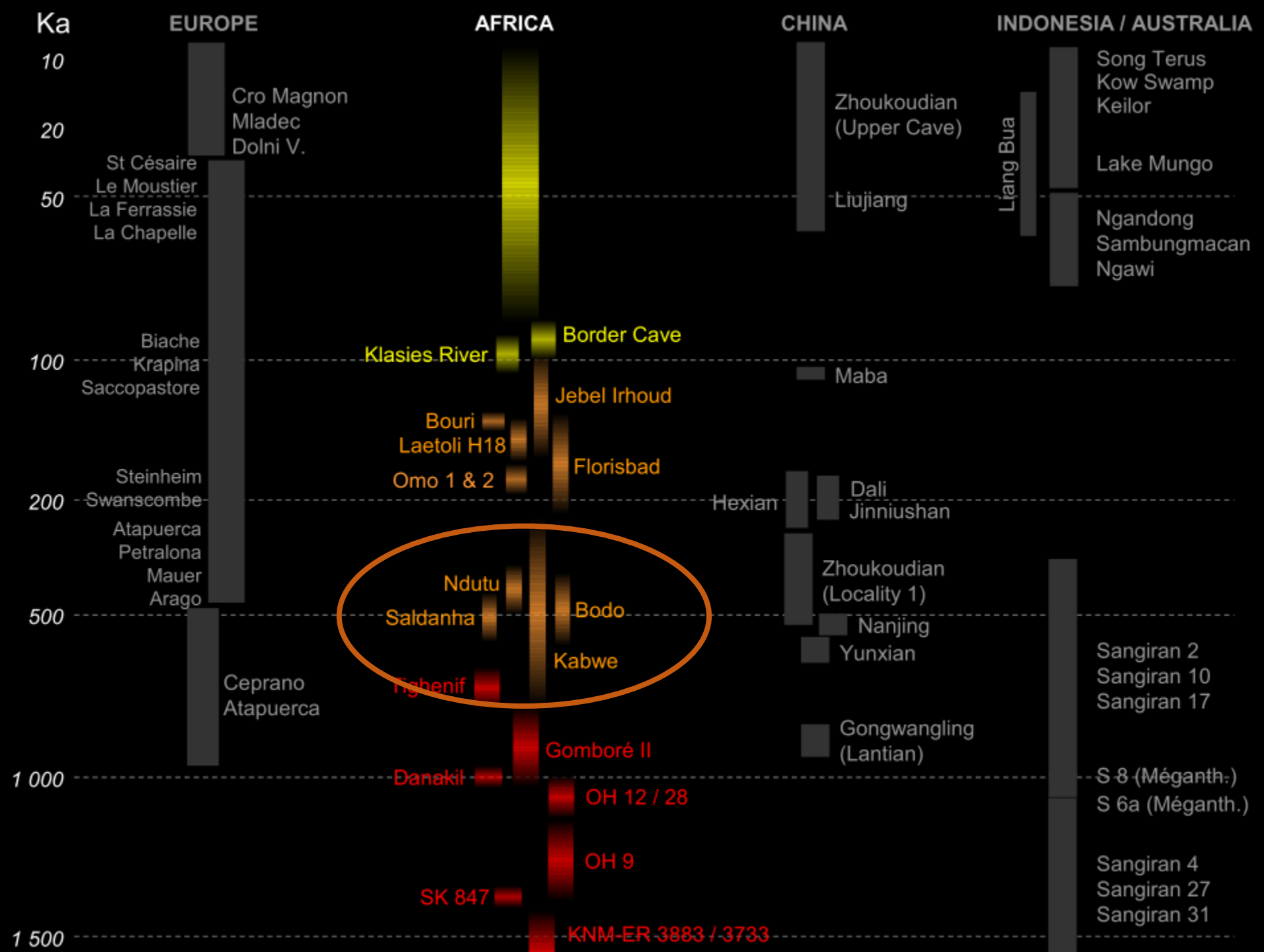


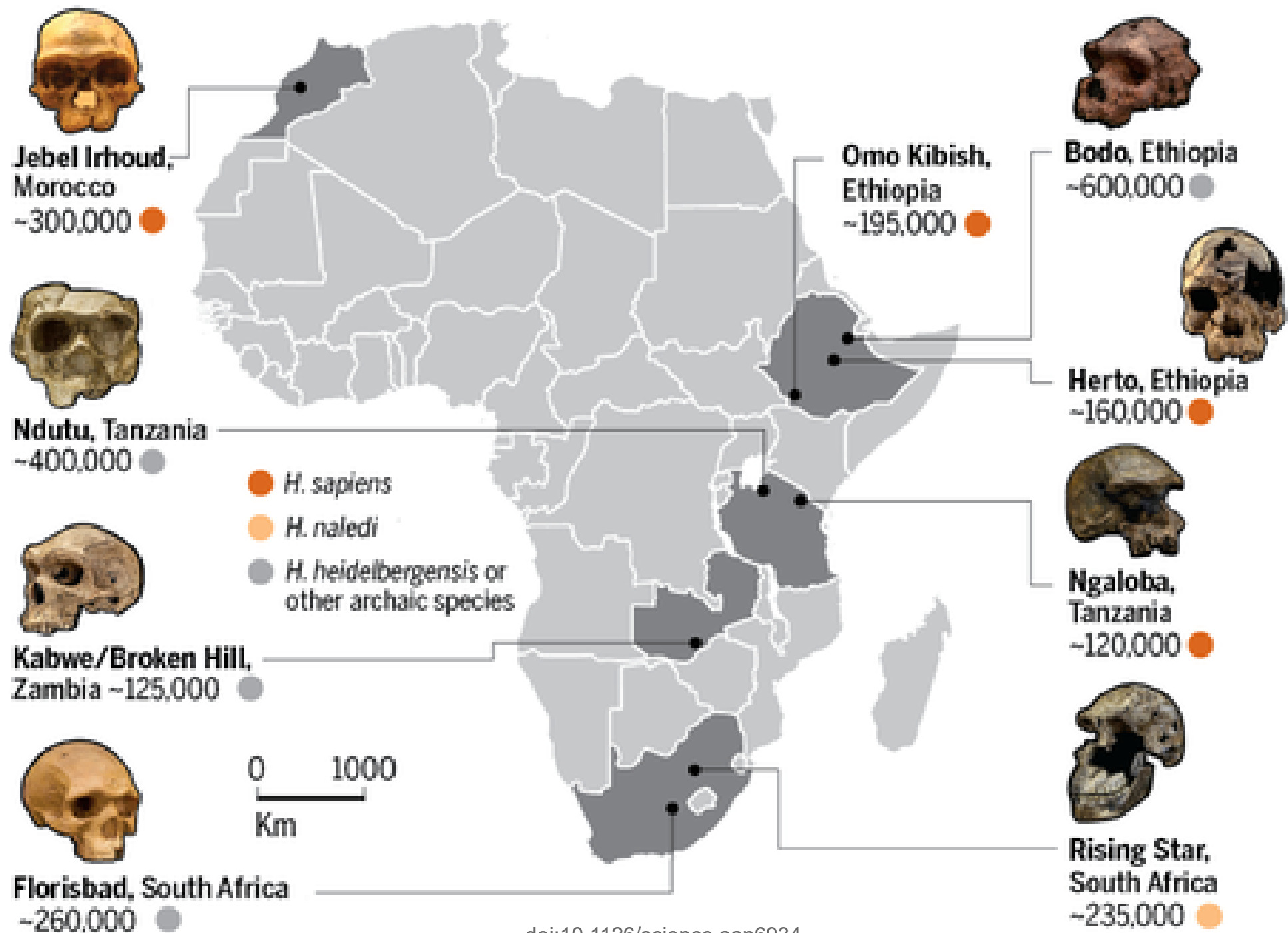
Present variability mtDNA

« all the contemporary and worldwide human mtDNA
variability is also present in Africa (East Africa), thus it is the
most probable place of origin of the species *Homo sapiens*. »



Fossil proofs

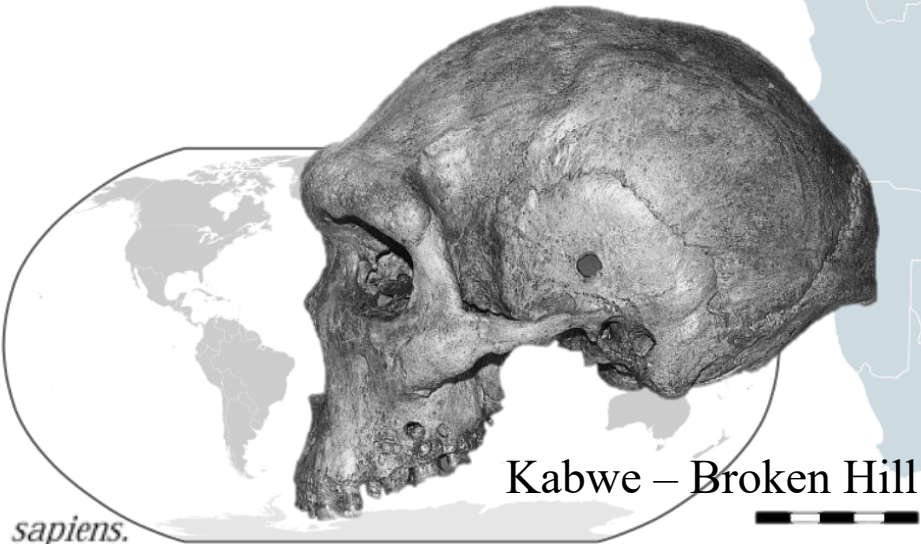
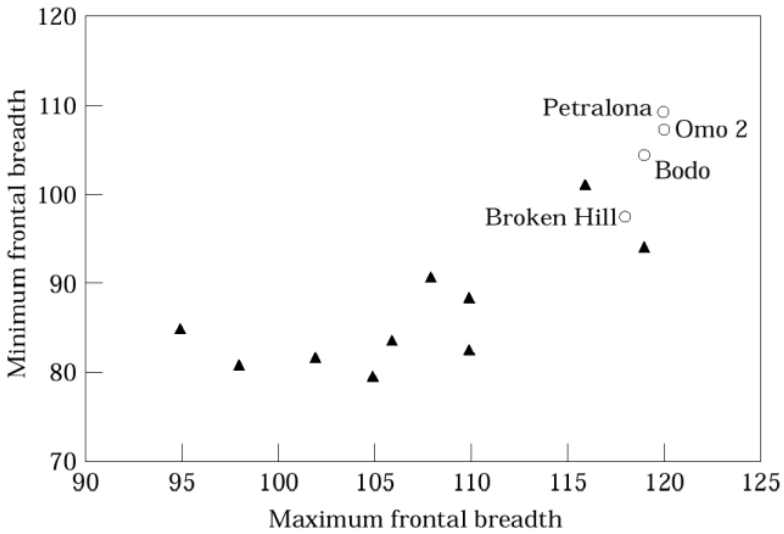




Bodo (Ethiopia) and Kabwe (Broken Hill, Zambia) 600 000 y B.P.?

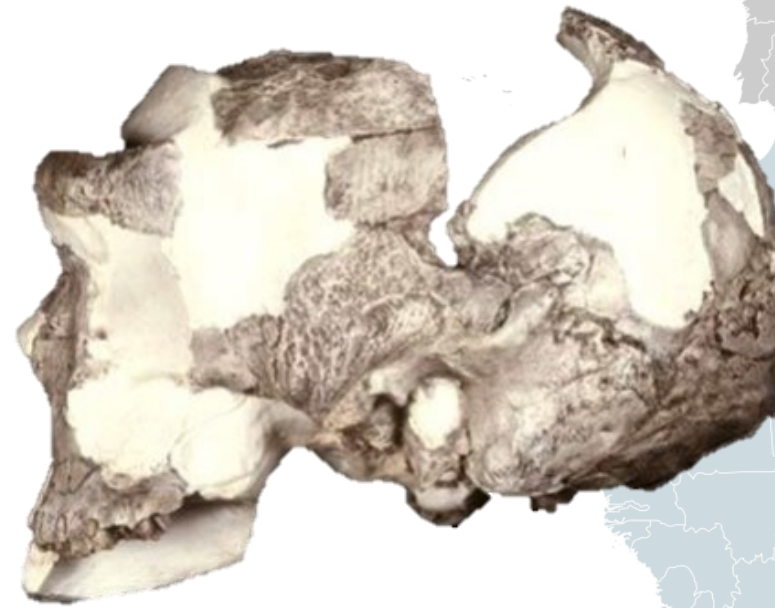


Bodo (Rightmire, 1995)



Kabwe – Broken Hill

(▲), *Homo erectus* (Africa and Asia); (○), “archaic” *Homo sapiens*.

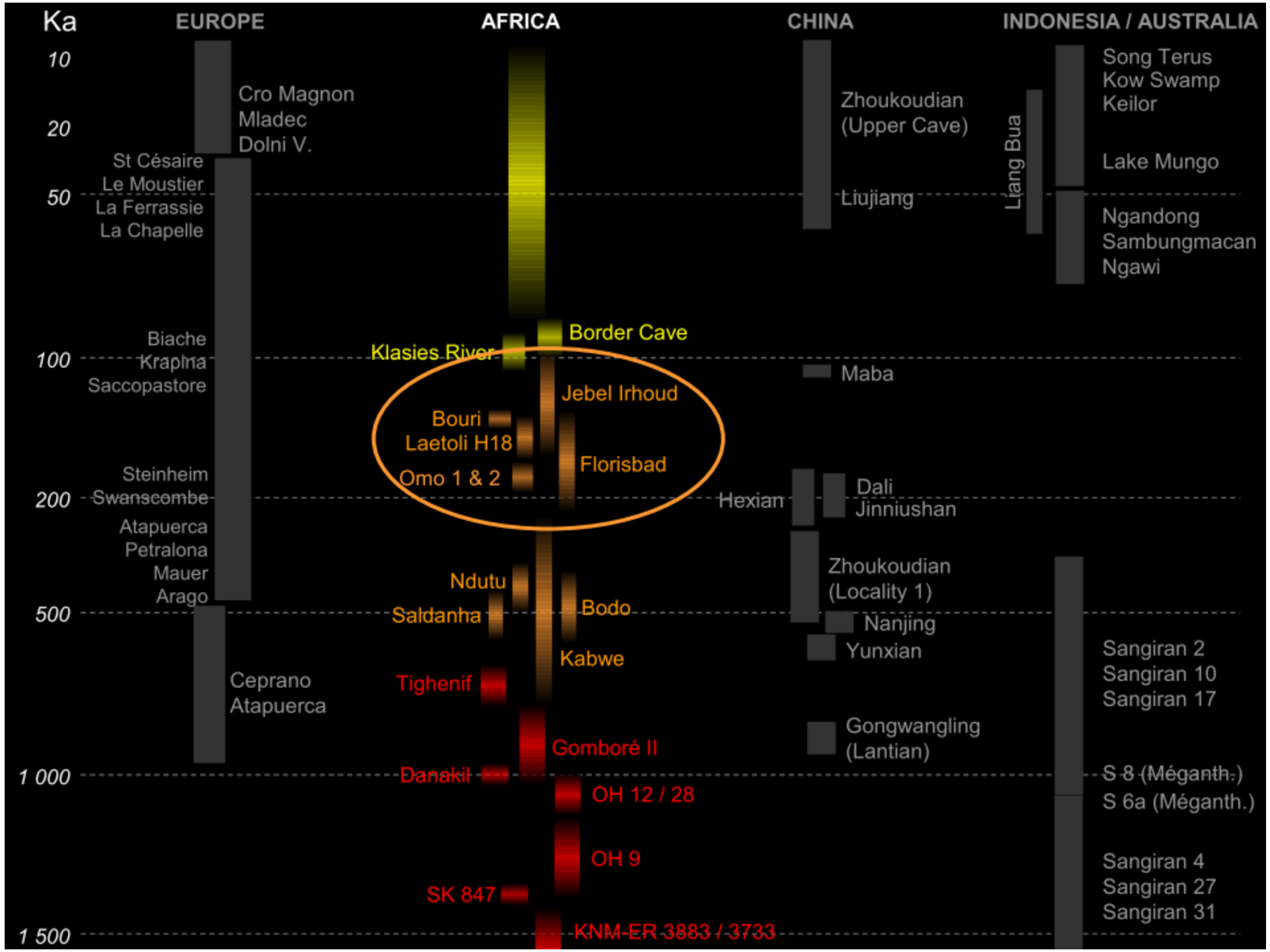


Ndutu, Tanzania
• 400 000 y BP

H. erectus: Dimensions of the cranium, thickness of the vault bones.

Archaic *H. sapiens*: Occipital and mastoid morphology

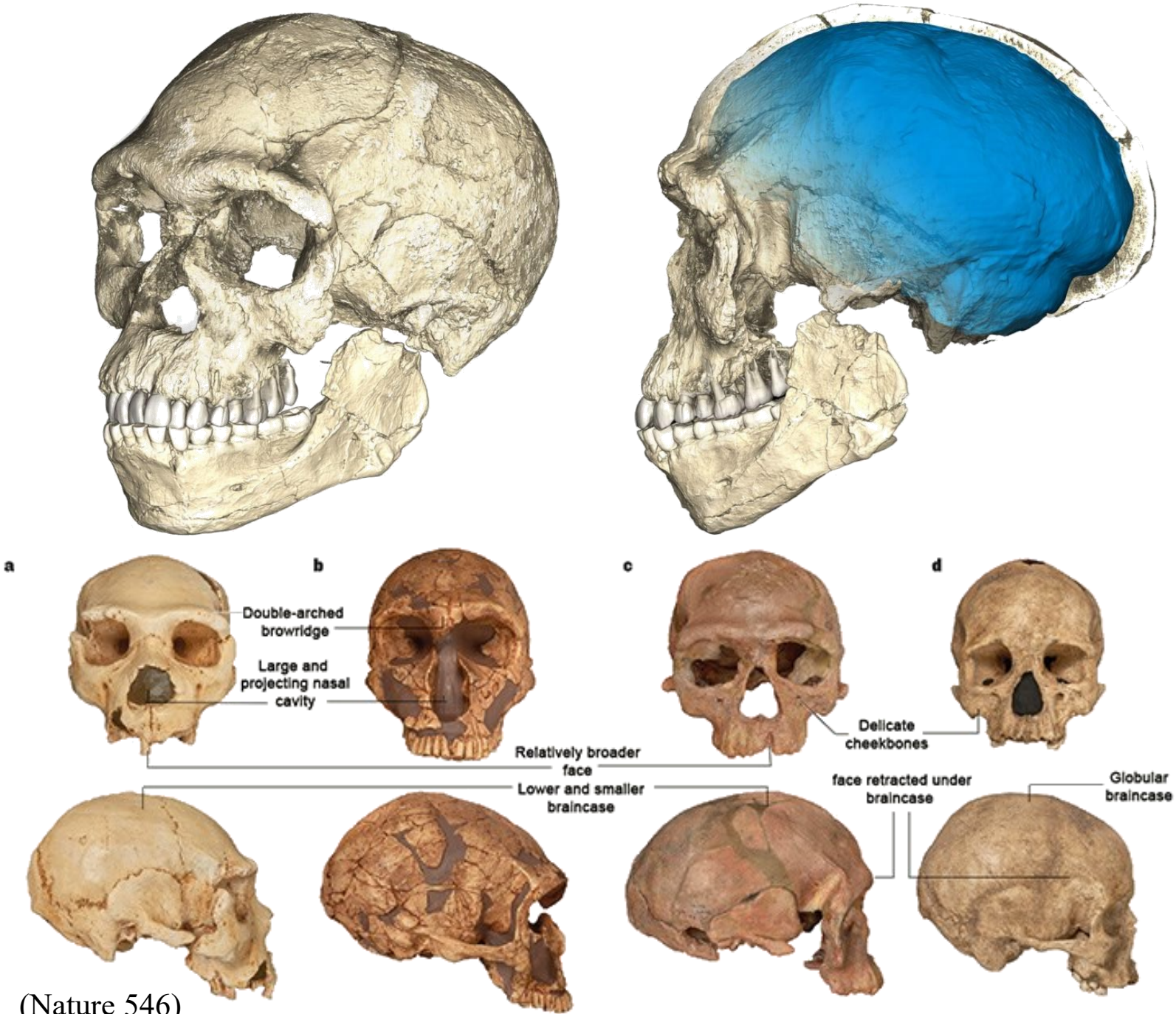




New fossils from Jebel Irhoud, Morocco and the pan-African origin of *Homo sapiens*

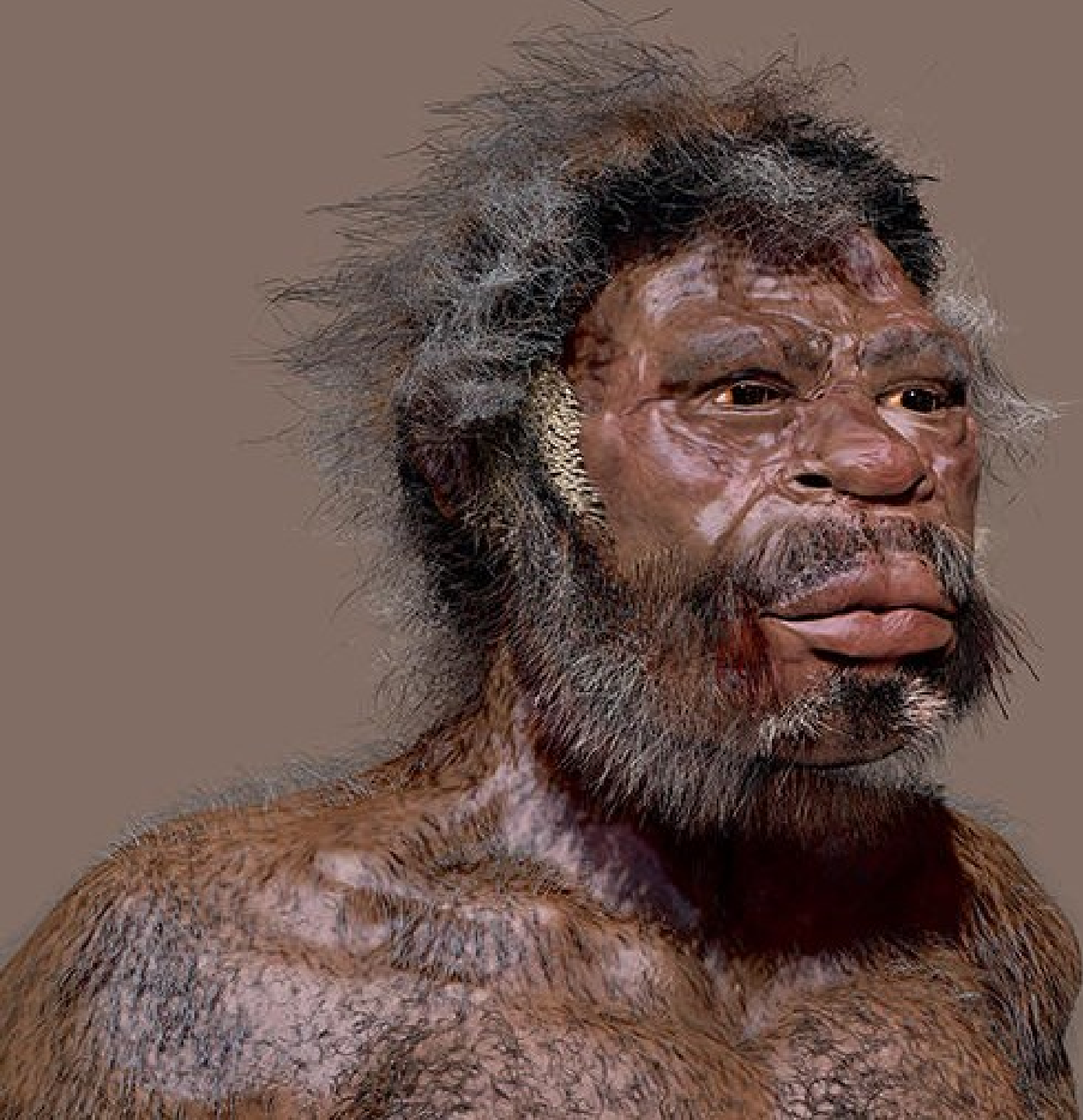
Jean-Jacques Hublin^{1,2}, Abdelouahed Ben-Ncer³, Shara E. Bailey⁴, Sarah E. Freidline¹, Simon Neubauer¹, Matthew M. Skinner⁵, Inga Bergmann¹, Adeline Le Cabec¹, Stefano Benazzi⁶, Katerina Harvati⁷ & Philipp Gunz²

Fossil evidence points to an African origin of *Homo sapiens* from a group called either *H. heidelbergensis* or *H. rhodesiensis*. However, the exact place and time of emergence of *H. sapiens* remain obscure because the fossil record is scarce and the chronological age of many key specimens remains uncertain. In particular, it is unclear whether the present day ‘modern’ morphology rapidly emerged approximately 200 thousand years ago (ka) among earlier representatives of *H. sapiens*¹ or evolved gradually over the last 400 thousand years². Here we report newly discovered human fossils from Jebel Irhoud, Morocco, and interpret the affinities of the hominins from this site with other archaic and recent human groups. We identified a mosaic of features including facial, mandibular and dental morphology that aligns the Jebel Irhoud material with early or recent anatomically modern humans and more primitive neurocranial and endocranial morphology. In combination with an age of **315 ± 34 thousand years** (as determined by thermoluminescence dating)³, this evidence makes Jebel Irhoud the oldest and richest African Middle Stone Age hominin site that documents early stages of the *H. sapiens* clade in which key features of modern morphology were established. Furthermore, it shows that the evolutionary processes behind the emergence of *H. sapiens* involved the whole African continent.



(Nature 546)

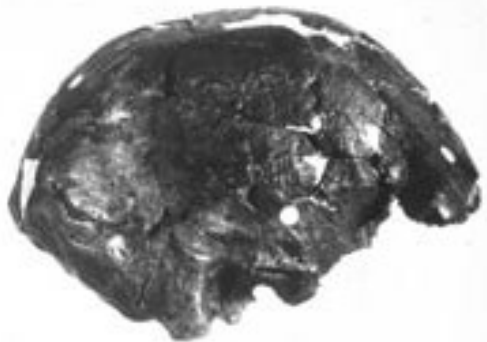
Modern face but archaic cranium.



Omo Kibish, Ethiopia
195 000 - 130 000 y BP



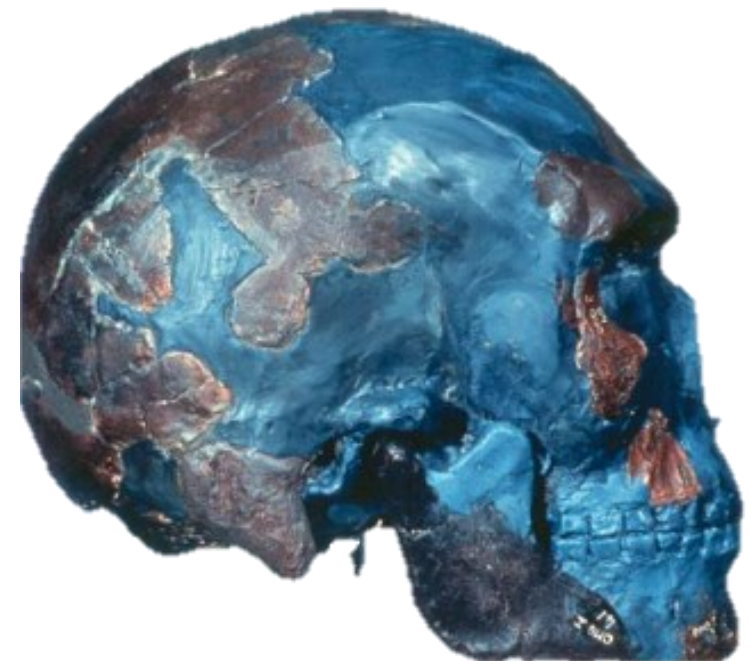
Omo 1



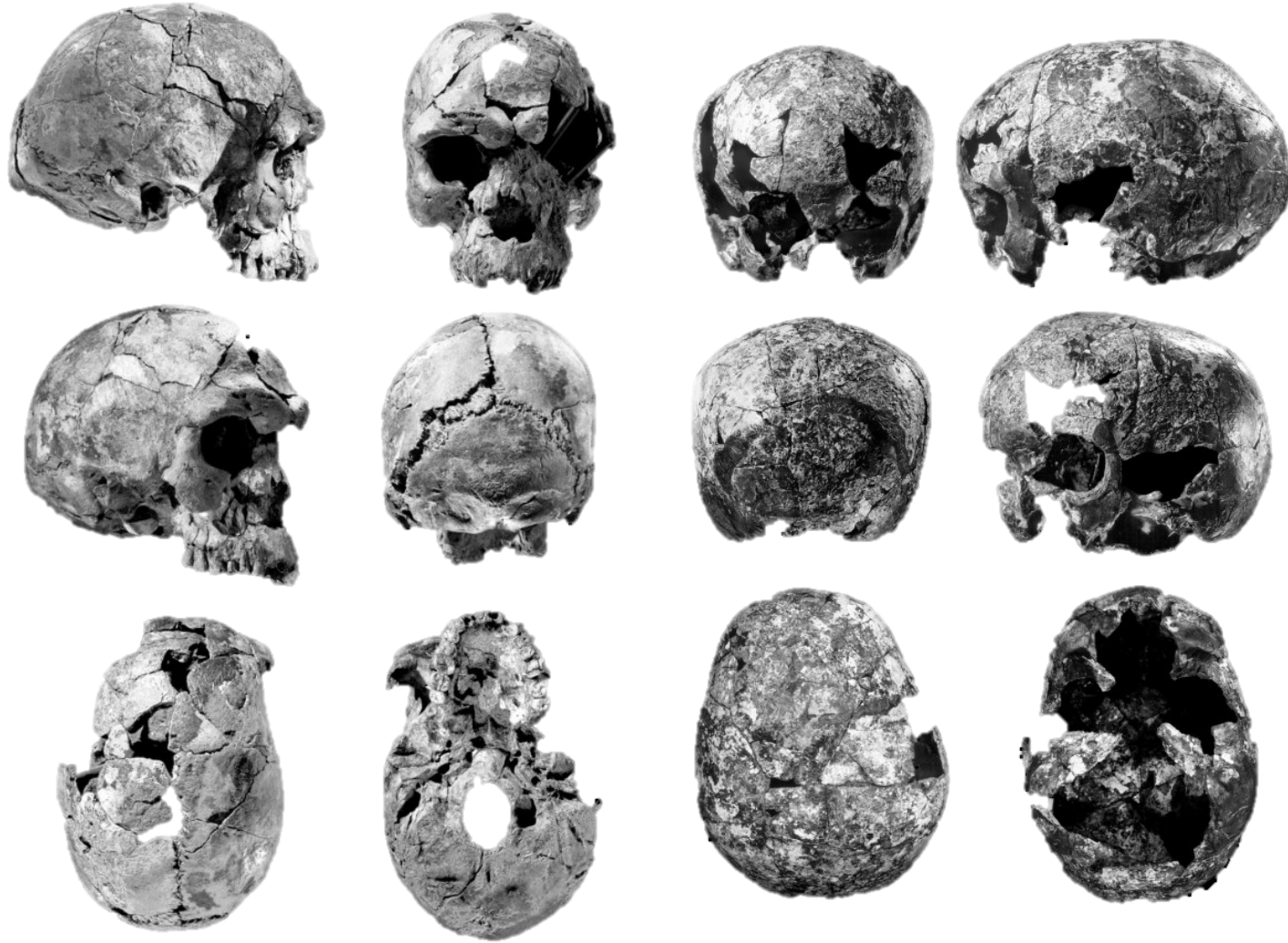
Omo 2



Bab El-Mandeb strait, the area where Africa and Saudi Arabia are close.



Herto Bouri, Middle Awash, Ethiopia
154-160 000 y BP



(White, 2003)



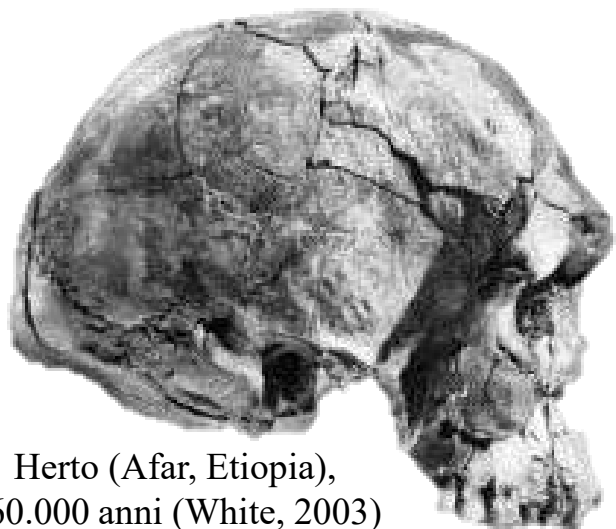
Bab El-Mandeb strait, the area where Africa and Saudi Arabia are close.

Homo sapiens idaltu

The remains were discovered for the first time in the site of Herto Bouri (Ethiopia) and have been dated to 160 ka BP.



"Because the Herto hominids are morphologically just beyond the range of variation seen in AMHS [anatomically modern *Homo sapiens*], and because they differ from all other known fossil hominids, we recognize them here as *Homo sapiens idaltu*, a new palaeosubspecies of *Homo sapiens*".



Herto (Afar, Ethiopia),
160.000 anni (White, 2003)

Order Primates L., 1758
Suborder Anthropoidea Mivart, 1864
Superfamily Hominoidea Gray, 1825
Family Hominidae Gray, 1825
Homo sapiens idaltu subsp. nov.

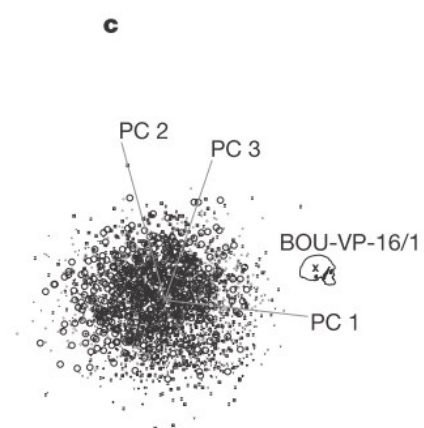
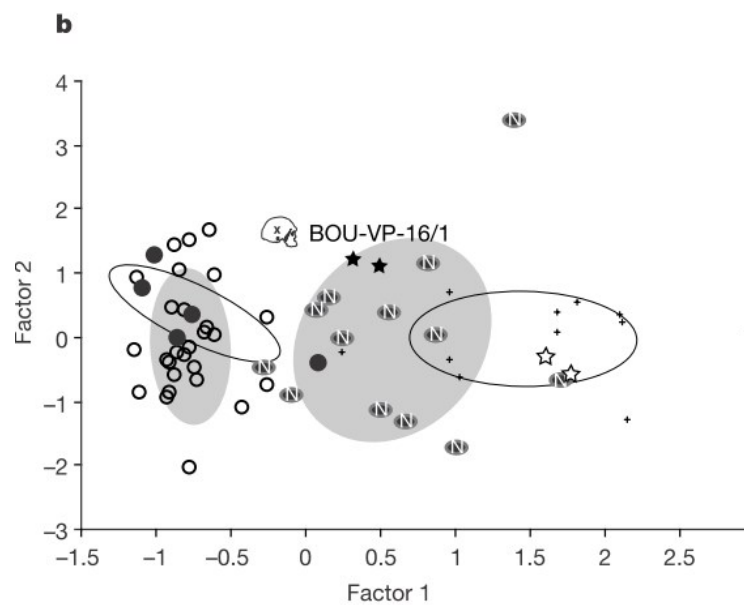
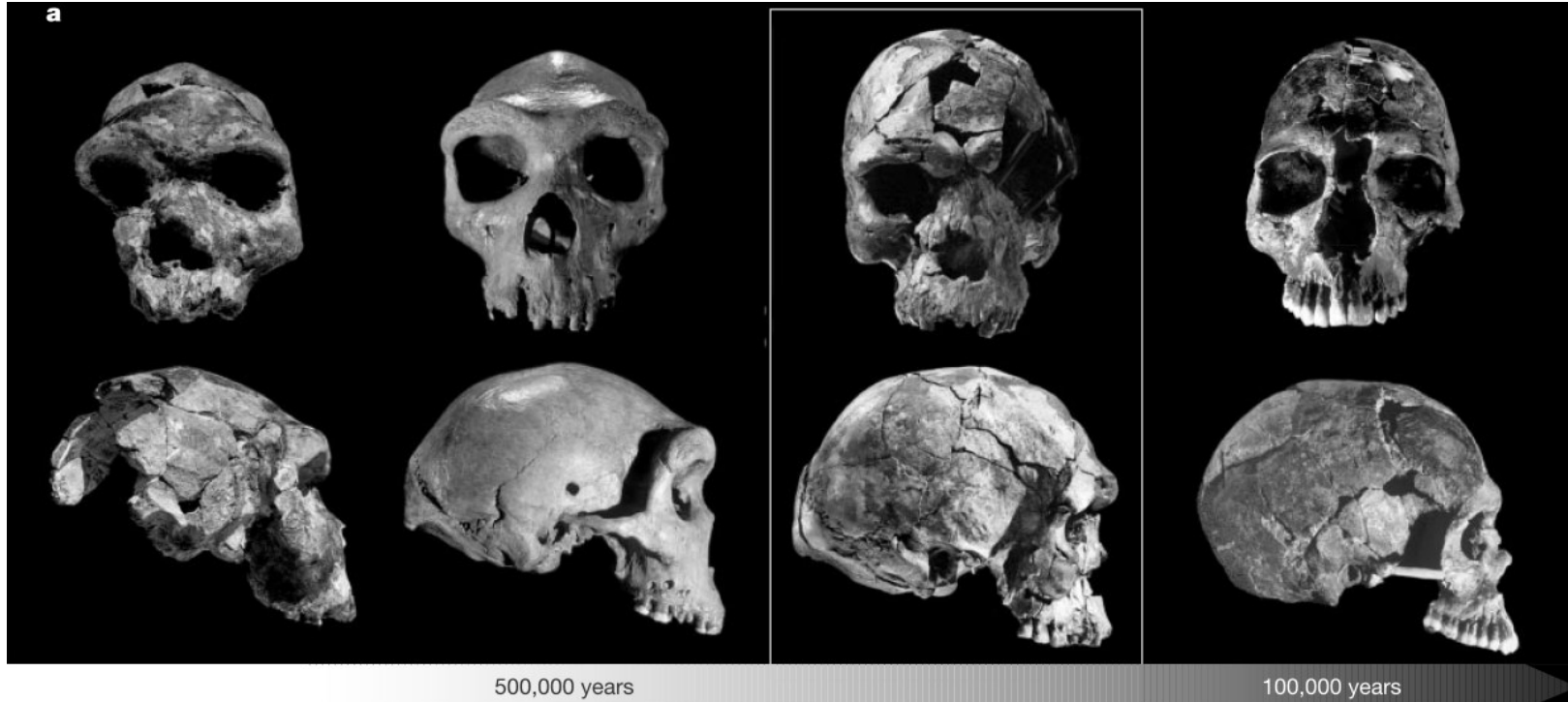
Etymology. The subspecies name 'idaltu' is taken from the Afar language. It means 'elder'.

Holotype. BOU-VP-16/1 (Fig. 1), an adult cranium with partial dentition. Holotype and referred material are housed at the National Museum of Ethiopia, Addis Ababa. Holotype from Bouri Vertebrate Paleontology Locality 16 (BOU-VP 16); differentially corrected GPS coordinates: 10° 15.5484' N and 40° 33.3834' E.

Referred material. BOU-VP-16/2 cranial fragments; BOU-VP-16/3 parietal fragment; BOU-VP-16/4 parietal fragment; BOU-VP-16/5 child's cranium; BOU-VP-16/6 R. upper molar; BOU-VP-16/7 parietal fragment, BOU-VP-16/18 parietal fragments; BOU-VP-16/42 upper premolar, BOU-VP-16/43 parietal fragment.

Stratigraphy and age. Bouri Formation, Upper Herto Member. Dated by $^{40}\text{Ar}/^{39}\text{Ar}$ to between 160,000 and 154,000 years ago (ref. 6).

Diagnosis. On the limited available evidence, a subspecies of *Homo sapiens* distinguished from Holocene anatomically modern humans (*Homo sapiens sapiens*) by greater craniofacial robusticity, greater anterior–posterior cranial length, and large glenoid-to-occlusal plane distance. *Homo sapiens idaltu* is distinguished from the holotype of *Homo rhodesiensis* (Woodward, 1921) by a larger cranial capacity, a more vertical frontal with smaller face, and more marked midfacial topography (for example, canine fossa). We consider the holotypes of *H. helmei* and *H. njarasensis* too fragmentary for appropriate comparisons.



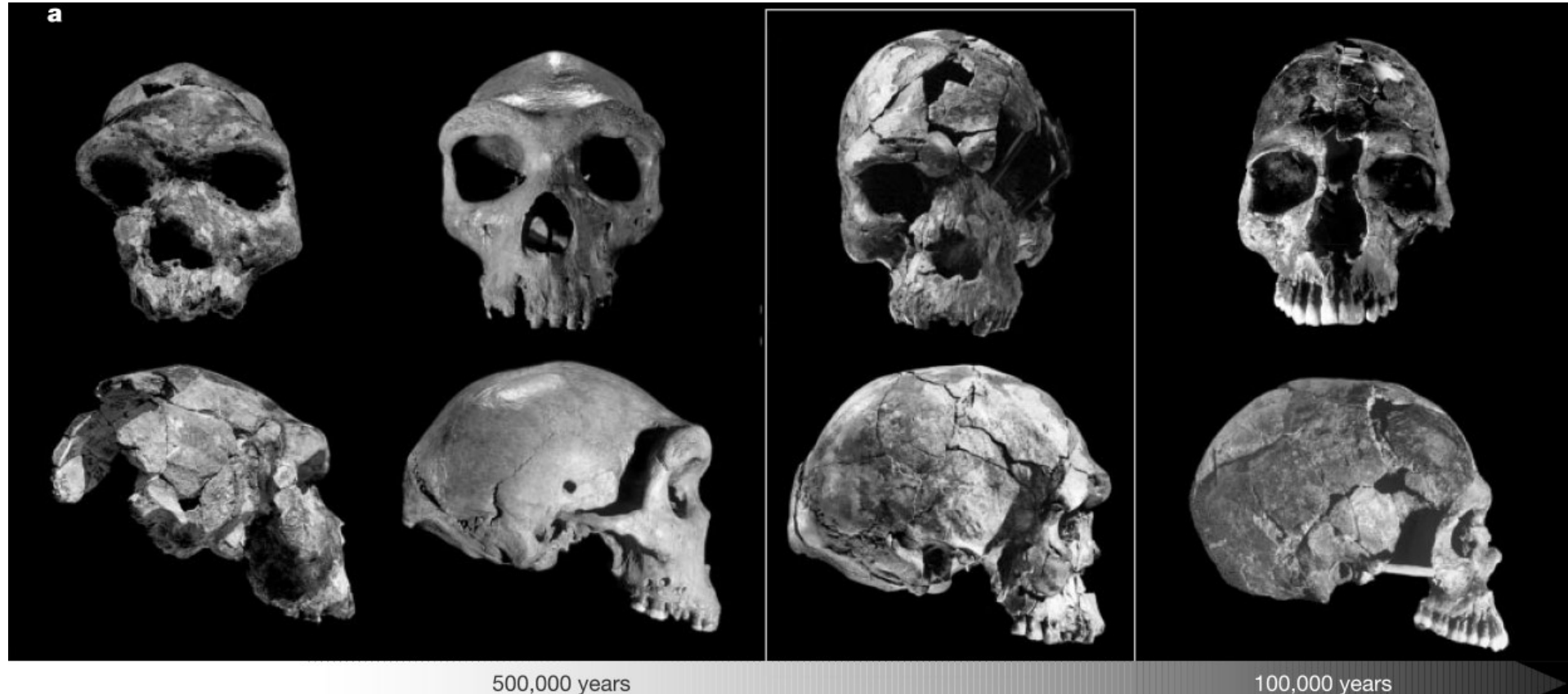
(White et al. 2003)

The african « evolutionary sequence » for *Homo sapiens*

Archaic *Homo sapiens*
Homo rhodesiensis?
Homo heidelbergensis?



Anat. modern
Homo sapiens

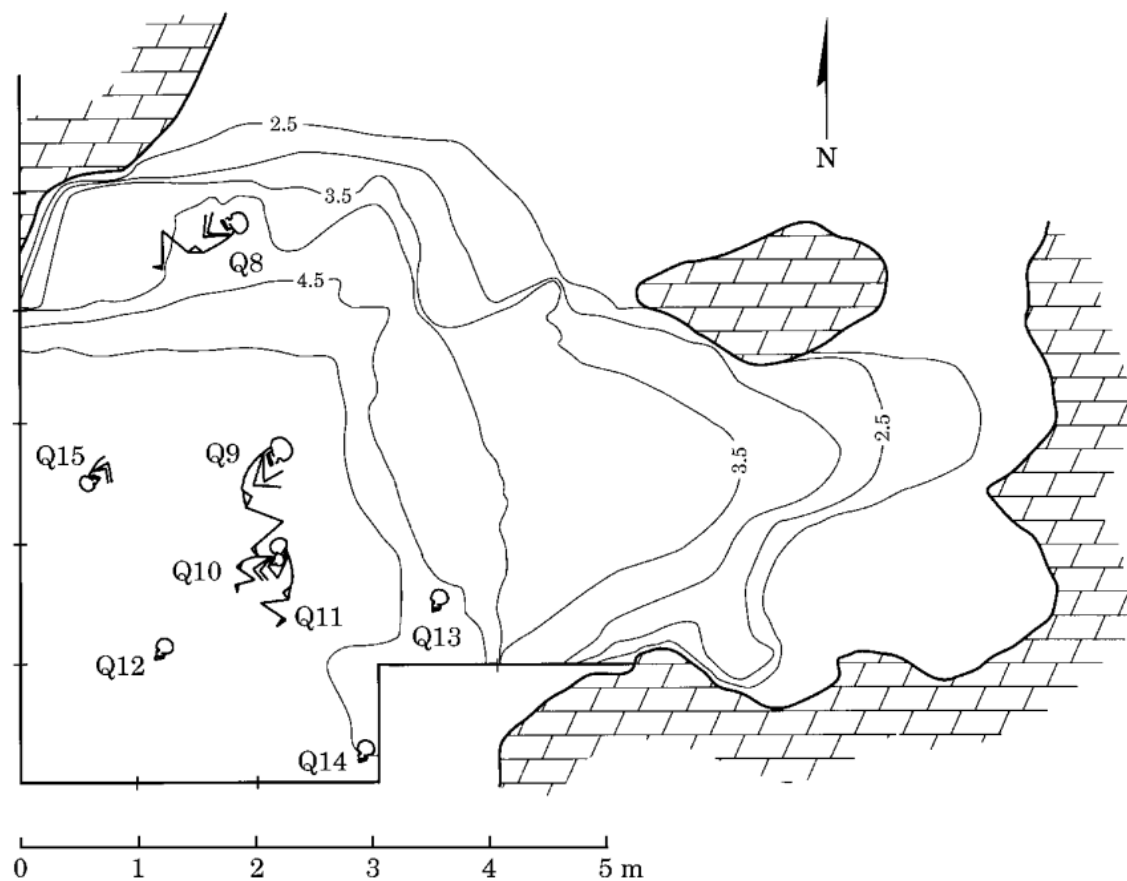


Homo sapiens idaltu



Qafzeh, Israel
90-100 000 y BP

Human remains (NMI 25 individuals)
Mousterian assemblage



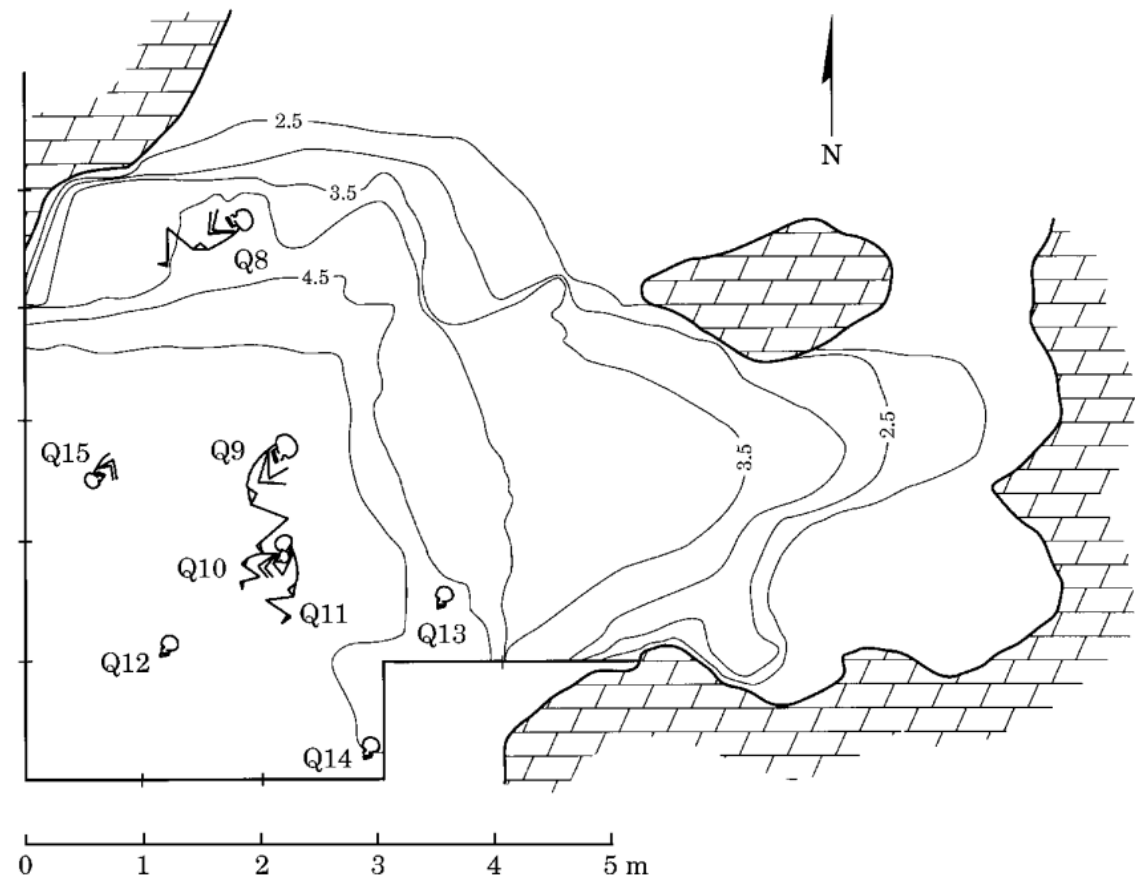
(Vandermeersch, 1981)



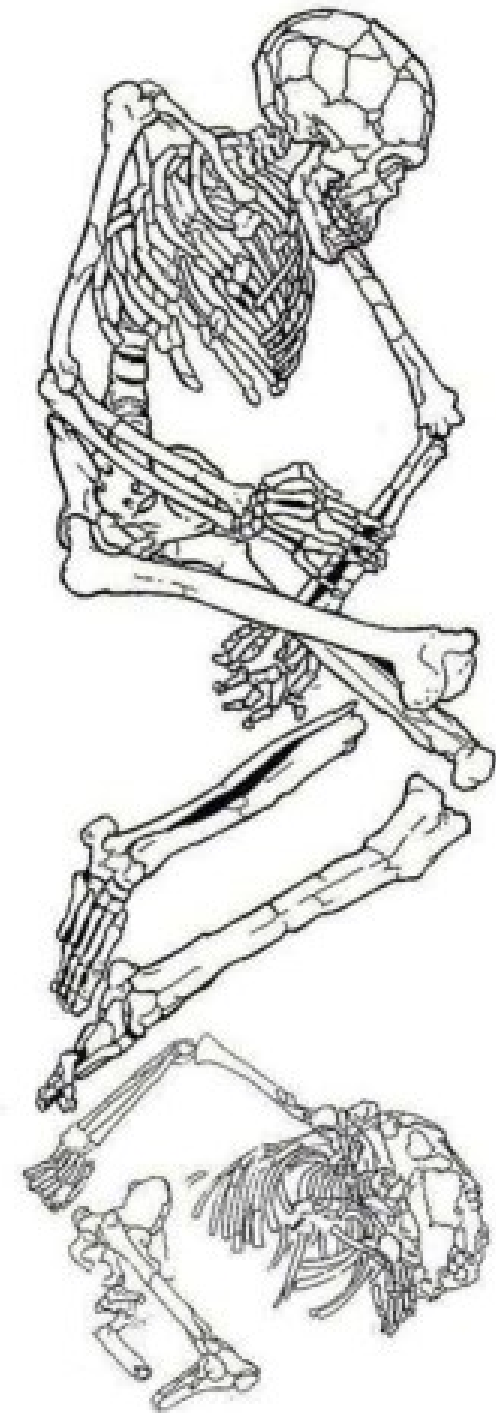
Qafzeh 9

Qafzeh, Israel
90-100 000 y BP

Multiple burial



(Vandermeersch, 1981)



The first *Homo sapiens* in the Near-East

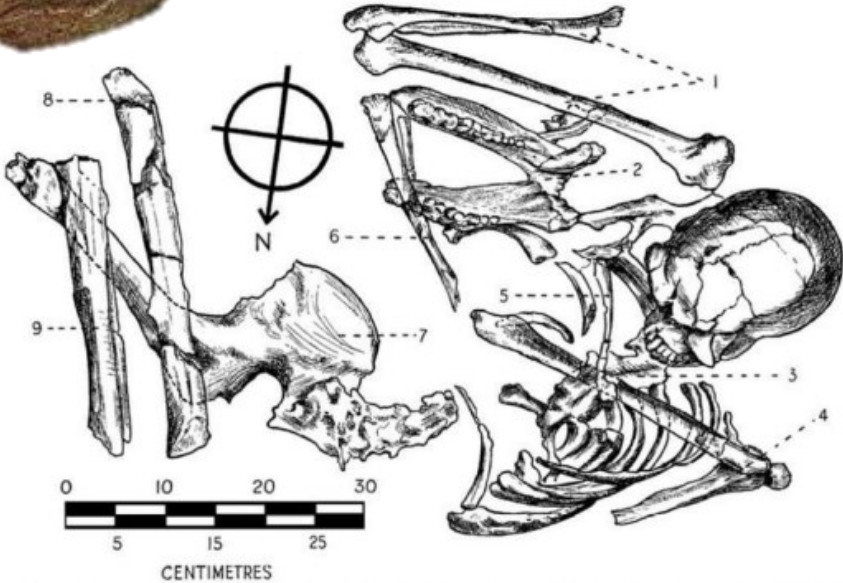
Skhul - Israel (100.000 BP)

- Mousterian lithic industries
- 10 complete skeleton
- Archaic morphology (attributed at the beginning to *Homo neanderthalensis*)



Skhul IV

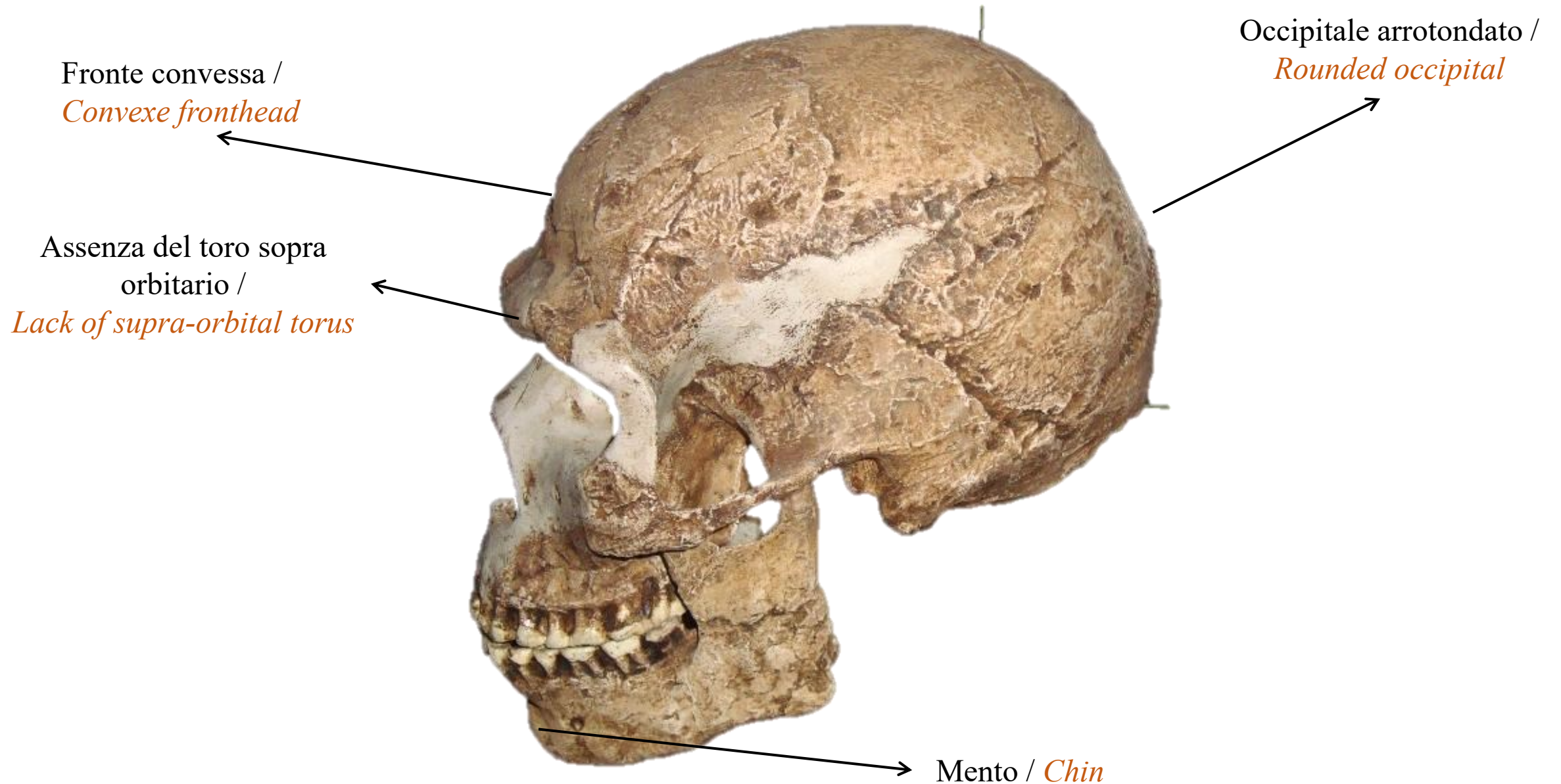
Skhul V, Israel
100 000 y BP

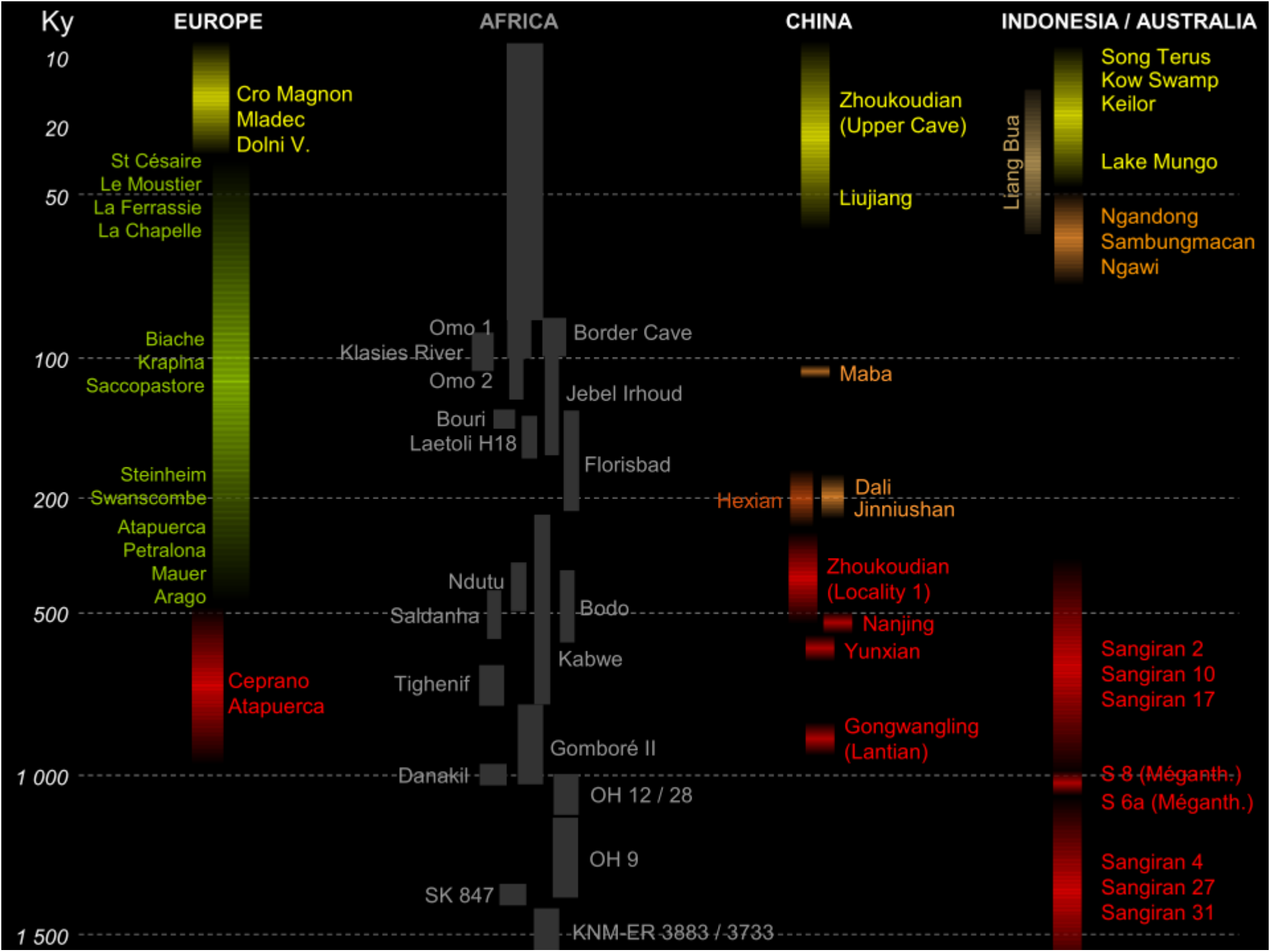


2. Plan of the contracted burial of a tall male, Skhul V. 1, right arm; 2, Pig's mandible; 3, dorsal vertebrae; 4, left scapula and humerus; 5, left clavicle; 6, left radius; 7, right ilium; 8, left femur; 9, left tibia and fibula.

(Garod & Bate, 1937)

The first *Homo sapiens* in the Near-East





Fossil record

Homo erectus

East Asia (China)

Southeast Asia

mainland

insular (Java)

H. erectus /archaic *H. sapiens*

East Asia (China)

Southeast Asia

insular (Java)

fossil *Homo sapiens*

East Asia

Southeast Asia

Australia

Pacific



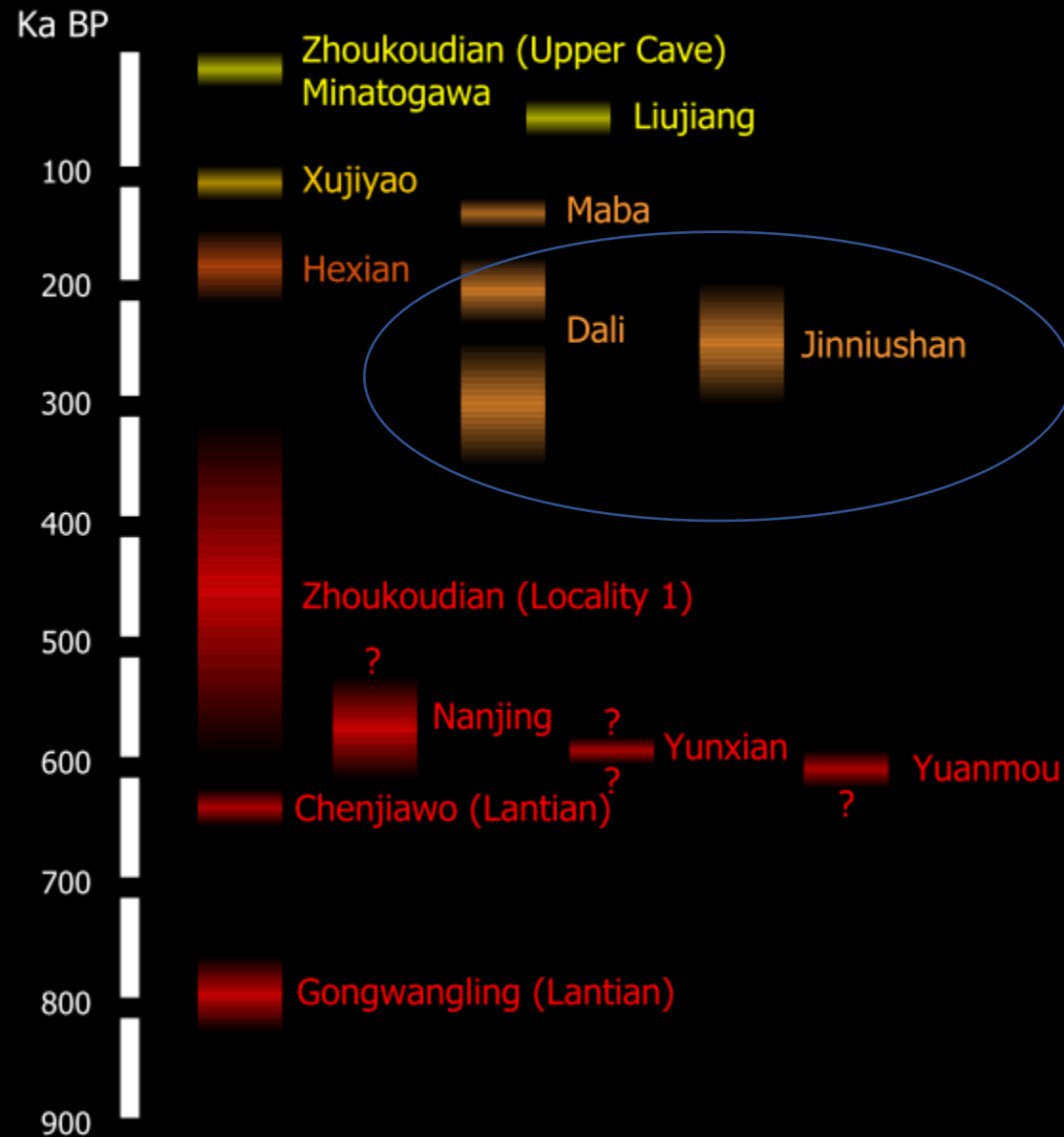
Chronology of Chinese human fossils

Anatomically modern
Homo sapiens

“Archaic” *Homo sapiens*
transition forms?

~*Homo heidelbergensis*?

Homo erectus



Narmada Valley, India
Middle Pleistocene— 230 ka?

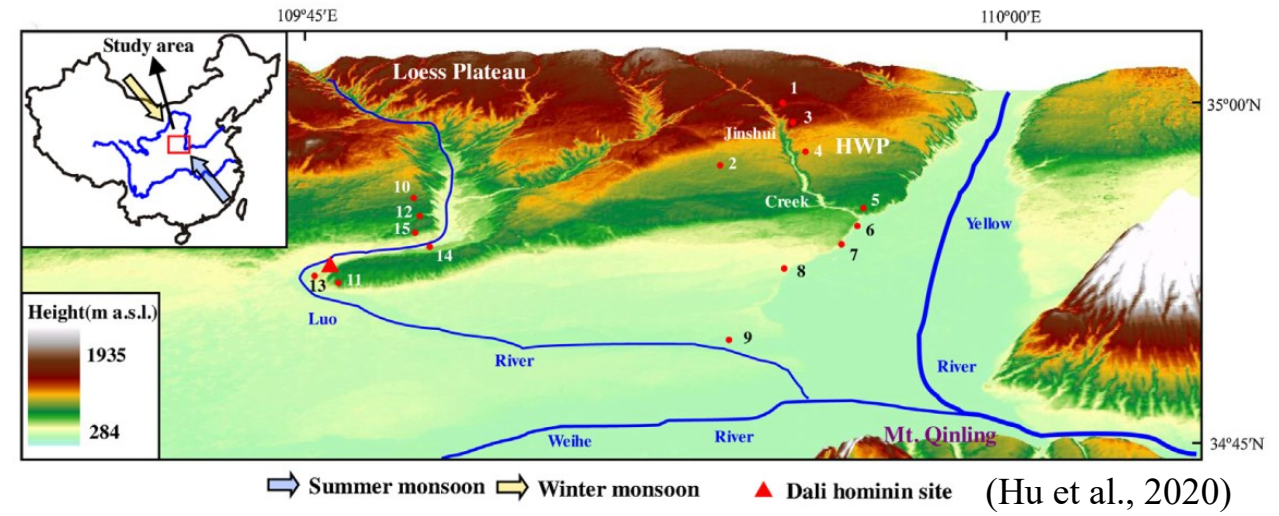
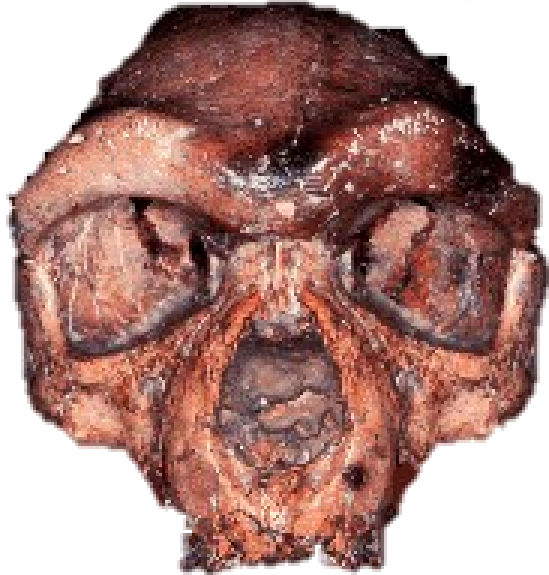
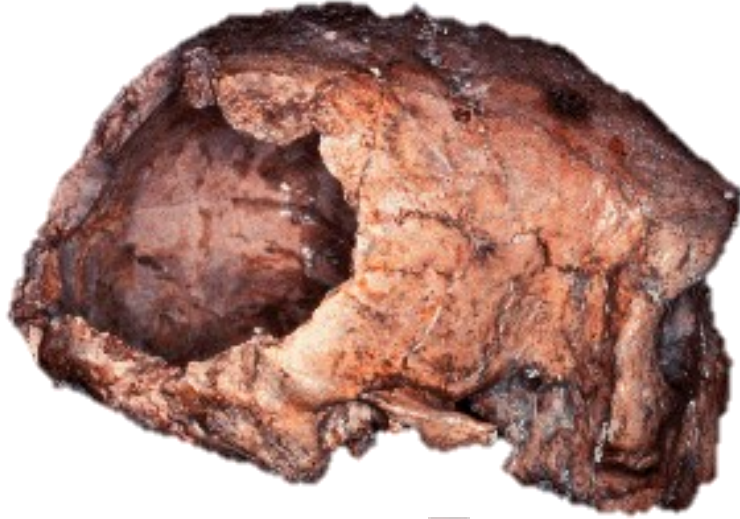


Dali, China

180 – 230 ky BP (U-Th on tooth)

250-350 ky BP (ESR – U-Th on tooth)

~550 ky BP (Geomorphology, Hu et al., 2020)



Mosaic of *H. erectus* and *H. sapiens* features
« archaic » *H. sapiens* = *H. rhodesiensis*, *H. heidelbergensis*?

Jinniushan, China
260 000 y B.P.

« archaic » *H. sapiens* = *Homo rhodesiensis* / *Homo heidelbergensis*?



(Rosenberg et al., 2006)



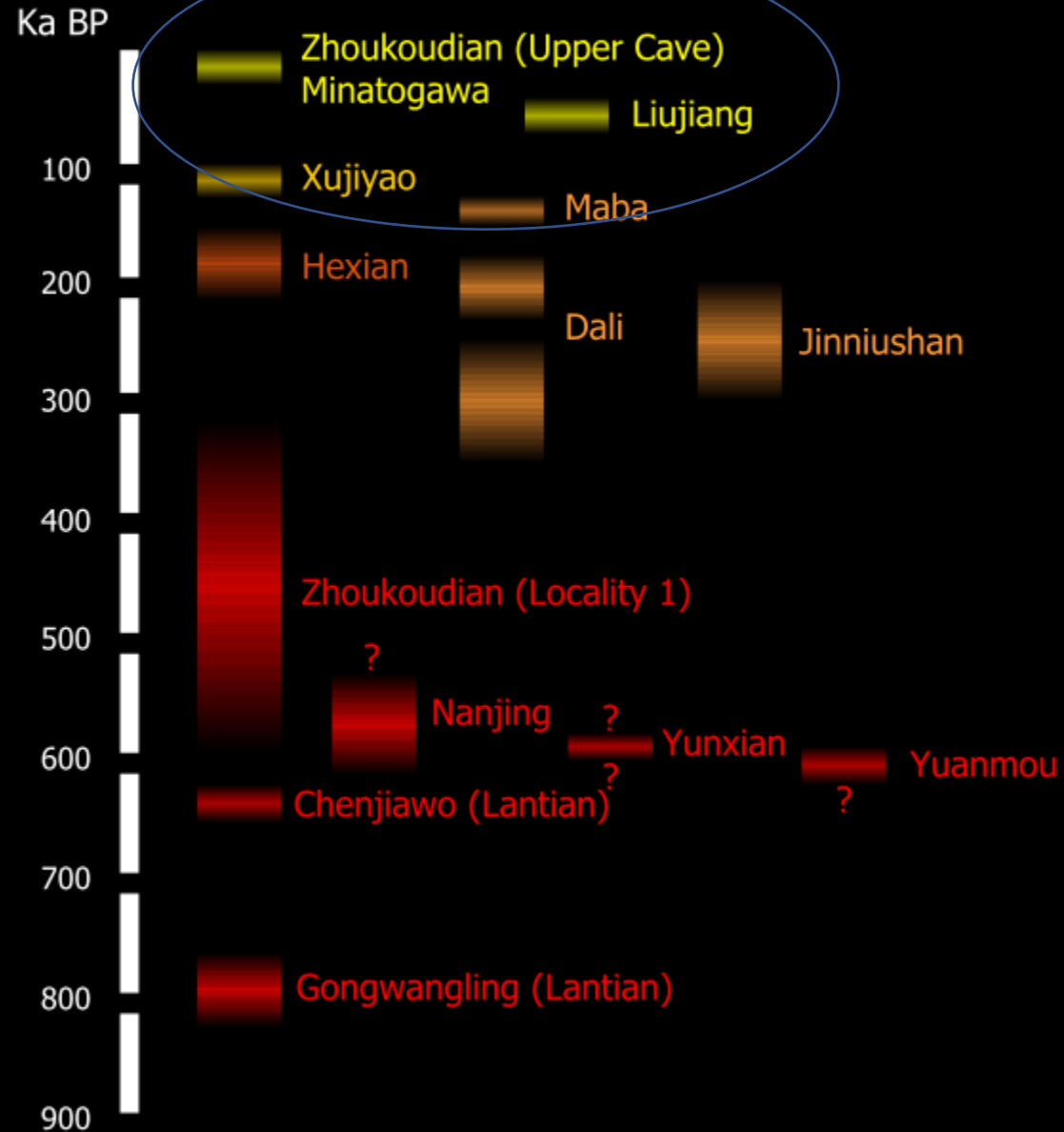
Chronology of Chinese human fossils

Anatomically modern
Homo sapiens

“Archaic” *Homo sapiens*
transition forms?

~*Homo heidelbergensis*?

Homo erectus



The earliest unequivocally modern humans in southern China

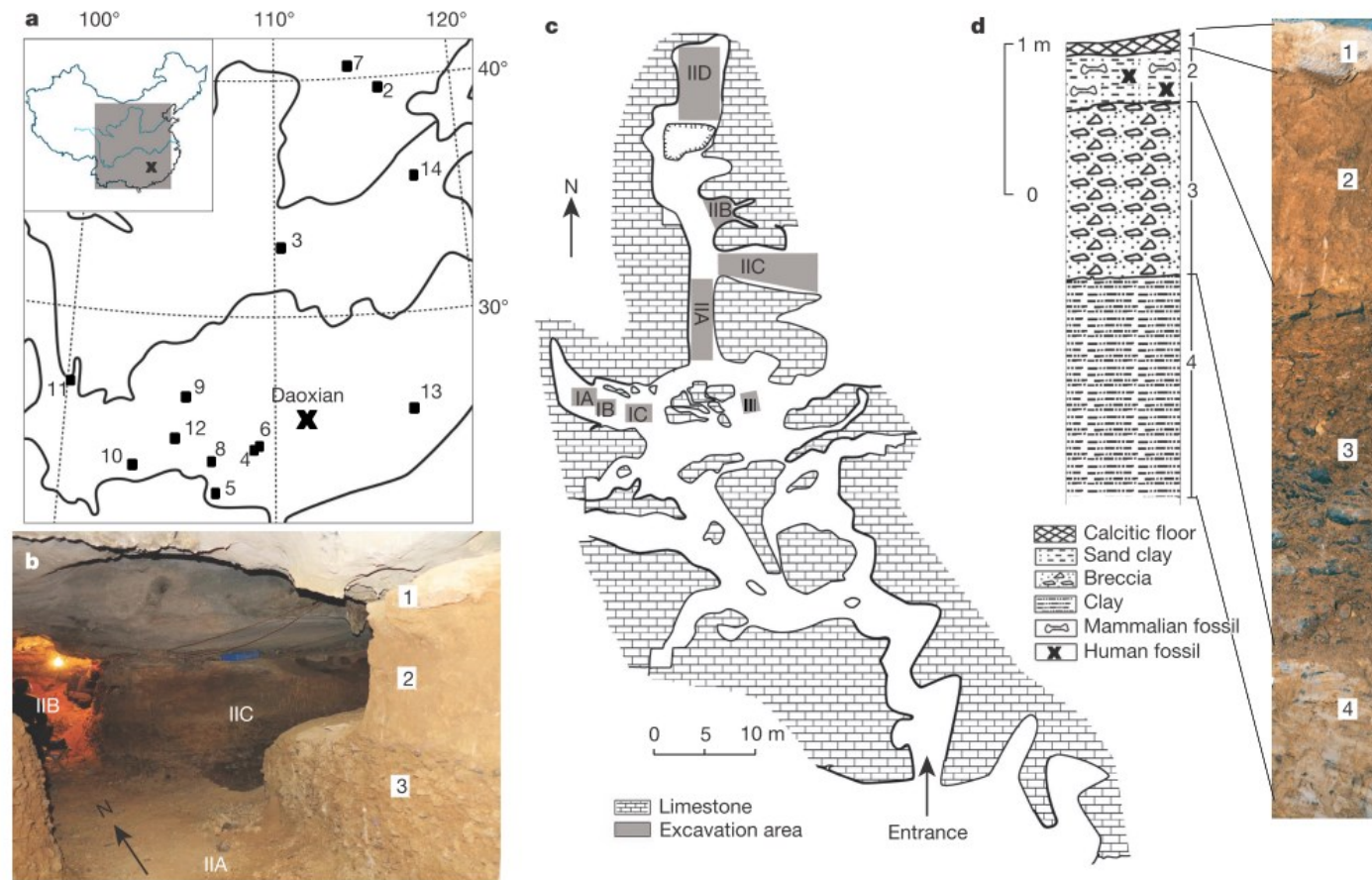
Wu Liu^{1*}, María Martínón-Torres^{2,3,4*}, Yan-jun Cai⁵, Song Xing¹, Hao-wen Tong¹, Shu-wen Pei¹, Mark Jan Sier^{4,6,7}, Xiao-hong Wu⁸, R. Lawrence Edwards⁹, Hai Cheng¹⁰, Yi-yuan Li¹¹, Xiong-xin Yang¹², José María Bermúdez de Castro^{2,4} & Xiu-jie Wu^{1*}

Fuyan Cave in Daoxian

47 denti umane

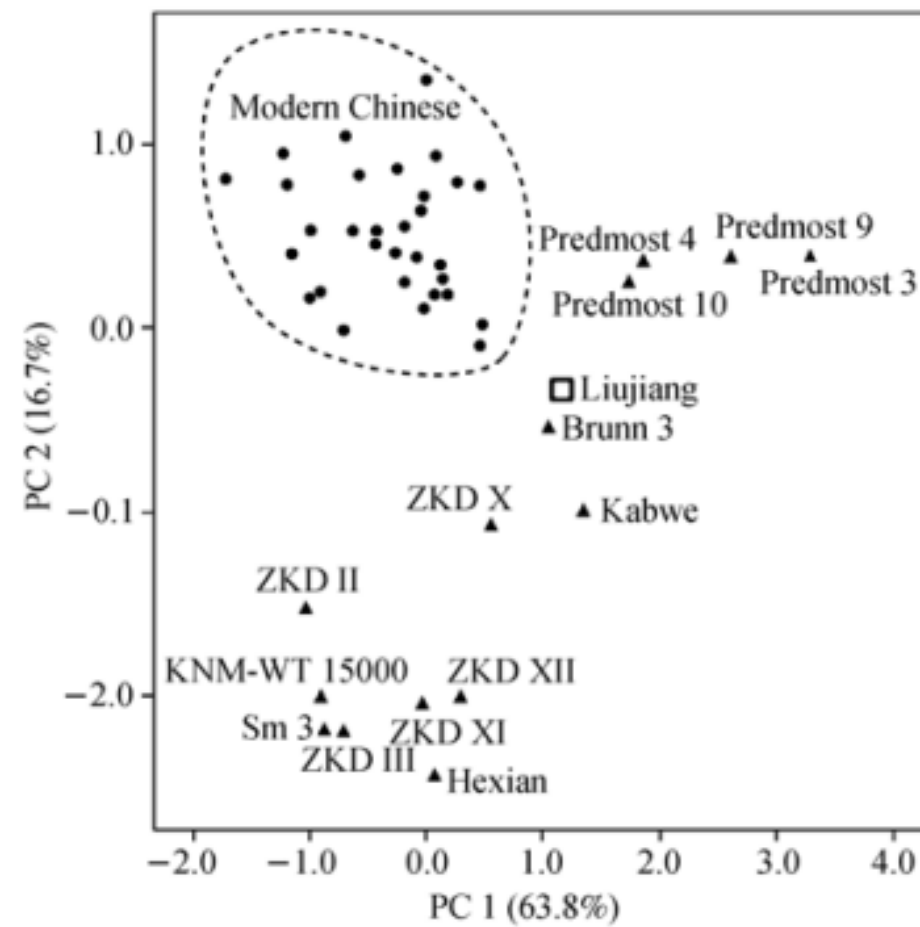
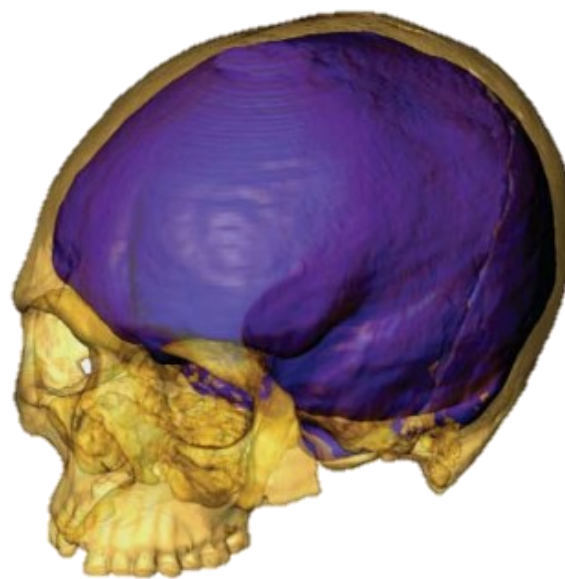
80 – 120 000 y BP

Più derivati che tutti i altri umani anatomicamente moderni, similarietà con il umani del Pleistocene medio, superiore e anche di tempi moderni.



“I risultati sono rilevanti per l’indagine sulle ragioni della relativamente tardiva entrata di *H. sapiens* in Europa. Degli umani moderni “completi” erano già presenti in Cina almeno 80.000 anni fa, non ci sono però evidenze dell’entrata in Europa prima di 45.000 anni fa. Questo potrebbe indicare che *H. neanderthalensis* fosse stato un’ulteriore **barriera ecologica** per gli uomini moderni, che sono potuti entrare in Europa solo quando la scomparsa dei Neanderthals era già iniziata”.

Liujiang, China
60 – 100 000 y BP?



Zhoukoudian Upper Cave, China
20 – 30 000 y BP





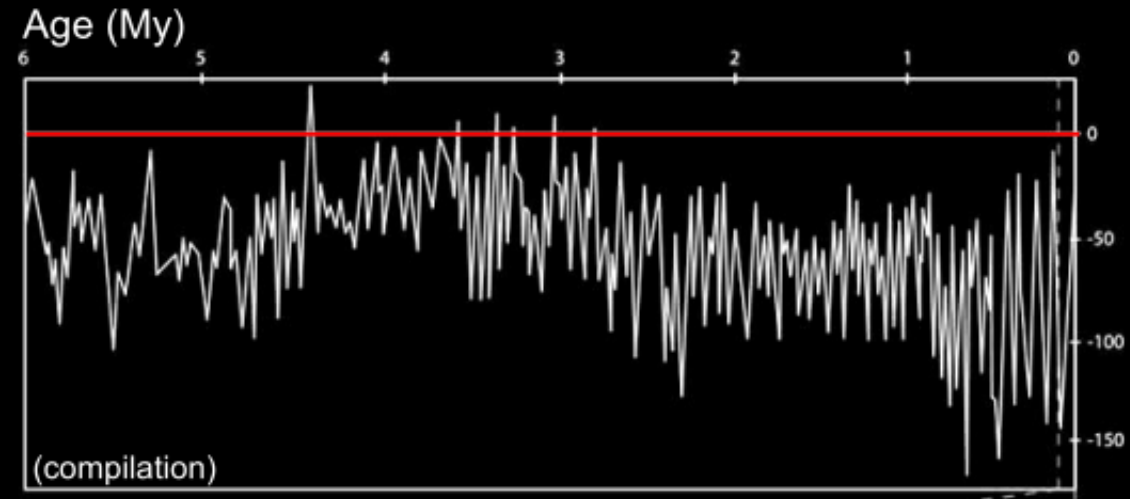
Toward Southeast Asia and
Australia

How did they arrive ?

Glacial / interglacial
=> Sea level changes

- insular periods

Homo erectus



How did they arrive ?

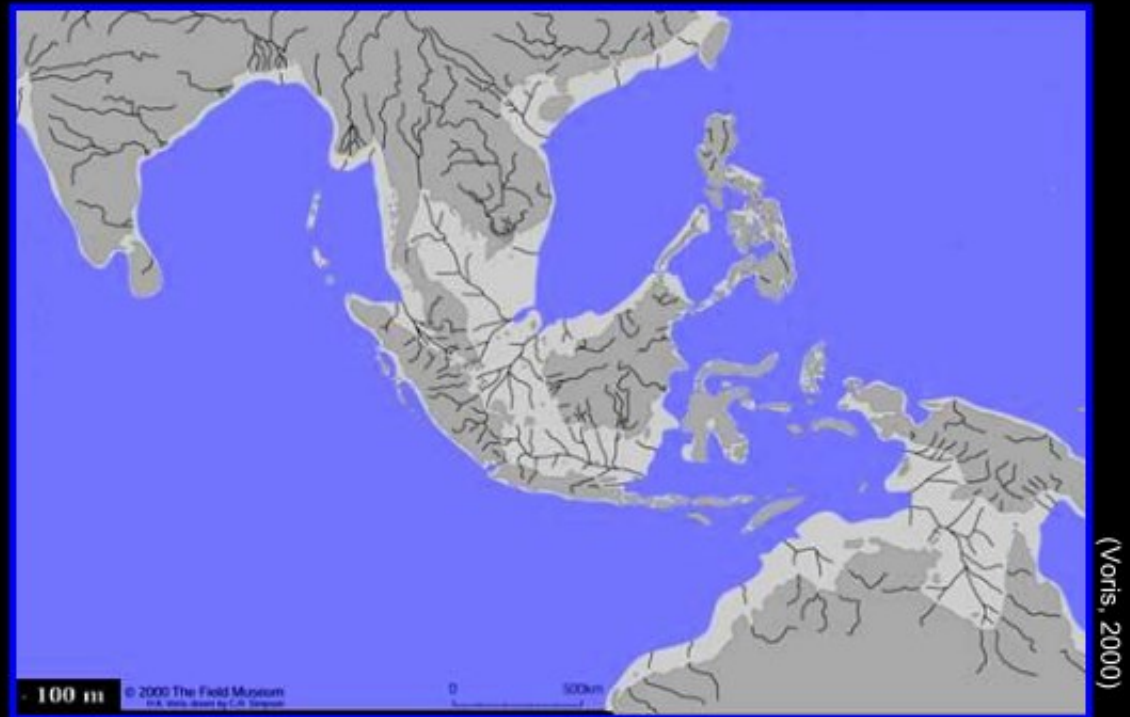
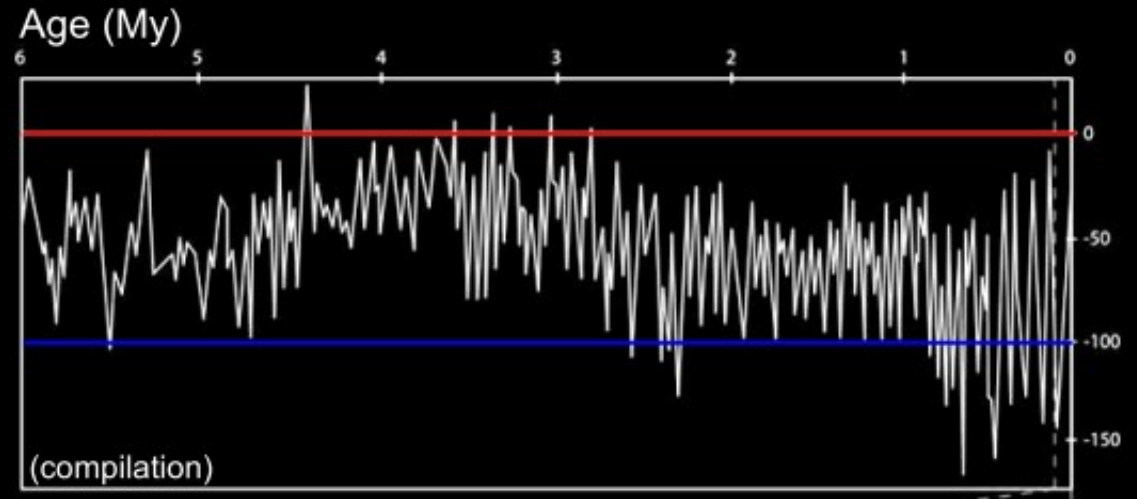
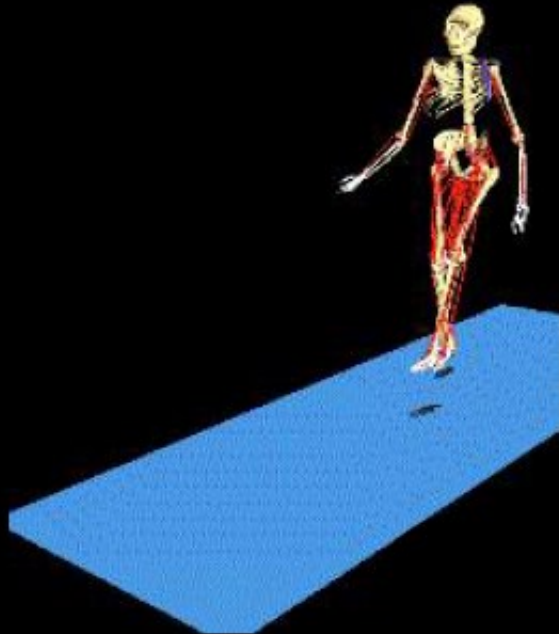
Homo erectus

Glacial / interglacial

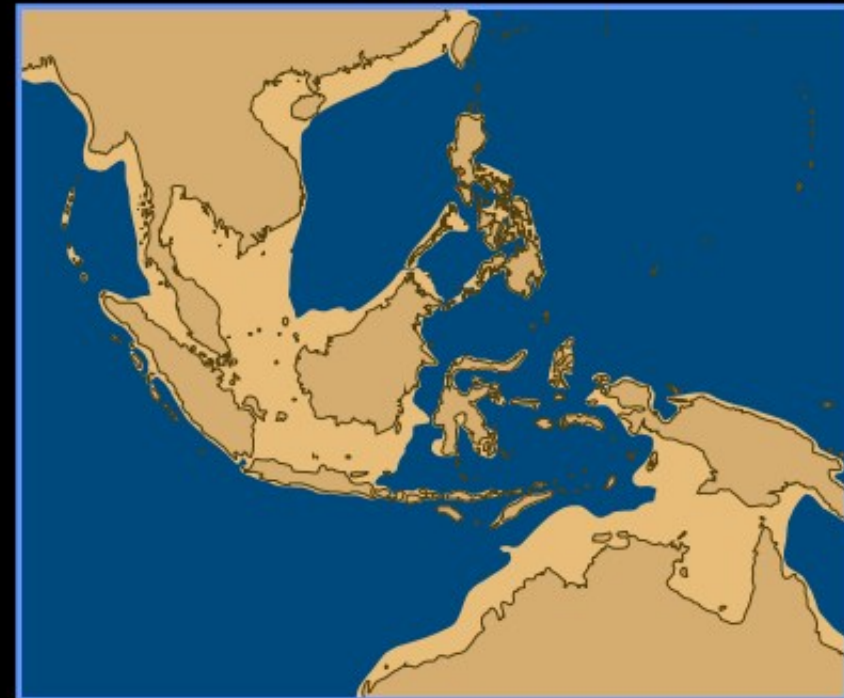
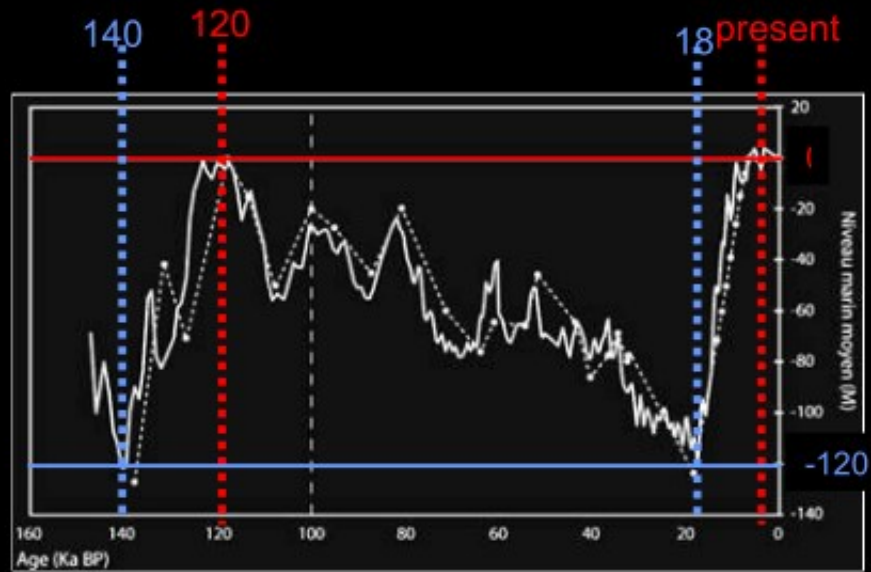
=> Sea level changes

- insular periods

- continental periods



Homo sapiens is able to navigate for at least 60 000 years



d'après Chappell *et al.* (1996) ; Pillans *et al.* (1998) et Voris (2002)

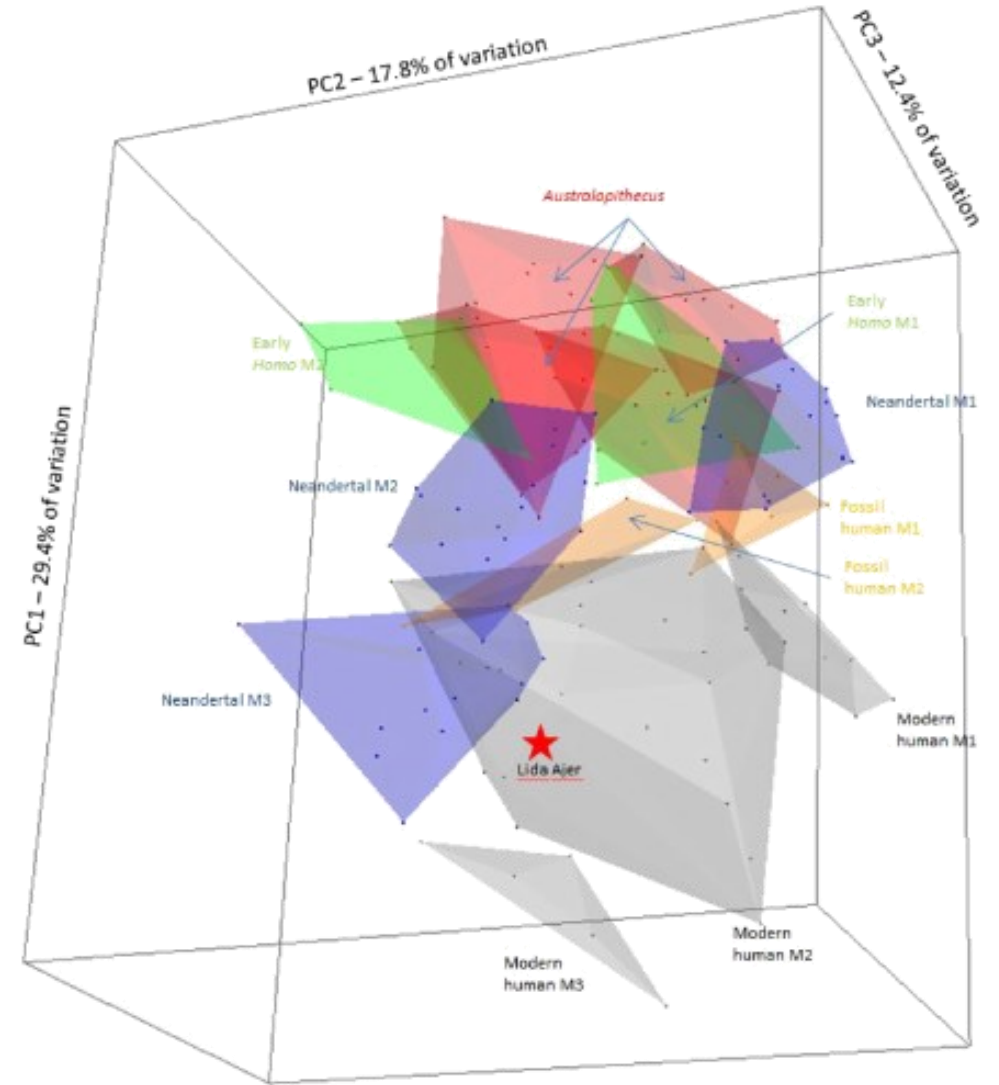
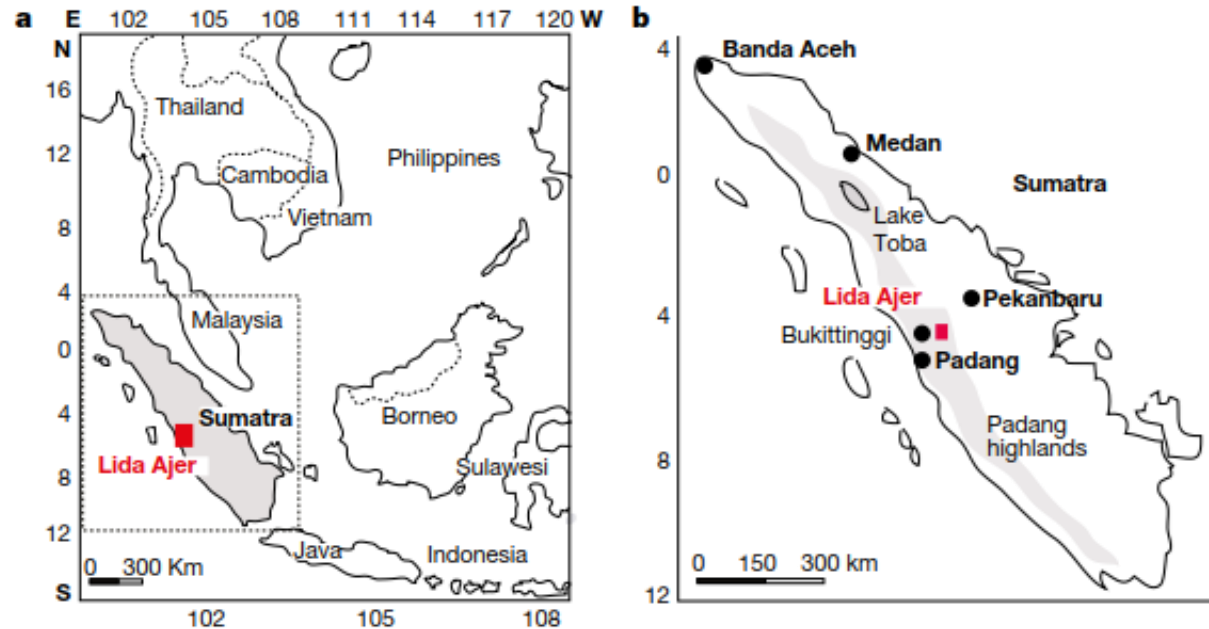
Homo species in Indonesia before the arrival of
Homo sapiens

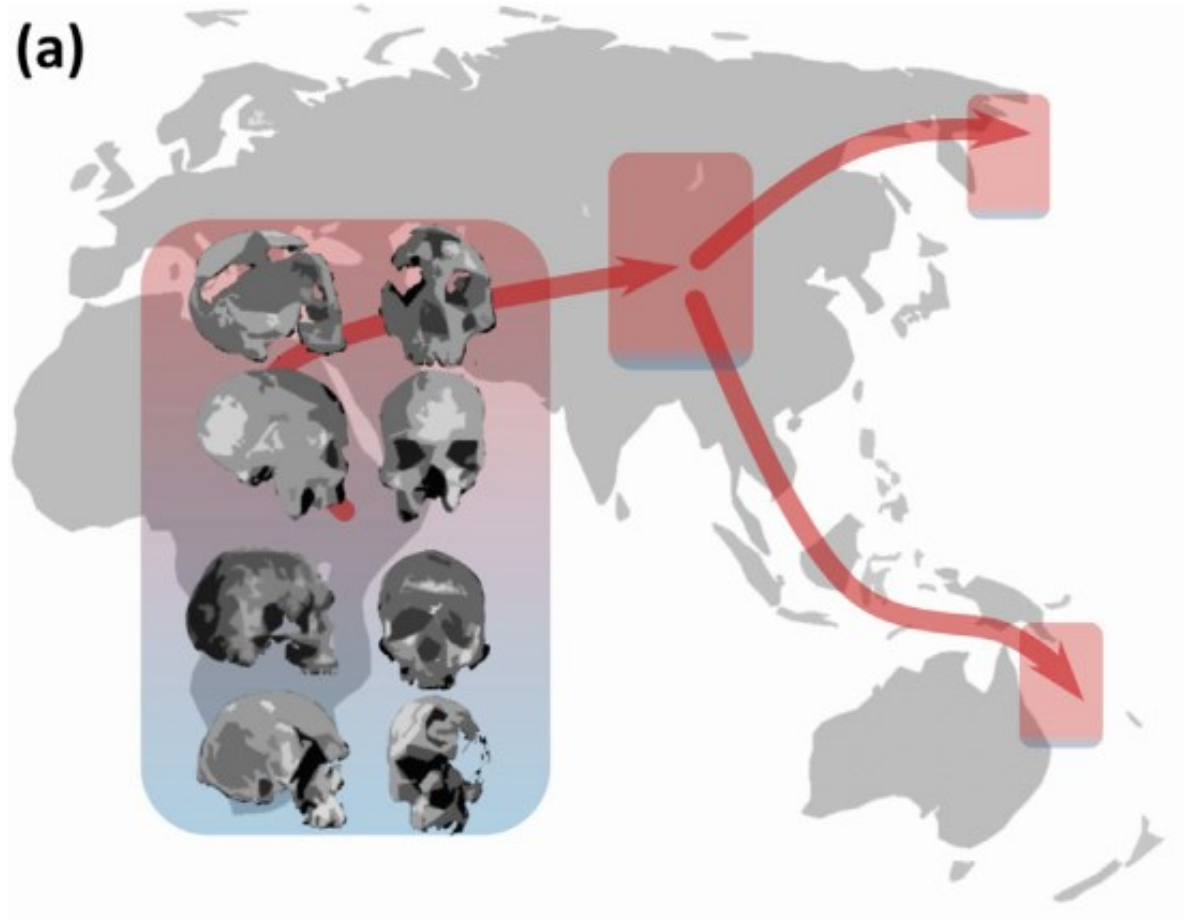


(Cavalli Sforza & Pievani, 2012)

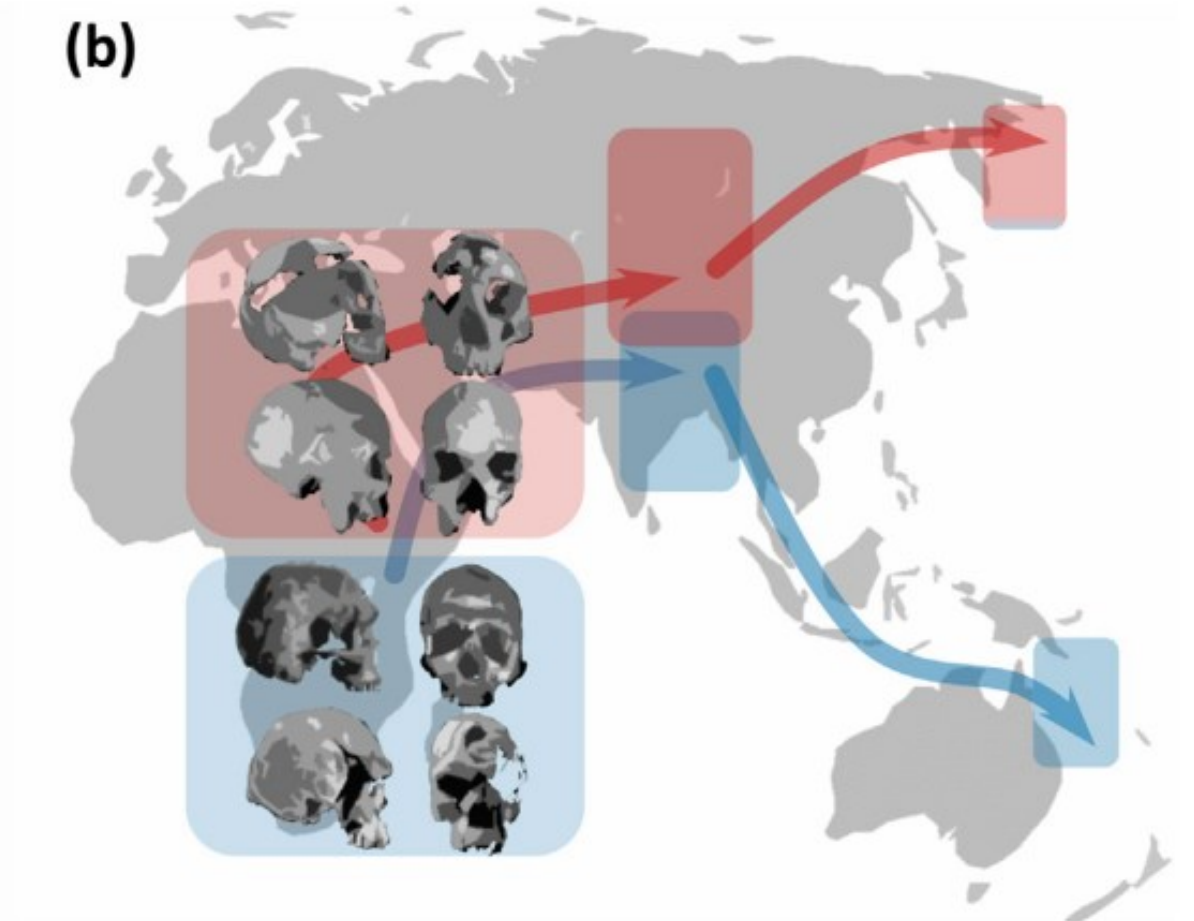
An early modern human presence in Sumatra 73,000–63,000 years ago

K. E. Westaway¹, J. Louys², R. Due Awe^{3,†}, M. J. Morwood^{4,‡}, G. J. Price⁵, J.-x. Zhao⁵, M. Aubert⁶, R. Joannes-Boyau⁷, T. M. Smith^{8,9}, M. M. Skinner^{10,11}, T. Compton¹², R. M. Bailey¹³, G. D. van den Bergh⁴, J. de Vos¹⁴, A. W. G. Pike¹⁵, C. Stringer¹², E. W. Saptomo³, Y. Rizal¹⁶, J. Zaim¹⁶, W. D. Santoso¹⁶, A. Trihascaryo¹⁶, L. Kinsley¹⁷ & B. Sulistyanto³





Single dispersal

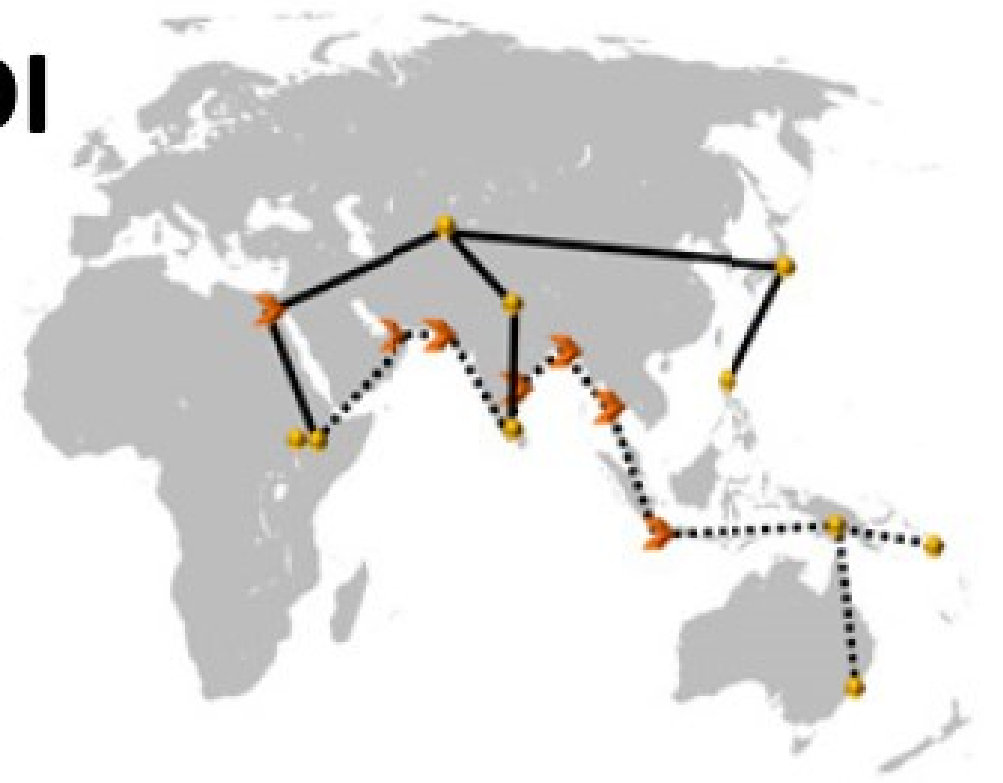


Multiple dispersal

MD



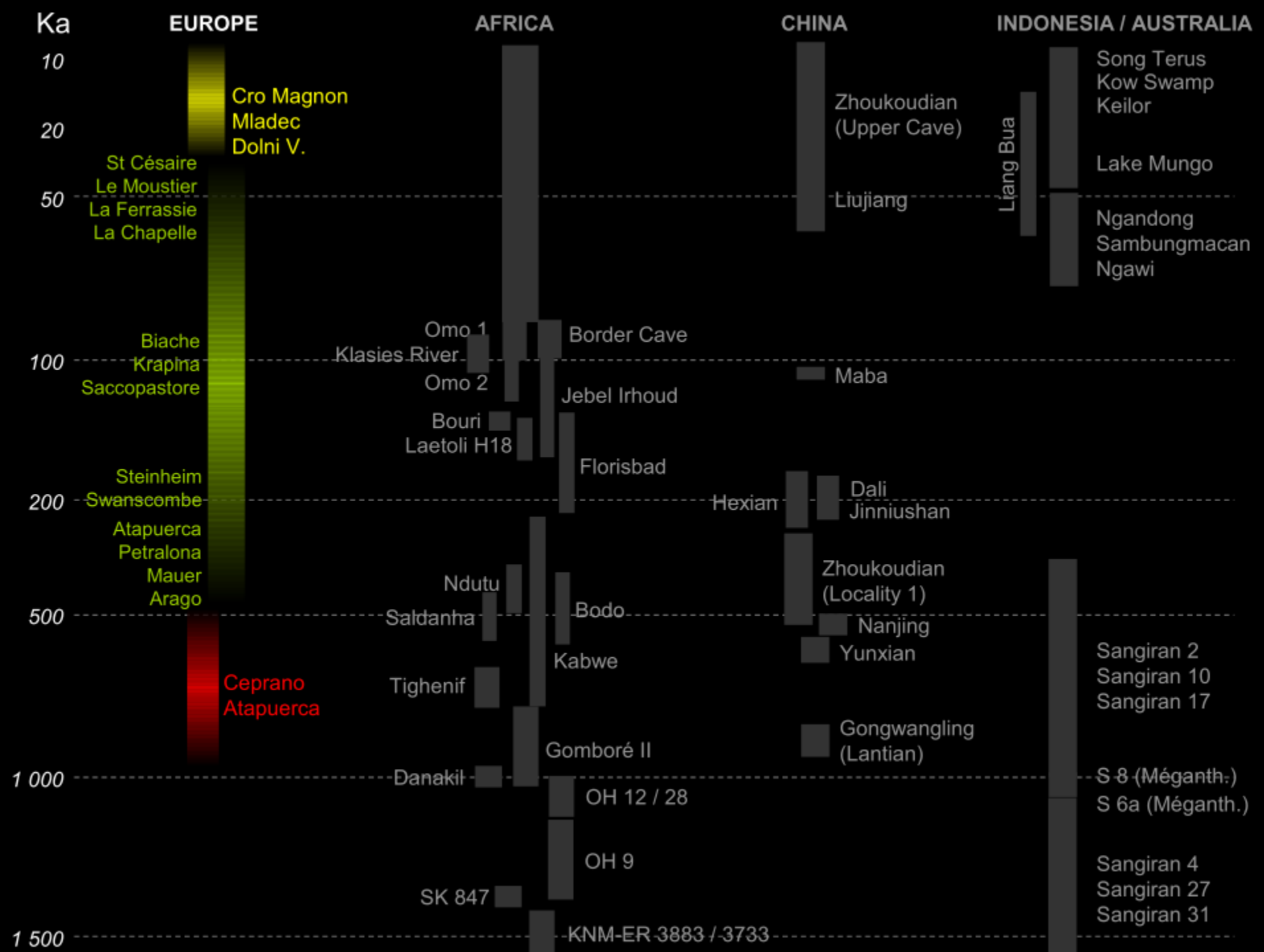
MDI



Recent genetic studies and accumulating archaeological and paleontological evidence suggest a « southern route » dispersal into Asia in the late Middle Pleistocene, followed by a separate dispersal into northern Eurasia. Australo-Melanesian populations are descendants of an early dispersal whereas other Asian populations are descended from, or highly admixed with, members of a subsequent migration event.

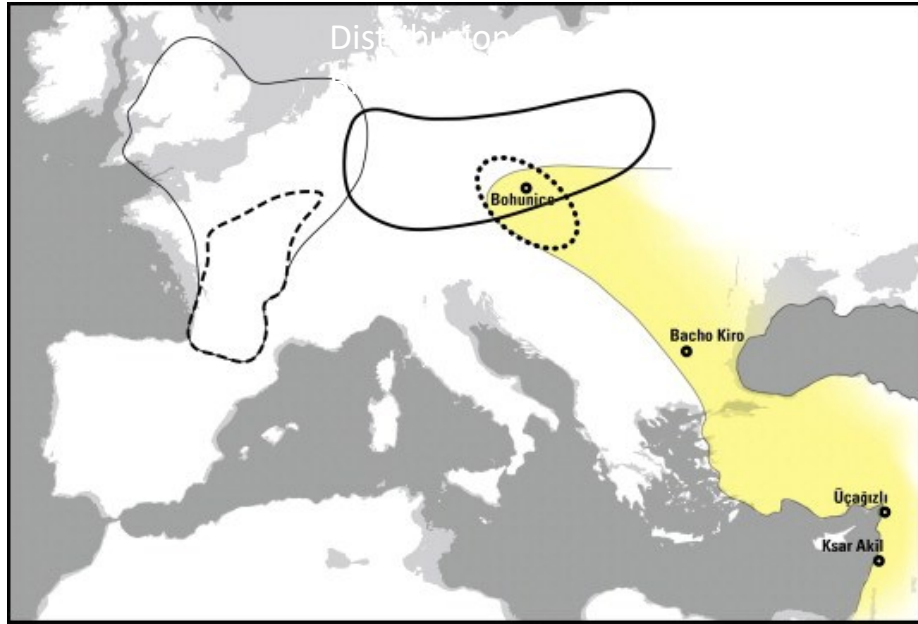


(Cavalli Sforza & Pievani, 2012)





The first modern human in Europe



Bacho Kiro (Bulgaria) (Aurignacian) – 45-43.000 y

Grotta del Cavallo (Italy) (Uluzzian) – 45-43.000 y

Kents Cavern (UK) – 44-41 000 y

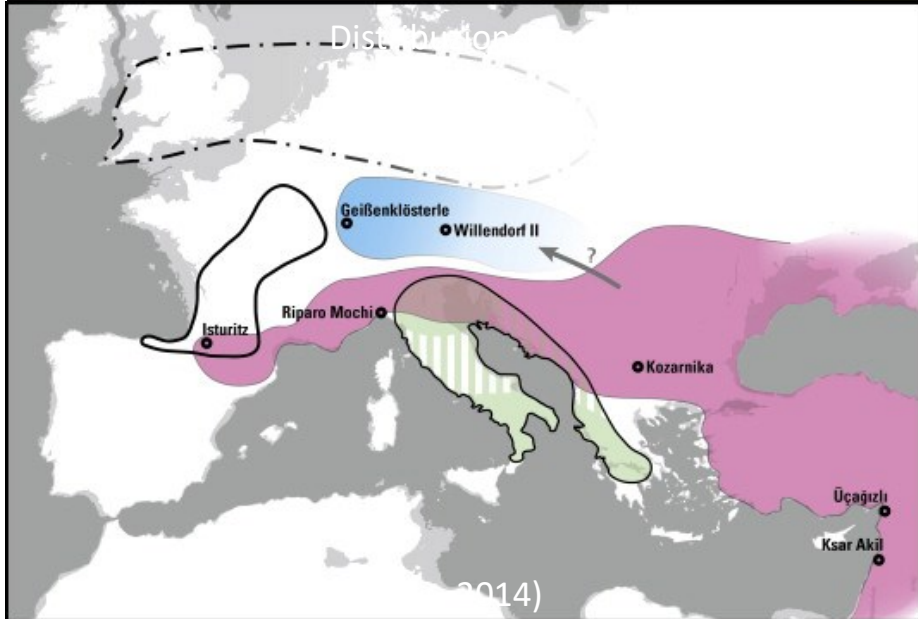
El Castillo (Spain) (Aurignacian) – 37.000- 34.000 y

Mladeč (Czech Republic) – 32.000 y

Dolní Vestonice, Pavlov (Czech Republic) – 25.000 y

Cro Magnon (France) – 30.000 y

Pestera cu Oase (Grotta degli orsi, Romania) – 34-36.000 y





Initial Upper Palaeolithic *Homo sapiens* from
Bacho Kiro Cave, Bulgaria

<https://doi.org/10.1038/s41586-020-2259-z>

Received: 30 July 2019

Accepted: 24 February 2020

Published online: 11 May 2020

 Check for updates

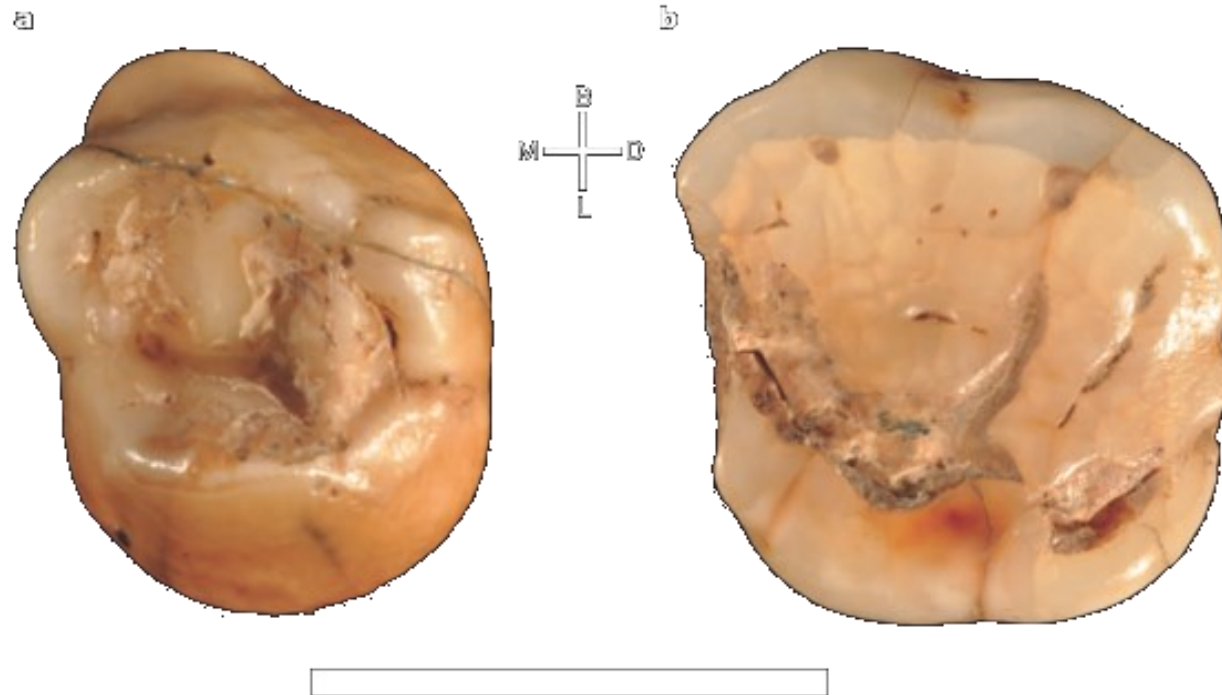
Jean-Jacques Hublin^{1,2,3}, Nikolay Sirakov³, Vera Aldeias⁴, Shara Bailey⁵, Edouard Bard⁶, Vincent Delvigne^{4,6}, Elena Endarova³, Yoann Fagault⁶, Helen Fewlass⁷, Mateja Hajdinjak¹⁰, Bernd Kromer¹, Ivaylo Krumov¹¹, João Marreiros¹², Naomi L. Martisius¹³, Lindsey Paskulin¹⁴, Virginie Sinet-Mathiot¹, Matthias Meyer¹⁰, Svante Pääbo¹⁰, Vasil Popov¹⁰, Zeljko Rezek^{11,6}, Svoboda Sirakova⁷, Matthew M. Skinner^{11,15}, Geoff M. Smith¹, Rosen Spasov¹⁶, Sahra Talamo^{10,17}, Thibaut Tuna⁸, Lukas Wacker¹⁸, Frido Welker^{12,1}, Arndt Wilcke¹², Nikolay Zahariev²³, Shannon P. McPherron¹ & Tsenka Tzanova¹

- Initial Upper Palaeolithic sites
- Directly dated early *H. sapiens* predating 37 ka cal. BP
- Directly dated late Neanderthals associated with Châtelperronian

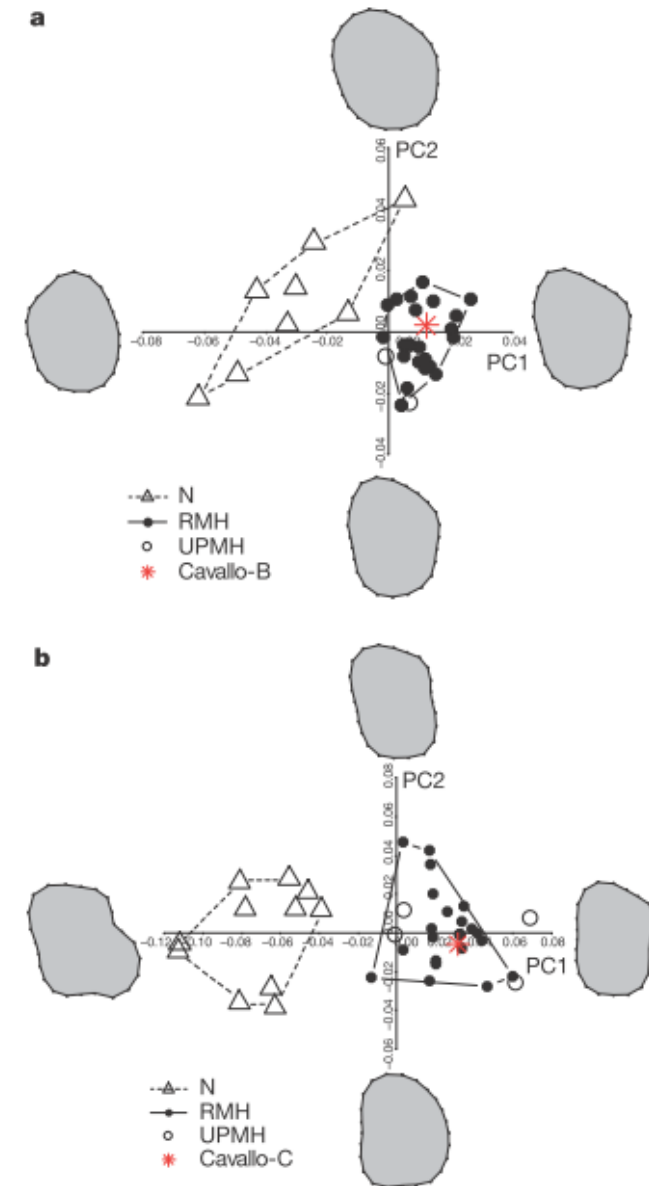


Early dispersal of modern humans in Europe and implications for Neanderthal behaviour

Stefano Benazzi¹, Katerina Douka², Cinzia Fornai¹, Catherine C. Bauer³, Ottmar Kullmer⁴, Jiří Svoboda^{5,6}, Ildikó Pap⁷, Francesco Mallegni⁸, Priscilla Bayle⁹, Michael Coquerelle¹⁰, Silvana Condemi¹¹, Annamaria Ronchitelli¹², Katerina Harvati^{3,13} & Gerhard W. Weber¹



Grotta del Cavallo, Italia (45 000 – 43 000 y BP)



Cro-Magnon, France

27 680 +/- 270 BP (Henry-Gambier et al, 2002)



Cro-Magnon 1



Cro-Magnon 2

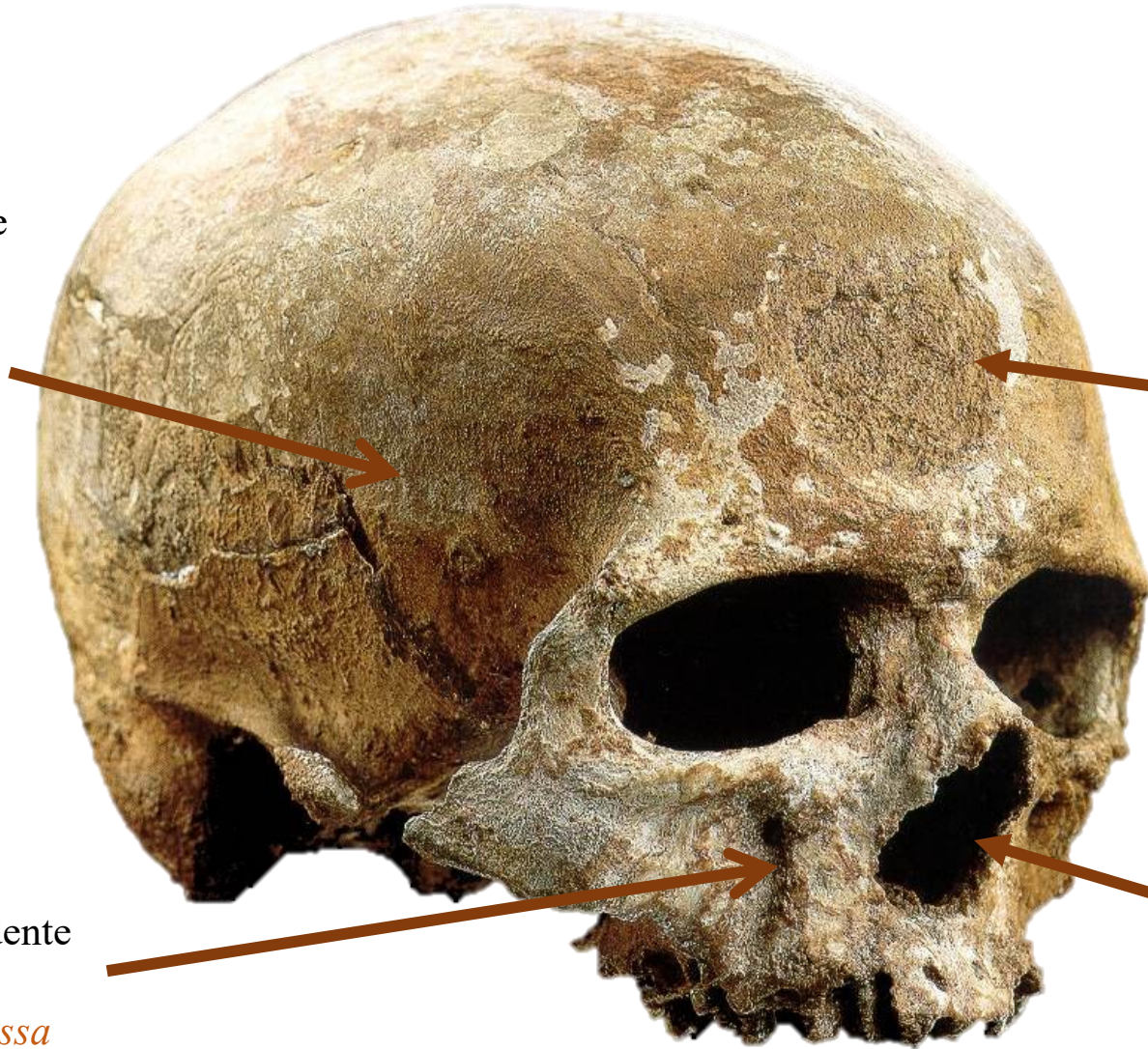


Parietali espansi e
occipitale
arrotondato /
*Parietals
expanded and
rounded
occipitals*

Fronte verticale /
*Vertical frontal
bone*

Fossa canina evidente
/
Evident canine fossa

Faccia piccola e
piatta / *Short and flat
face*



H. sapiens, Cro-Magnon



Mladec V, Czech Republic
34-35 000 y BP?

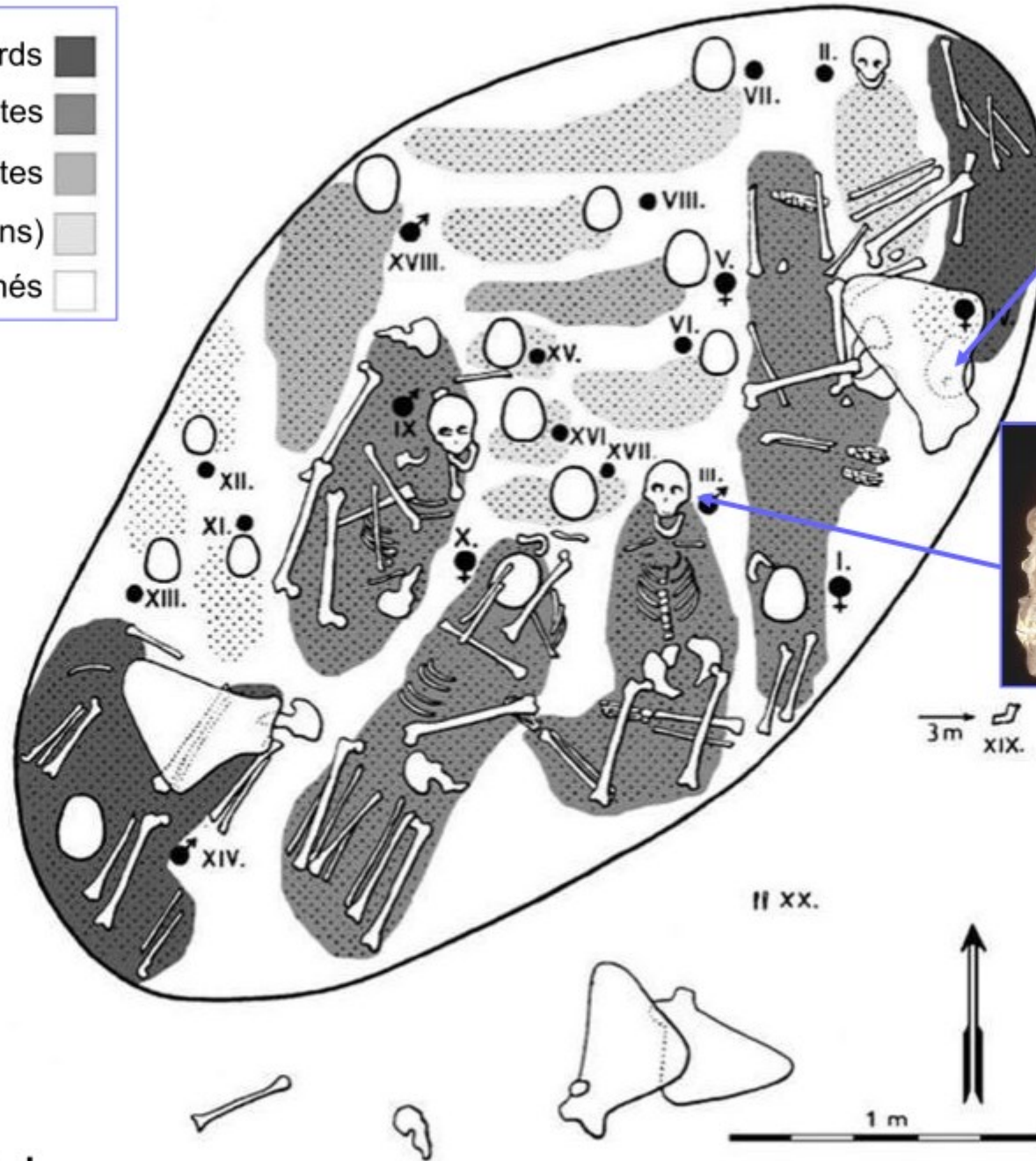


Triple burial (Mladec 5, 6 and 46)

Predmost III, Czech Republic
28 – 20 000 y BP



- 2 vieillards ■
- 2 "couples" adultes ■
- 2 jeunes adultes ■
- 7 enfants (2-14 ans) ■
- 3 nouveaux nés ■



Predmost
Multiple burial



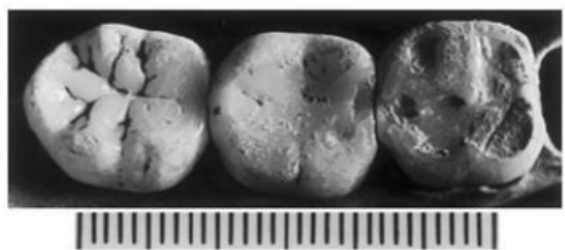
Pestera cu Oase, Romania
34 290 +/- 900 BP



Conclusion

The 2002 discovery of a human mandible at the Peștera cu Oase in southwestern Romania indicates that the earliest “modern” Europeans combined a variety of archaic *Homo*, derived early modern human, and possibly Neandertal features in their cranio-facial skeletal and dental morphology. Although compatible with some degree of admixture between regional Neandertal populations and in-dispersing early modern humans, the Oase 1 mandible is particularly relevant for emphasizing the degree to which early modern humans were not particularly modern.

(Trinkaus, 2003)



News and Views

Early modern human cranial remains from the Peștera cu Oase, Romania

Erik Trinkaus^{a*}, Ștefan Milota^b, Ricardo Rodrigo^c, Gherase Mircea^b, Oana Moldovan^d

^aDepartment of Anthropology, Campus Box 1114, Washington University, St. Louis, MO 63130, USA

^bPRO ACVA GRUP, Str. Surduc 1, 1900 Timișoara, Romania

^cCentro Nacional da Arqueologia Náutica e Subaquática, Instituto Português de Arqueologia, Avenida da Índia 136, 1300 Lisboa, Portugal

^dInstitutul de Speologie “Emil Racoviță,” Clinicilor 5, P.O. Box 58, 3400 Cluj, Romania

Keywords: Human paleontology; Early modern humans; Europe; Late Pleistocene

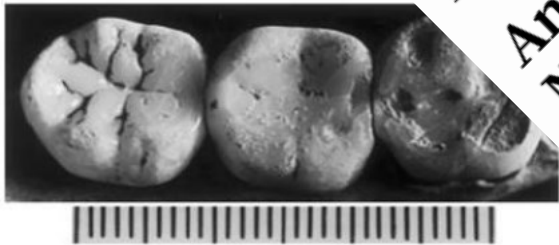


Peștera cu Oase, Romania
34 290 +/- 900 BP



Conclusion

The 2002 discovery of a human mandible at the Peștera cu in southwestern Romania indicates that the earliest “Europeans combined a variety of archaic *Homo*, de modern human, and possibly Neandertal features in facial skeletal and dental morphology. Although with some degree of admixture between re populations and in-dispersing early moder mandible is particularly relevant for em which early modern humans were no



LETTER

An early modern human from Romania with a recent Neanderthal ancestor

Qiaomei Fu^{1,2,3,*}, Mateja Hajdinjak^{3,*}, Oana Teodora Moldovan⁴, Silviu Constantin⁵, Nick Patterson⁶, Nadin Rohland², David Reich^{2,6,9} & Svante Pääbo³



Available online at www.sciencedirect.com

SCIENCE @ DIRECT®



doi:10.1038/nature14558

Evolution 45 (2003) 245–253

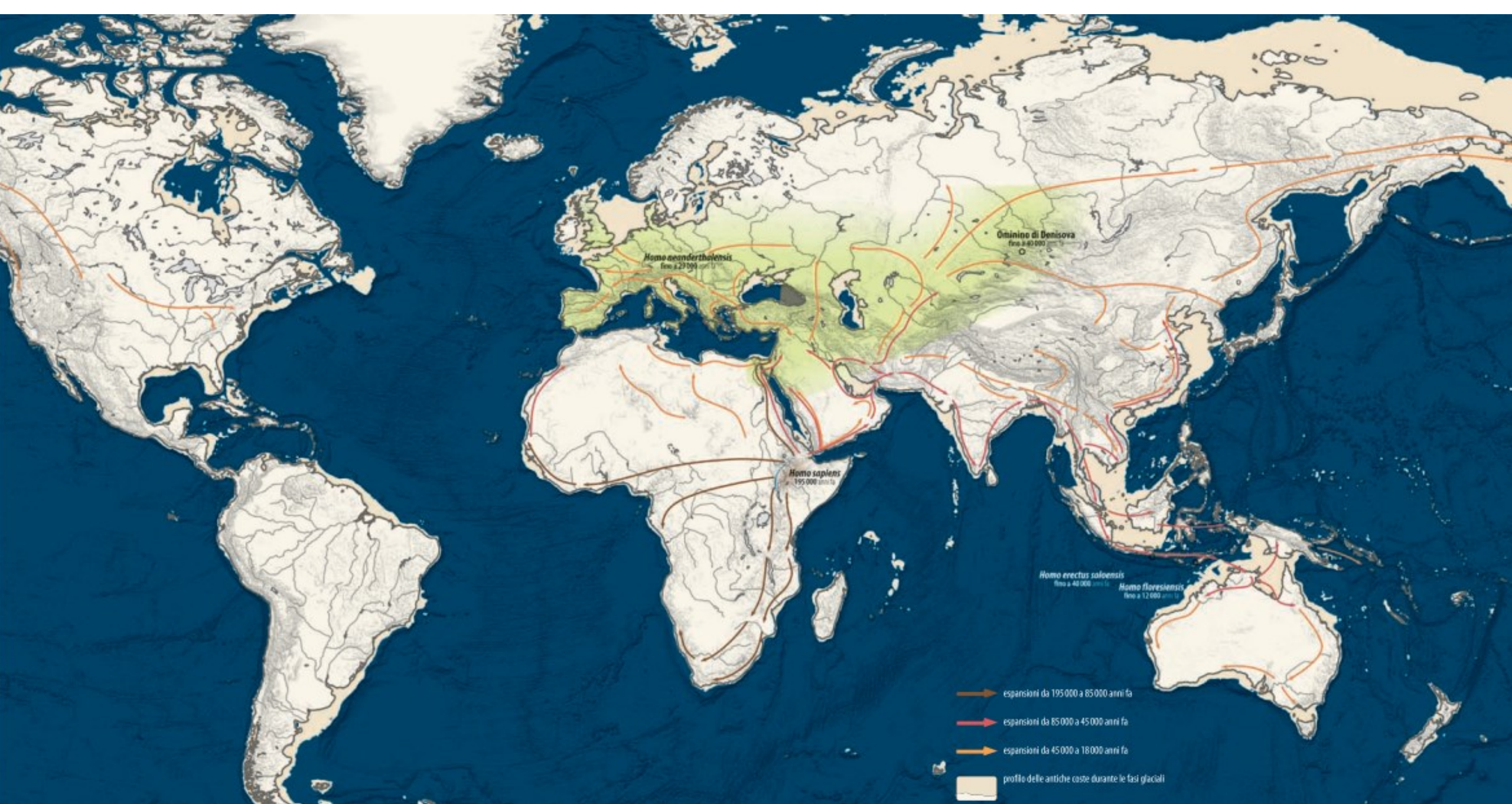
Views

ins from the Peștera cu

odrigo^c, Gherase Mircea^b,
an^d

ington University, St. Louis, MO 63130, USA
c 1, 1900 Timișoara, Romania
Instituto Português de Arqueologia, Avenida da Índia 136,
Lisboa, Portugal
Cluj,” Clinicilor 5, P.O. Box 58, 3400 Cluj, Romania

ans; Europe; Late Pleistocene





Modern human dispersal routes in Europe (47,000–41,000 BP)



- **profile delle antiche coste durante le fasi placciali**

espansioni da 45 000 a 18 000 anni fa

