



UNIVERSITÀ
DEGLI STUDI
DI FERRARA
- EX LABORE FRUCTUS -



European Research Council
Established by
the European Commission

CEES

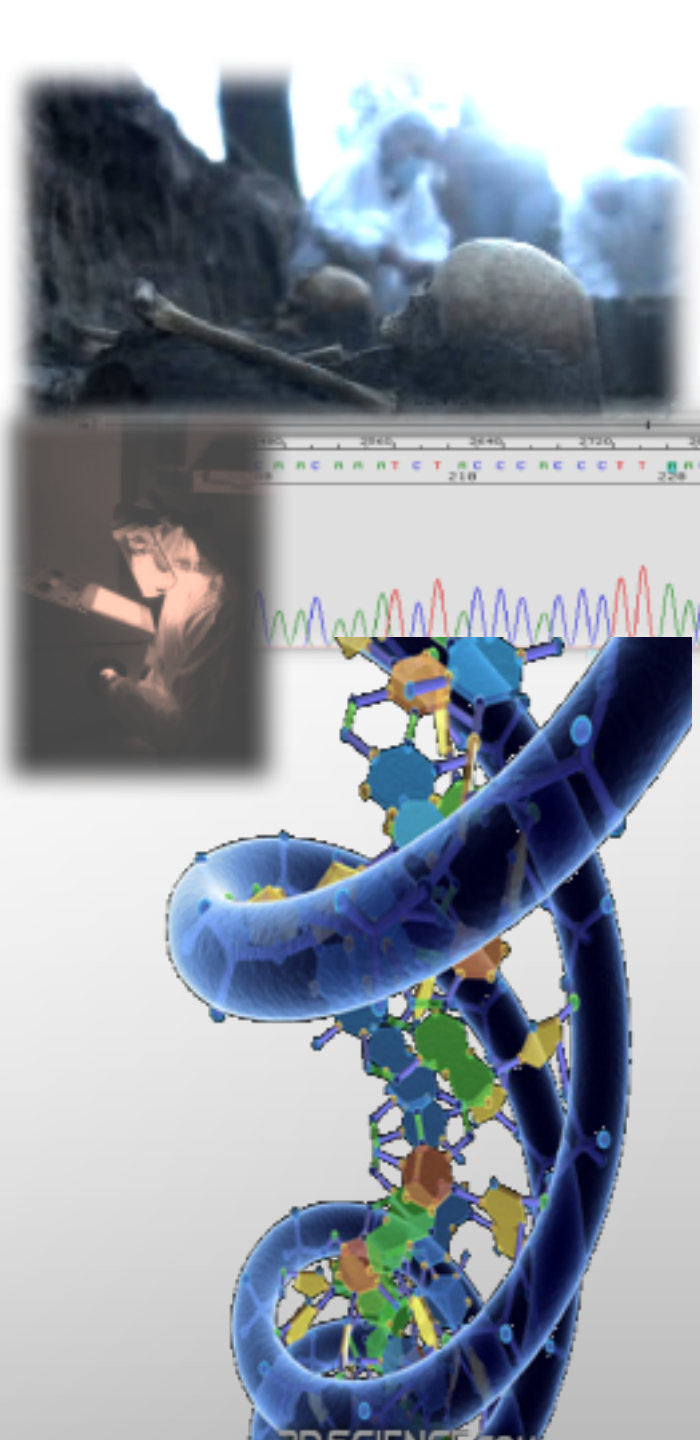
Centre for Ecological and Evolutionary Synthesis

Ancient DNA (aDNA) Analyses of Human remains: 33 years of evolution of a scientific discipline

Barbara Bramanti

barbara.bramanti@unife.it

barbara.bramanti@ibv.uio.no





What is ancient DNA (aDNA)?



CELLS



TISSUES



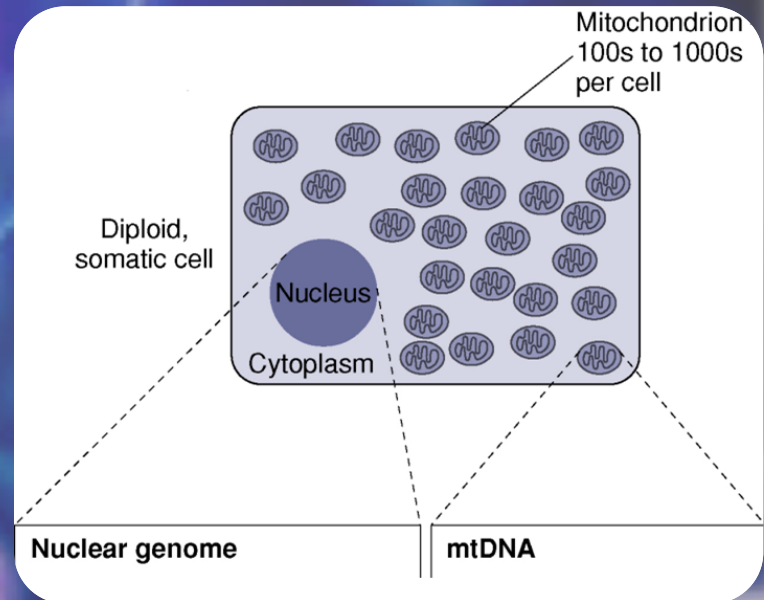
ORGANS



SYSTEMS



MeridianLife
Optimal Health & Performance



The process of decomposition

0-10 days

4-10 days

20-50 days

50-365 days



Autolysis and putrefaction (**bacteria**)

processes: release of putricine and cadaverine.

Insects (Sarcophagidae and Calliphoridae) spread digestive enzymes and bacteria.

Bacteria

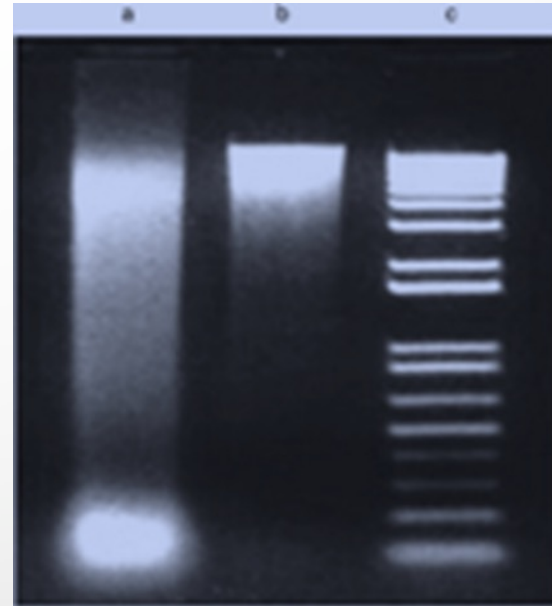
Anaerobic decomposition - *Clostridium sp.* (Fermentation) releases methane (CH₄) Aerobic decomposition - *Bacillus sp.* (Respiration) releases CO₂ Increase in T_o

Most of the soft tissues are gone

All soft tissues are gone

Ancient DNA (aDNA)

- Degraded, demaged fragmented DNA
- Low amount
- *Postmortem* base modifications
- Prone to environmental contamination



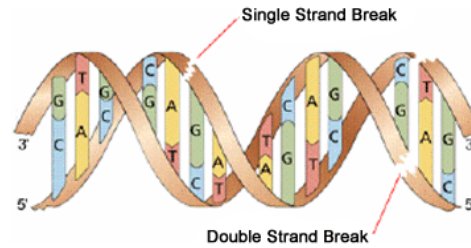
aDNA

Modern DNA



Typical αDNA damages

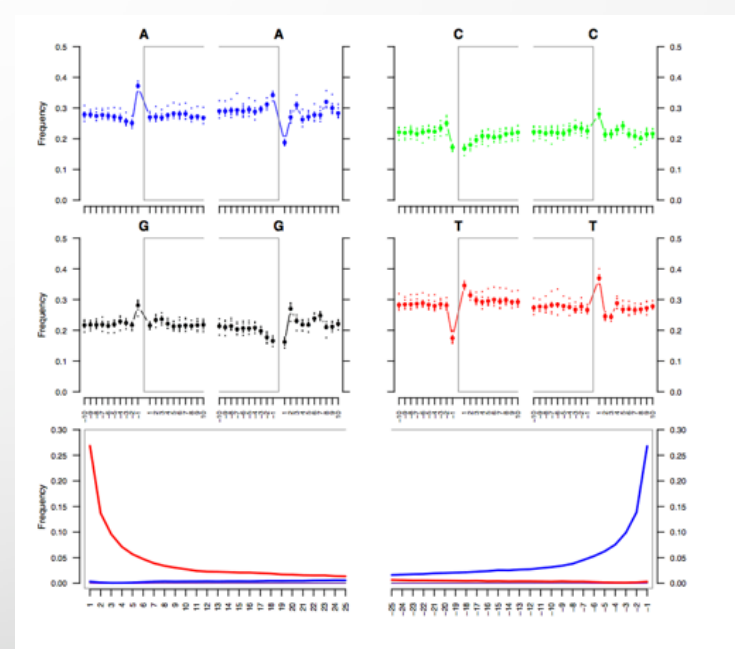
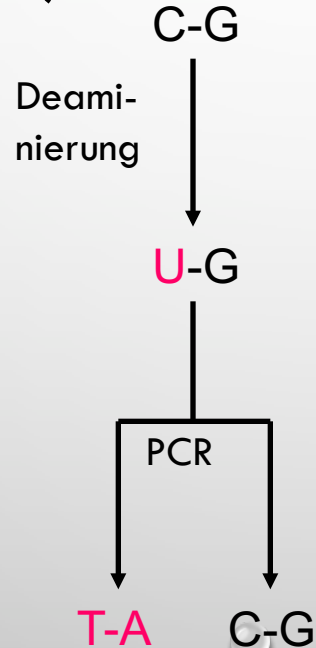
Oxidative lesions



Modification of purines – 8-hydroxy-deoxyguanosine
Marker of the oxidative damage to DNA



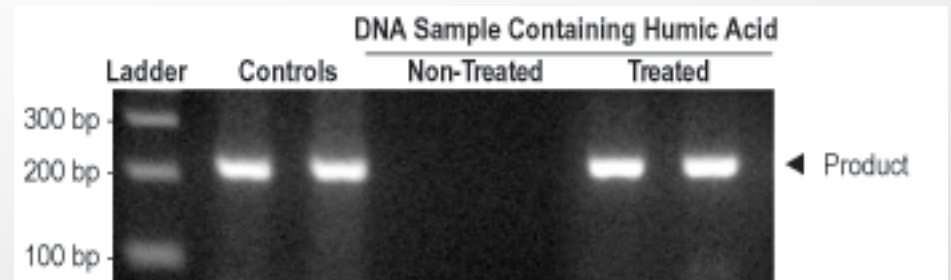
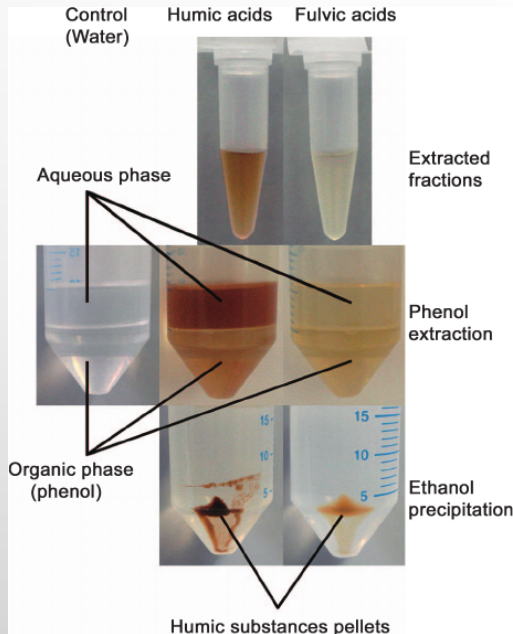
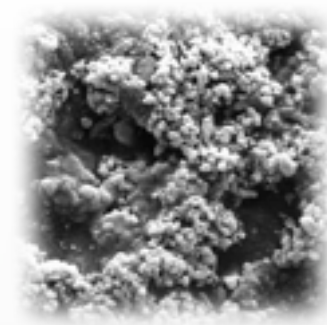
Hydrolytic lesions (water)



Typical α DNA issue

DNA binds to inhibitors

- Humin acids can **inhibit the PCR reaction** (Tsai 1991, Bourke 1999, Watson 2000, Tebbe 1993)



How long can aDNA survey?

Ideal environments!

Types of decay inducing environments:

- Presence of moisture
- High temperatures
- Presence of Micro-Organisms, insect, fungi
- Acidity (-pH)

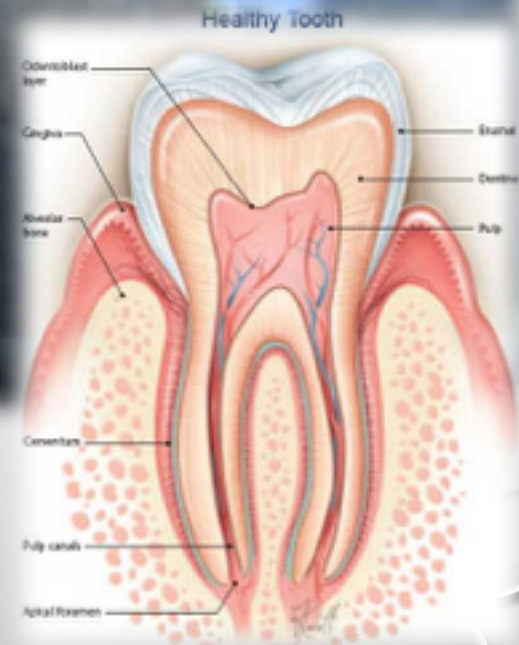


2014: mitochondrial genome of a hominin that lived more than 400,000 years ago; Exomes from two Neanderthal individuals (more than 40,000 YBP); nearly complete nuclear genome from a 45,000-year-old modern human fossil.

2016: 430,000-year-old DNA of a pre-Neanderthal found in Spain's Sima de los Huesos.

2013: full genome of an ancestral horse species (permafrost of North America more than 700,000 years ago) - the oldest complete genome sequenced thus far.⁴

Human hard Tissues (Bones and Teeth)



Petrous part of temporal bone (Pars petrosa; Pinhasi et al. 2015)

Other sources of aDNA



Hair



Plants, fruits



Embalmed bodies

(Salafia' method: formalin, alcohol, glycerin, zinc salt – like Lenin and Evita Peron)



Natural Mummies



Insects



Coprolites



Artifacts



Sediments

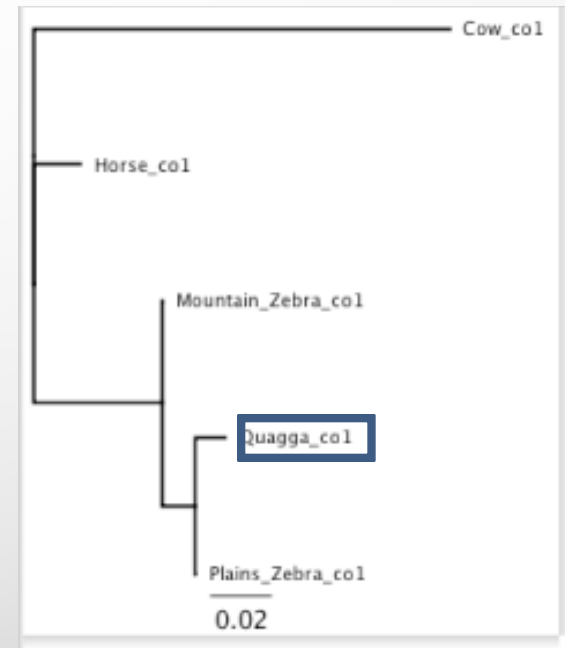
- Human DNA
- Animal DNA
- Vegetal DNA
- Bacterial DNA
- Fungal DNA
- ...

The background features a light gray gradient with several realistic water droplets of various sizes scattered in the corners. The droplets have highlights and shadows, giving them a three-dimensional appearance. The text is centered in the middle of the page.

A bit of History...

1984 Russell G. Higuchi and colleagues carried out the first complete ancient DNA study

Higuchi R, Bowman B, Freiberger M, Ryder OA, Wilson AC, *DNA sequences from the quagga, an extinct member of the horse family*, in *Nature*, vol. 312, n° 5991, 1984, pp. 282–4



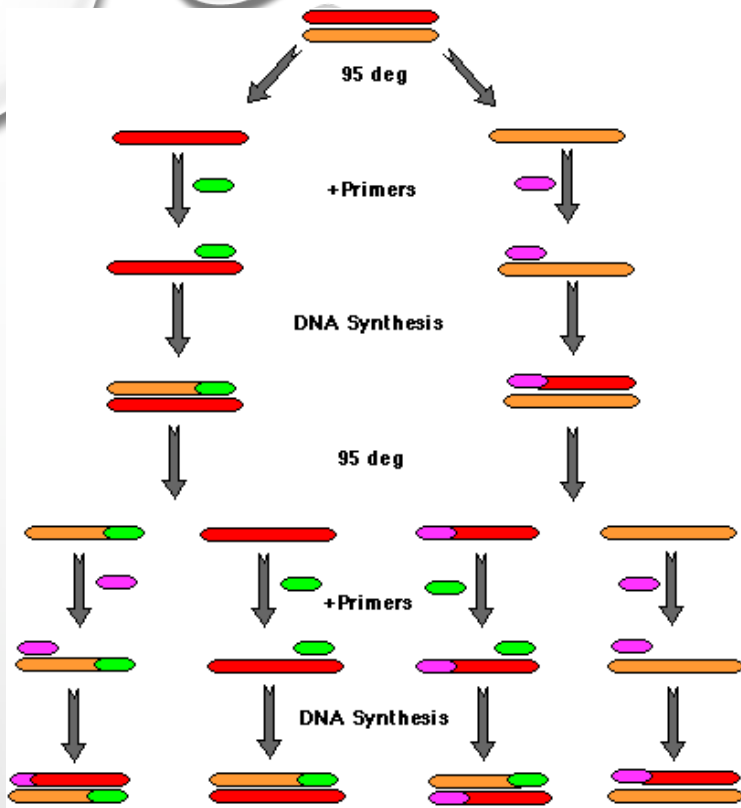
Family of quaggas (*Equus quagga quagga*), 150 years old, at the Naturhistorische Museum in Mainz

Pääbo, S. Molecular cloning of Ancient Egyptian mummy DNA, *Nature* **314**, 644-645 (1985)

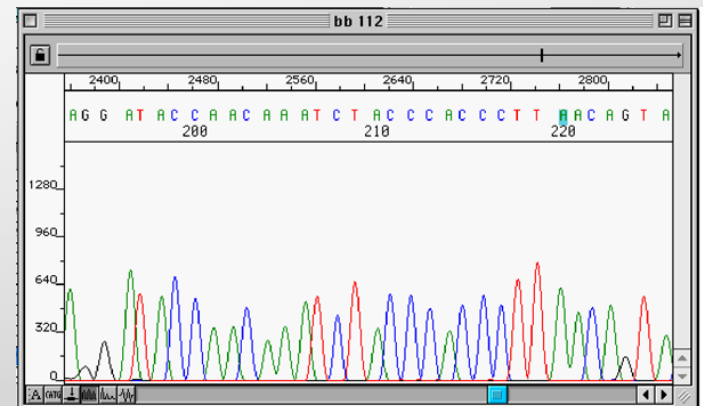


The first ancient human sequence (ca. 2,400 YBP) contained only two sequencing errors (1989).

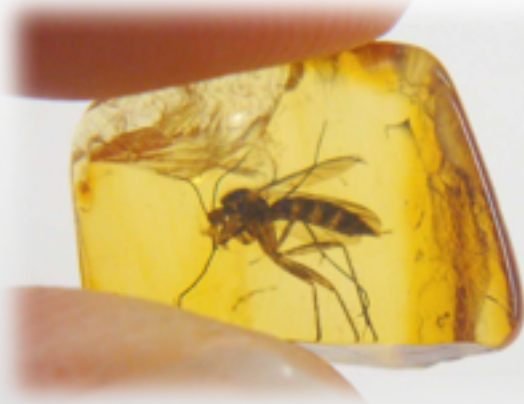




1984 K. Mullis
invented the PCR



1994. Scott Woodward claimed to have sequenced
aDNA from an 80 million years old Dinosaur bone



CANO, R. J., H. N. POINAR, D. W. ROUBIK, and G. O. POINAR JR. 1992. Enzymatic amplification and nucleotide sequencing of portions of the 18s rRNA gene of the bee *Proplebeia dominicana* (Apidae: Hymenoptera) isolated from 25-40 million year old Dominican amber. *Med. Sci. Res.* 20:619- 622.

Continuing concerns about the rigor of research on ancient DNA and that "high-profile journals continue to publish studies that do not meet the necessary controls" prompt a list summarizing "criteria of authenticity" required for work published in this area. The role of the polio vaccination program carried out in Central Africa in the late 1950s in the origin of HIV and AIDS (as posited in the book *The River*) is hotly debated. And "the myth...that efficient use of nuclear resources is a proliferation threat" is challenged, and it is suggested that "electricity produced from existing nuclear by-products would be equivalent to that needed by the United States, at present use rates, for hundreds of years."

Ancient DNA: Do It Right or Not at All

At the recent 5th International Ancient DNA Conference in Manchester, U.K., reported by Erik Stokstad in his News Focus article "Divining diet and disease from DNA" (28 Jul., p. 530), one presentation boldly opened with the claim that the field was now mature and could move ahead with confidence. This optimism is unfounded, as demonstrated by the notable absence of "criteria of authenticity" from many presentations at the conference. Ancient DNA research presents extreme technical difficulties because of the minute amounts and degraded nature of surviving DNA and the exceptional risk of contamination. The need to authenticate results became obvious in the mid-1990s when a series of high-profile studies were shown to be unrepeatable (1). For example, DNA reputed to come from a dinosaur (2) was actually contamination by a human mitochondrial gene insertion in the nucleus (numt) (3). Over the ensuing years, criteria have been developed and put into practice by some practitioners in the field. Regrettably, despite the recommendation that such criteria be routinely applied (4-6), high-profile journals continue to publish studies that do not meet the necessary controls (7), and many new researchers fail to utilize them. To publicize these standards, we summarize the key criteria below.

Physically isolated work area. To avoid contamination, it is essential that, prior to the amplification stage, all ancient DNA research is carried out in a dedicated, isolated environment. A building in which large amounts of the target DNA are routinely amplified is obviously undesirable (8).

Control amplifications. Multiple extraction and PCR controls must be performed to detect sporadic or low-copy number contamination, although carrier effects do limit

their efficacy (4, 9). All contaminated results should be reported, and positive controls should generally be avoided, as they provide a contamination risk.

Appropriate molecular behavior. PCR amplification strength should be inversely related to product size (large 500- to 1000-base pair products are unusual). Reproducible mitochondrial DNA (mtDNA) results should be obtainable if single-copy nuclear or pathogen DNA is detected. Deviations from these expectations should be justified; e.g., with biochemical data. Sequences should make phylogenetic sense.



Human paleofeces, 8000 to 500 years old, from Hinds Cave, Texas, USA, is a good source of DNA for both humans and the food they ate.

Reproducibility. Results should be repeatable from the same, and different, DNA extracts of a specimen. Different, overlapping primer pairs should be used to increase the chance of detecting numts (10) or contamination by a PCR product.

Cloning. Direct PCR sequences must be verified by cloning amplified products to determine the ratio of endogenous to exogenous sequences, damage-induced errors, and to detect the presence of numts. Overlapping fragments are desirable to confirm that sequence variation is authentic and not the product of errors introduced when PCR amplification starts from a small number of damaged templates (11).

Independent replication. Intra-laboratory contamination can only be discounted when separate samples of a specimen are extracted and sequenced in independent laboratories. This is particularly important with human remains or novel, unexpected results.

Biochemical preservation. Indirect evidence for DNA survival in a specimen can be provided by assessing the total amount, composition, and relative extent of diagenetic change in amino acids and other residues (12, 13).

Quantitation.* The copy number of the DNA target should be assessed using competitive PCR (4, 11). When the number of starting templates is low (<1,000), it may be impossible to exclude the possibility of sporadic contamination, especially for human DNA studies.

Associated remains.* In studies of human remains where contamination is especially problematic, evidence that similar DNA targets survive in associated faunal material is critical supporting evidence. Faunal remains also make good negative controls for human PCR amplifications.

We recognize that adherence to these criteria as part of routine good practice is both expensive and time-consuming. However, failure to do so can only lead to an increasing number of dubious claims, which will bring the entire field into further disrepute. If ancient DNA research is to progress and fulfill its potential as a fully-fledged area of evolutionary research, then it is essential that journal editors, reviewers, granting agencies, and researchers alike subscribe to criteria such as these for all ancient DNA research.

Alan Cooper

Departments of Zoology and Biological Anthropology, University of Oxford, Oxford OX2 6UE, UK. E-mail: alan.cooper@zoo.ox.ac.uk

Hendrik N. Poinar

Max Planck Institute for Evolutionary Anthropology, Inselstrasse 22, D-04103 Leipzig, Germany. E-mail: poinar@eva.mpg.de

*For important discoveries, additional criteria are also essential.

References

1. J. J. Austin, A. J. Ross, A. B. Smith, R. A. Fortey, R. H. Thomas, *Proc. R. Soc. London B* **264**, 467 (1997).
2. S. R. Woodward, N. J. Weyand, M. Bunnell, *Science* **266**, 1229 (1994).
3. H. Zischler *et al.*, *Science* **268**, 1192 (1995).
4. O. Handt, M. Krings, R. H. Ward, S. Pääbo, *Am. J. Hum. Genet.* **59**, 368 (1996).
5. A. Cooper, *Am. J. Hum. Genet.* **60**, 1001 (1997).
6. R. Ward and C. Stringer, *Nature* **388**, 225 (1997).
7. M. Scholtz *et al.*, *Am. J. Hum. Genet.* **66**, 1927 (2000).
8. T. Lindahl, *Nature* **365**, 700 (1993).
9. A. Cooper, in *Ancient DNA*, B. Herrmann and S. Hummel, Eds. (Springer-Verlag, New York, 1993), pp. 149-165.
10. A. D. Greenwood, C. Capelli, G. Possnert, S. Pääbo, *Mol. Biol. Evol.* **16**, 1466 (1999).
11. M. Krings *et al.*, *Cell* **90**, 19 (1997).
12. H. N. Poinar, M. Höss, J. L. Bada, S. Pääbo, *Science* **272**, 864 (1996).
13. H. N. Poinar and B. A. Stankiewicz, *Proc. Natl. Acad. Sci. U.S.A.* **96**, 8426 (1999).

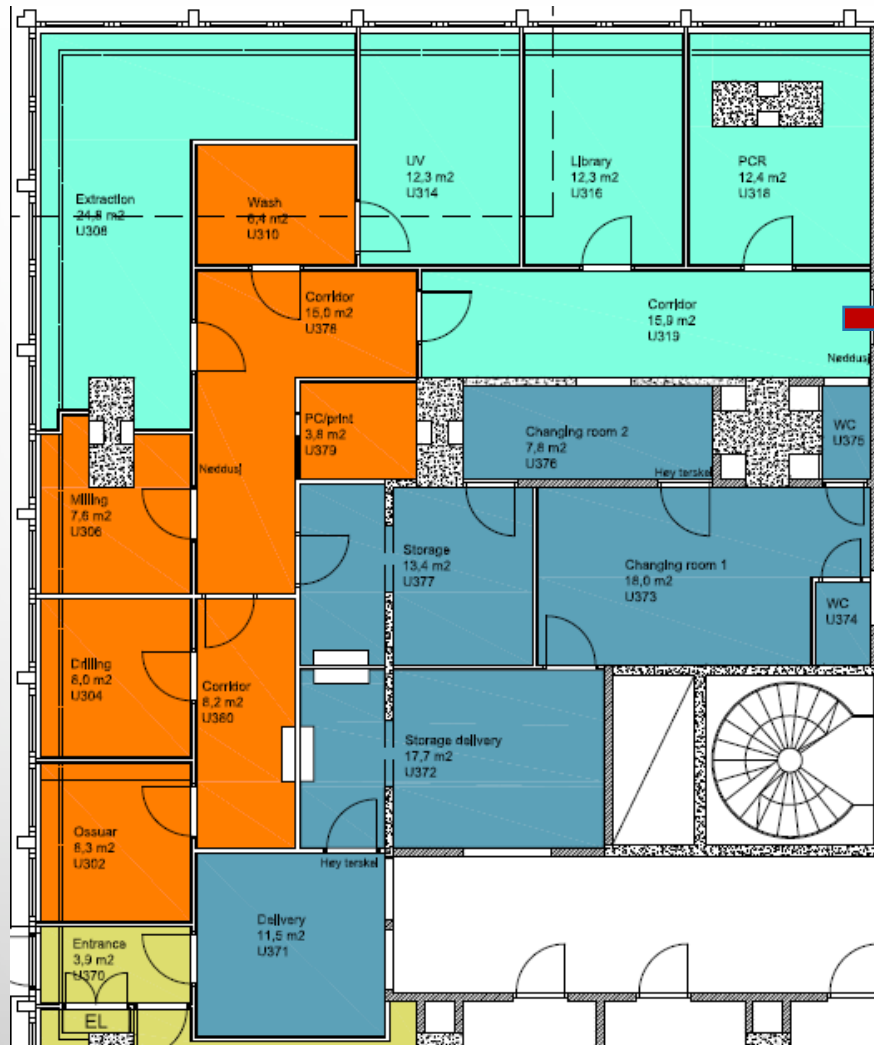
5 years later...

- Physically isolated work area
- Multiple analyses (Reproducibility)
- Independent replication
- Criteria for authenticity (signals of decay, phylogeny, ...)

The background features a light gray gradient with several realistic water droplets of various sizes scattered in the corners. The droplets have highlights and shadows, giving them a three-dimensional appearance.

The aDNA Laboratory

The aDNA lab at CEES in Oslo



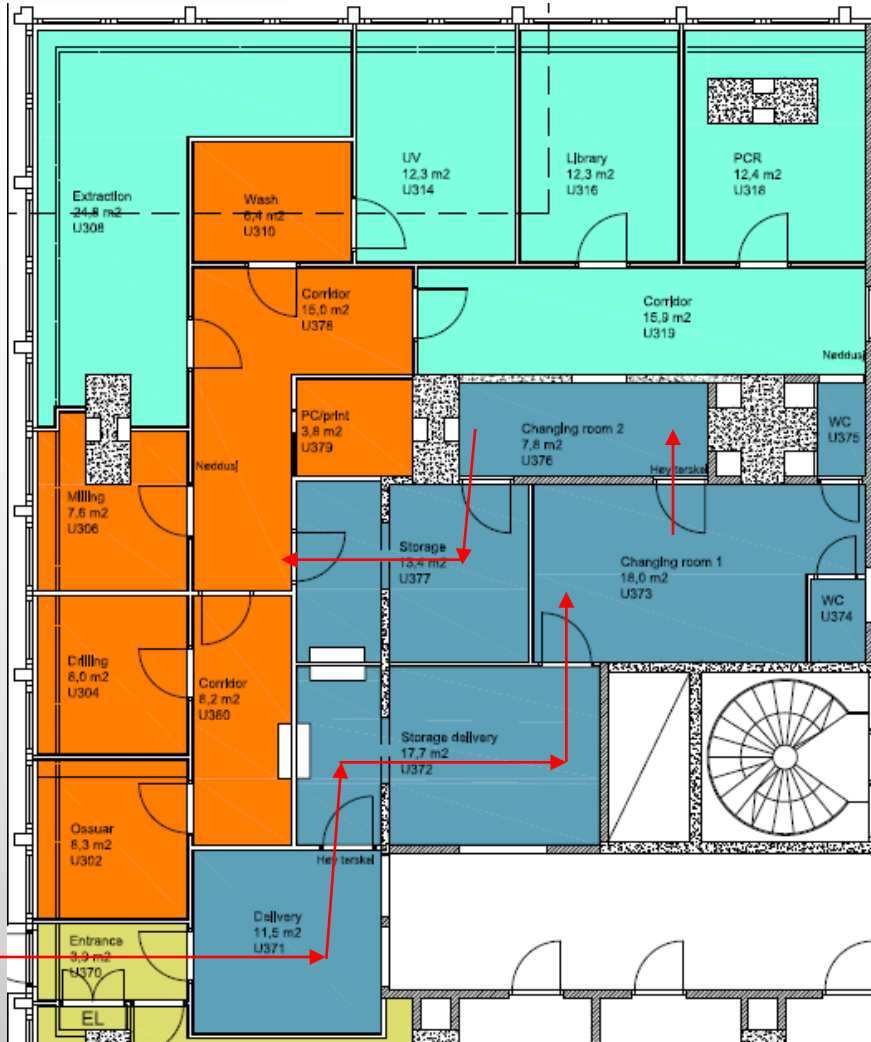
Entrance with
Special Key



Emergency exit

- ❖ Only authorised workers are allowed to enter the lab after a special training.
- ❖ Independent entrance
- ❖ Separate ventilation system with positive pressure.

The aDNA lab at CEES in Oslo



Shower
and fresh
washed
clothes.



Wear
protective
clothes.



Leave your clothes in the lockers.



Leave your pieces of
external clothes in the
lockers.

Inside the lab

aDNA worker's outfit and behaviour:

1. one-way rule, freshly showered and freshly washed clothes, direct way, never entering building with offices and other labs prior to aDNA lab

2. cover skin, prevent loss of eyelashes and hair in the lab to protect aDNA-lab environment from worker's DNA:

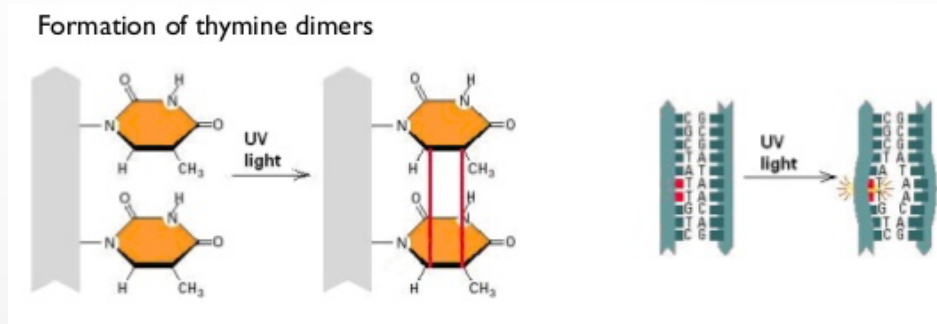
- Caps/medical head wear
- Surgical facemask
- Helmet and visor
- Overall
- 2-3 pairs of gloves
- Overshoes

3. Keep Clean!



UV-irradiation

- Produce dimers between two consecutive pyrimidines (especially between two thymines)
- Results in **inhibition of the PCR-reaction**



UV-irradiation of all disposables and working area



UV-irradiation of samples



Even water for cleaning is UV-irradiated!

The background features a light gray gradient with several realistic water droplets of various sizes scattered in the corners. The droplets have highlights and shadows, giving them a three-dimensional appearance.

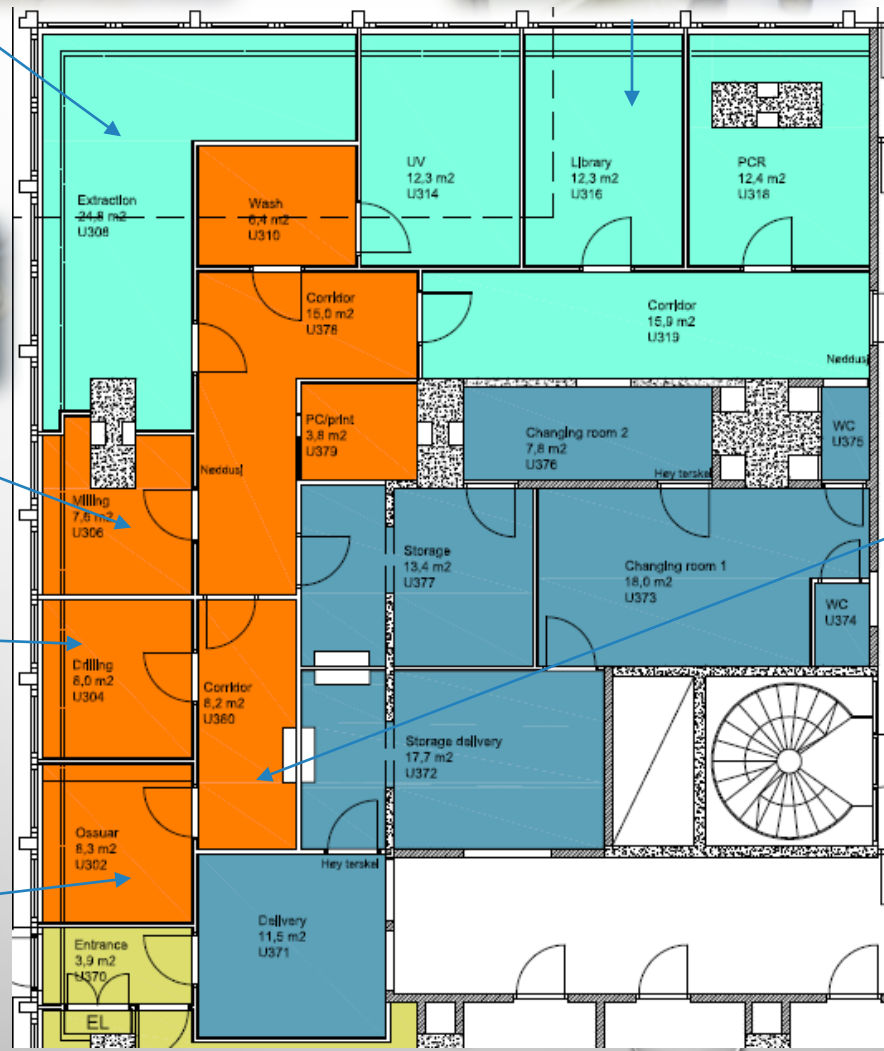
Experimental procedures

Advices for Sampling

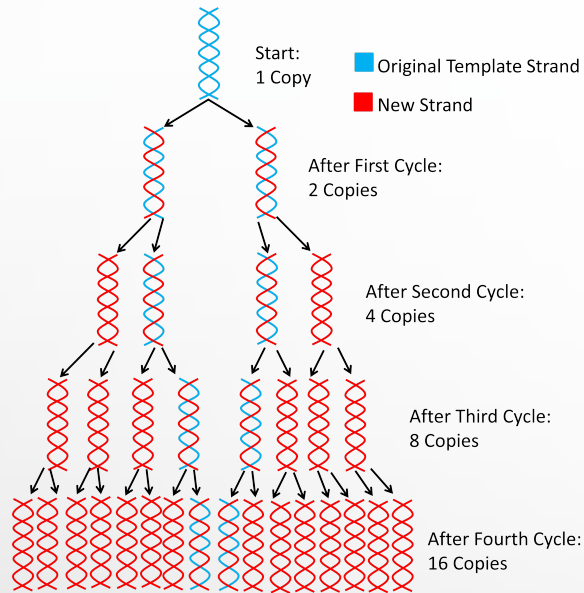
- ❖ Wear protective clothes by handling even in the repository (at least gloves and face mask)
- ❖ Don't wash the samples for aDNA analyses!!!
- ❖ Don't use glue or other chemicals!!!
- ❖ Don't write on the specimens!!! Use bags.
- ❖ **If possible, isolate two samples of each individual for aDNA analyses during the excavation**
- ❖ **Take contact with an accredited aDNA expert for advices asap**



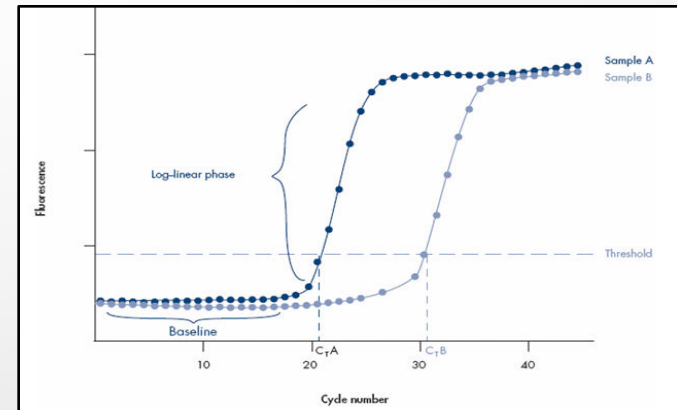
Preparation of PCR and libraries



(q)PCR (outside the aDNA lab)



- During RealTime PCR (or qPCR) the number of copies of the target is determined thanks to a fluorescence marker (SYBR[®] Green), which is intercalated in the DNA double strands.



Quantification

Shotgun (Metagenomic analysis)

(outside the aDNA)

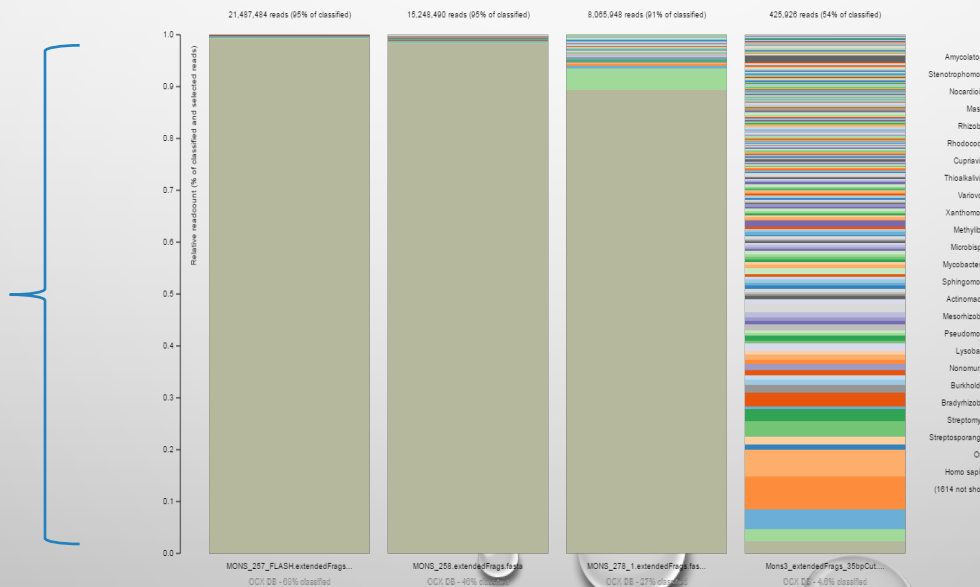


Whole collection of genomes isolated from a sample.

Pars petrosa

Tooth

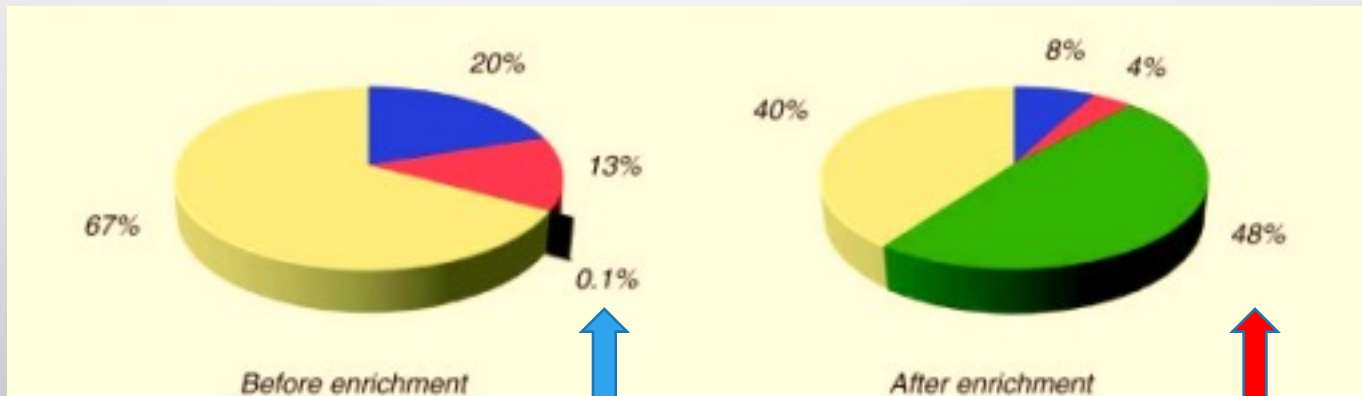
Human DNA



Credit: M. Guellil

Target enrichment / Capture

(outside the aDNA)



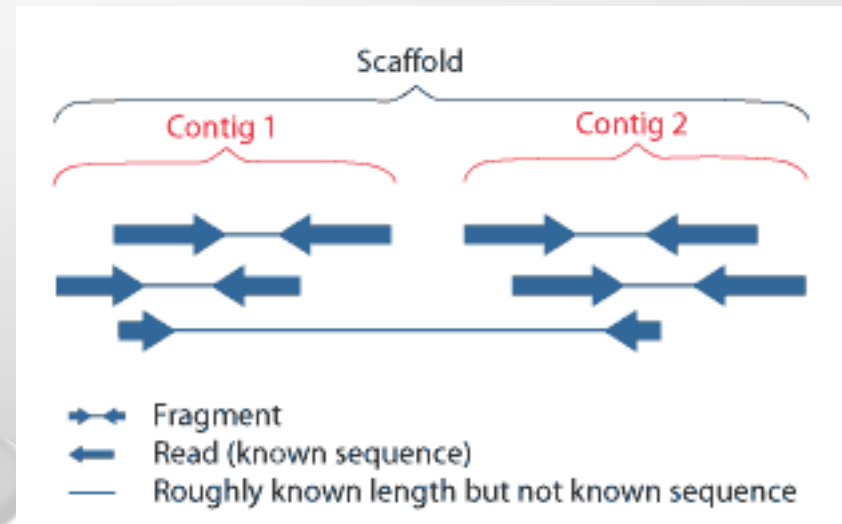
Bioinformatic work

- Loading reads (+ quality info).
- Loading reference sequence(s).
- Demultiplexing (sorting the reads into different files according to their indexes).
- Paired end splitting (sorting for reads sequenced in two directions).
- Trimming (adapters) and filtering of reads according to various quality criteria (for instance length).
- Calculating global statistics on the project.
- Aligning the reads against the reference sequence(s).
- SNPs (or SNVs) calling.
- BLASTing
- ...

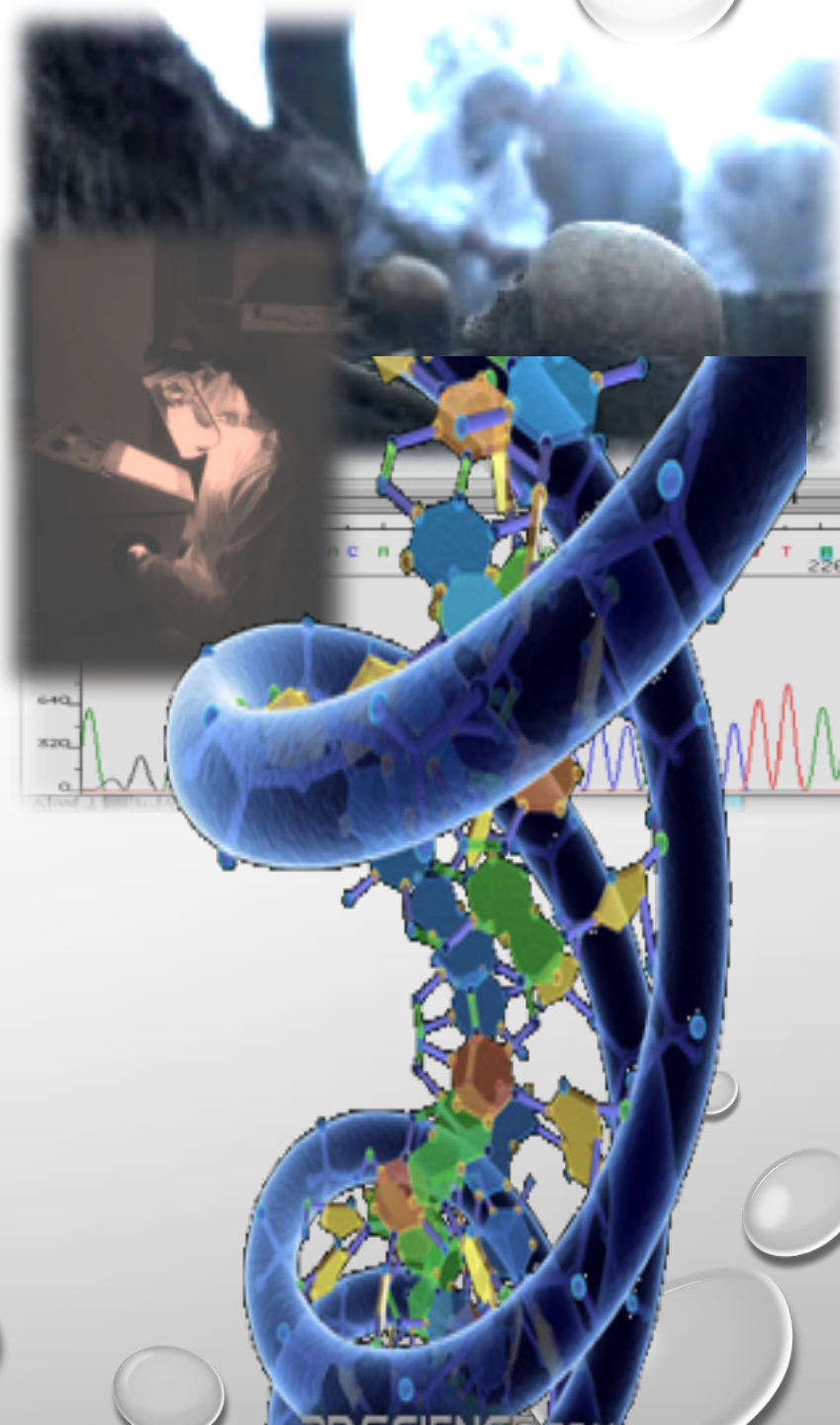


Major issues with bioinformatics

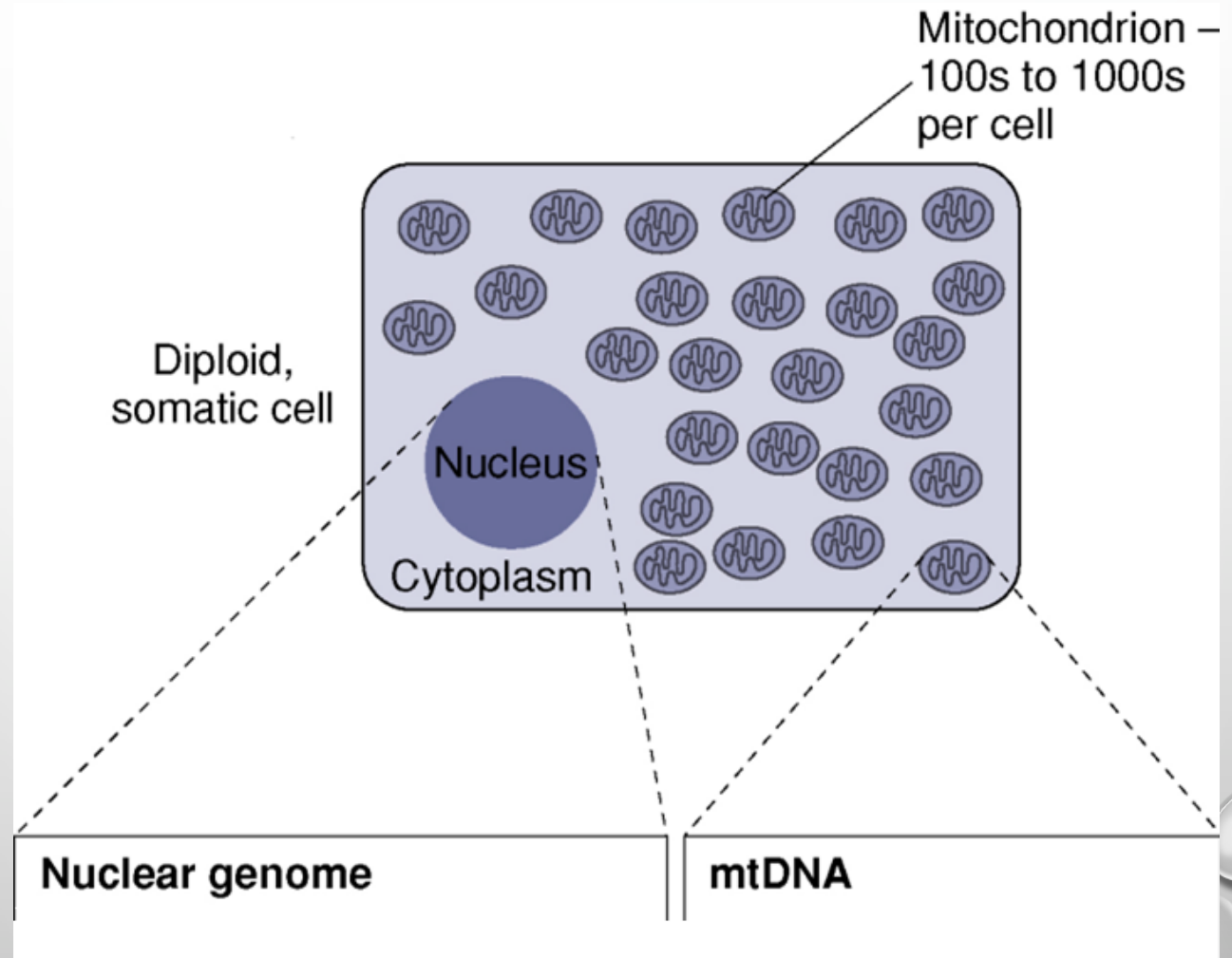
- ❖ Low coverage
- ❖ Short reads are difficult to attribute
- ❖ Incomplete data (scaffolds)
- ❖ Databanks are not (yet) complete (no reference for any organism)
- ❖ Misattribution of reads to another species
- ❖ Individual variability can be lost



Some
examples of
aDNA
analysis from
human
remains

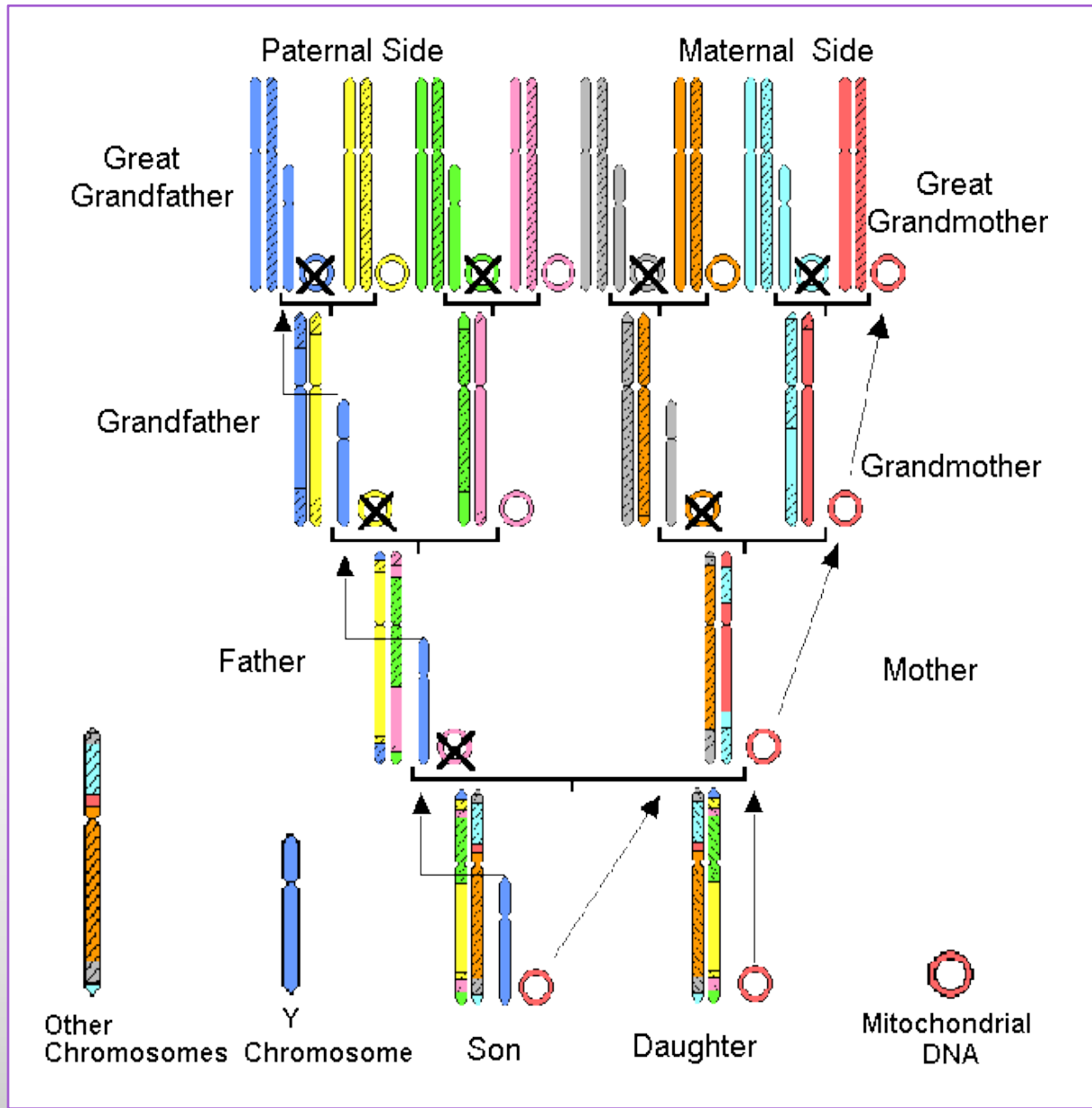


Sources of aDNA in mammalian cells



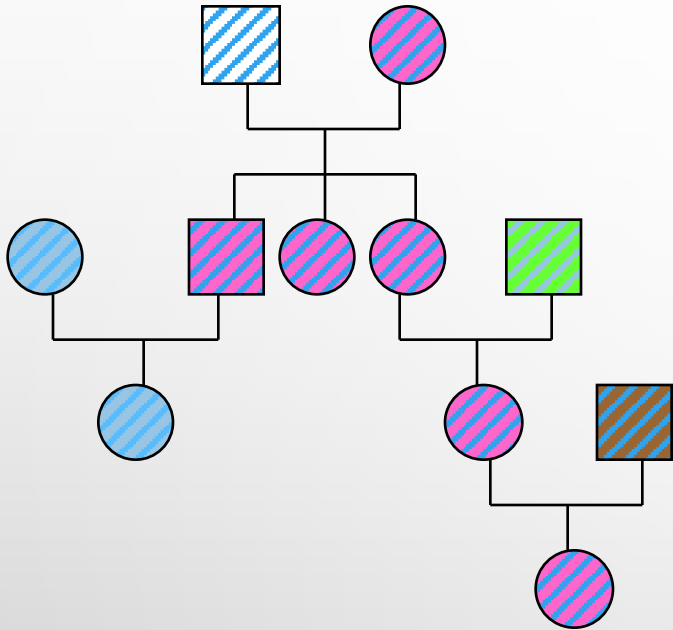
Nuclear genomic DNA vs. mtDNA

No recombination!



Identification: The Romanov

Maternal lineage



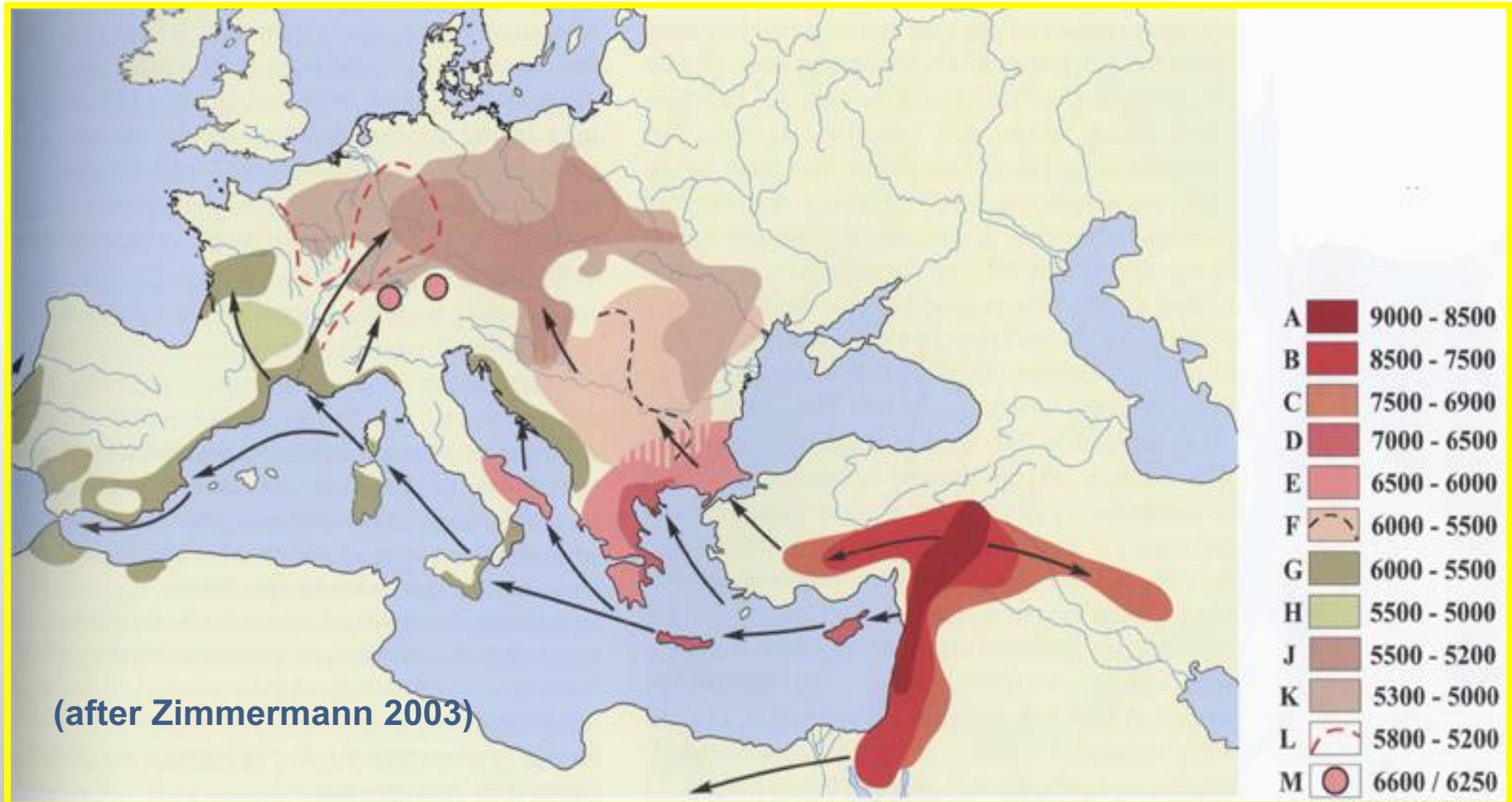
Attribution of skeletal elements

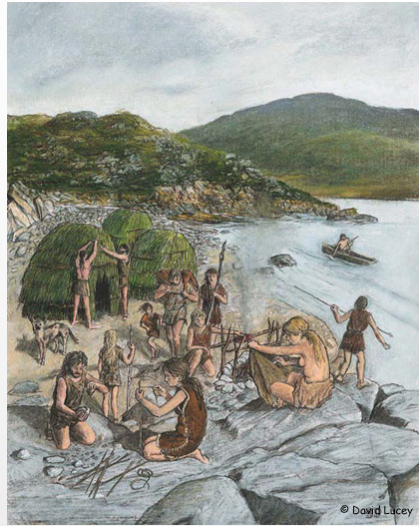
Westerhausen, Iron Age (ca. 270 CE).



- ❖ Nine individuals, nine mtDNA haplotypes
- ❖ No maternal relationship
- ❖ Reconstruction of the individual skeletons
- ❖ nDNA confirmed the gender (8 male, 1 female ind.)

mtDNA in Population Genetics: The Neolithic Transition

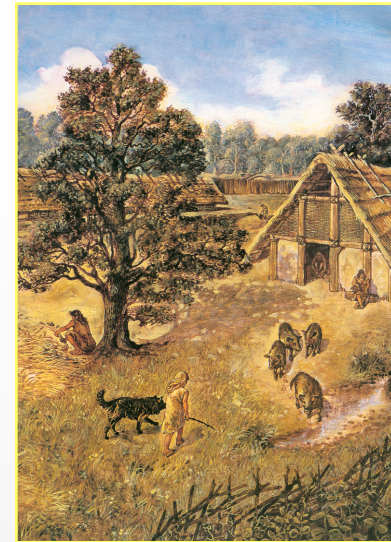




**Hunter-gatherers
(Palaeo-Mesolithic
periods)
45,000-4,000 YBP**

Acculturation
or immigration

?



**Farmers
(Neolithic period)
10,000-4,000 YBP**

Ancient DNA from the First European Farmers in 7500-Year-Old Neolithic Sites

Wolfgang Haak,^{1*} Peter Forster,² Barbara Bramanti,¹ Shuichi Matsumura,² Guido Brandt,¹ Marc Tänzler,¹ Richard Villems,³ Colin Renfrew,⁴ Detlef Gronenborn,⁴ Kurt Werner Alt,¹ Joachim Burger¹

The ancestry of modern Europeans is a subject of debate among geneticists, archaeologists, and anthropologists. A crucial question is the extent to which Europeans are descended from the first European farmers in the Neolithic Age 7500 years ago or from Paleolithic hunter-gatherers who were present in Europe since 40,000 years ago. Here we present an analysis of ancient DNA from early European farmers. We successfully extracted and sequenced intact stretches of maternally inherited mitochondrial DNA (mtDNA) from 24 out of 57 Neolithic skeletons from various locations in Germany, Austria, and Hungary. We found that 25% of the Neolithic farmers had one characteristic mtDNA type and that this type formerly was widespread among Neolithic farmers in Central Europe. Europeans today have a 150-times lower frequency (0.2%) of this mtDNA type, revealing that these first Neolithic farmers did not have a strong genetic influence on modern European lineages. Our finding lends weight to a proposed Paleolithic ancestry for modern Europeans.

Agriculture originated in the Fertile Crescent of the Near East about 12,000 years ago, from where it spread via Anatolia all over Europe (1). It has been widely suggested that the global expansion of farming included not only the dispersal of cultures but also of genes and languages (2). Archaeological cultures such as the Linear pottery culture (*Linceolabandkeramik* or LBK) and Alfröhd/Vomadsches Kerámia (AVK) mark the onset of farming in temperate regions of Europe 7500 years ago (3). These early farming cultures originated in Hungary and Slovakia, and the LBK then spread rapidly as far as the Paris Basin and the Ukraine (4, 5). The remarkable speed of the LBK expansion within a period of about 500 years, and the general uniformity of this archaeological unit across

a territory of nearly a million square kilometers (Fig. 1), might indicate that the spread was fueled to a considerable degree by a migration of people (6–8). On the other hand, a number of archaeological studies suggest that local European hunter-gatherers had shifted to farming without a large-scale uptake of genes from the first farmers (9–11). Genetic studies carried out on modern Europeans have led to conflicting results, with estimates of Neolithic input into the present population ranging from 20 to 100% (12–20). A theoretical simulation study by Currat and Excoffier (21) has recently suggested a minor contribution, clearly less than 50%, and possibly much less. Conclusive ancient DNA studies on skeletons of the first European farmers do so far not have been published to our knowledge.

To resolve the question to what extent of the Neolithic female contribution to the present European population, we collected 57 Neolithic skeletons from 16 sites of the LBK/AVK culture from Germany, Austria, and Hungary. These include well-known archaeological sites such as Flomborn, Schwetzingen, Eilsleben, Asparrn-Schletz, and several new excavations; for example, from Halberstadt and Drenburg/Meerenstieg II. All human remains were dated to the LBK or AVK period (7500 to 7000 years ago) on the basis of asse finds. We extracted DNA from b from the morphologically well-preserved, and we amplified nucleotide 15997–16409 [see supporting on (22)] of the mitochondrial region overlapping primer pairs. In addition number of coding-region nD plasmids, which are diagnostic for n in the mtDNA tree (22).

From a total of 57 LBK/AV analyzed, 24 individuals (42%) rucibly successful amplification primer pairs from at least two extractions usually sampled from of the skeleton. Eighteen of it belonged to typical western Eur branches; there were seven H1 or five T sequences, four K sequence types, and one U3 sequence (all 18 sequences are common and 5 modern Europeans, Near Eastern

our study (1) described the discovery of the mitochondrial type N1a in 6 out of 11 Central European Neolithic skeletons, which was unexpected because today this type is found at 150-times lower frequency in Europe. We offered two possible explanations for our observations. First, female Early Neolithic farmers could have been replaced by immigrant women after the early Neolithic (post-early-Neolithic replacement theory). Second, the female early Neolithic farmers could have been genetically diluted by resident native hunter-gatherers (Paleolithic survival theory). Both interpretations are compatible with our genetic data. Because there is so far no archaeological evidence for a major post-early-Neolithic population replacement, we suggested that the Paleolithic survival theory is more likely.

In their comment, Ammerman et al. (2) raise concerns about our study and call for further ancient DNA studies. First, the authors may have misread the central question asked in our study. We tackled the question of the fate of the early European farmers [as represented by the Neolithic skeletons of the Linear pottery culture (LBK)], that is, whether modern central Europeans are descended from them or not. In contrast, Ammerman et al. imply that our study deals with questions on the origin of the early farmers' descendants outlined in our study, along with the intriguing ancient DNA data may one day contribute to a better understanding of farming origins.

¹Institut für Anthropologie, Johannes Gutenberg Universität Mainz, Saarstrasse 21, D-55099 Mainz, Germany. ²National Genomics Research Institute, Emeric-Isidoru-Str. 2, RO-551116 Mainz, Germany. ³McDonald Institute for Archaeological Research, University of Cambridge, Downing Street, Cambridge CB2 3RQ, UK.

*To whom correspondence should be addressed. E-mail: haakw@uni-mainz.de

TECHNICAL COMMENT

Response to Comment on "Ancient DNA from the First European Farmers in 7500-Year-Old Neolithic Sites"

Joachim Burger,¹ Detlef Gronenborn,² Peter Forster,² Shuichi Matsumura,² Barbara Bramanti,¹ Wolfgang Haak,¹

The discovery of mitochondrial type N1a in Central European Neolithic skeletons at a high frequency enabled us to assess the question of whether the modern population is maternally descended from the early farmers instead of addressing the traditional question of the origin of early European farmers.

We believe it is worthwhile to clarify the points that Ammerman and colleagues usefully raise. Regarding the point that we should have analyzed far more than 24 samples, we point out that our main conclusions (1) were based on statistically significant results. Furthermore, we carefully examined the sample locations and mitochondrial DNA types to exclude the possibility of biased sampling. Ammerman et al. (2) are correct that one of our 24 skeletons, namely the one from Eeslag, is not a "first farmer" but only an "early" farmer, as far as eastern Hungary is concerned. We included this skeleton in our analysis because it is culturally and chronologically closely related to our actual focus: the first farmers in the LBK area of neighboring Central Europe (Fig. 1). The other 23 skeletons represent the first full farming populations in their local LBK regions; this is particularly the case for the Flomborn site, which is among the first LBK colonies west of the Rhine and is also the type-site for the "Flomborn"

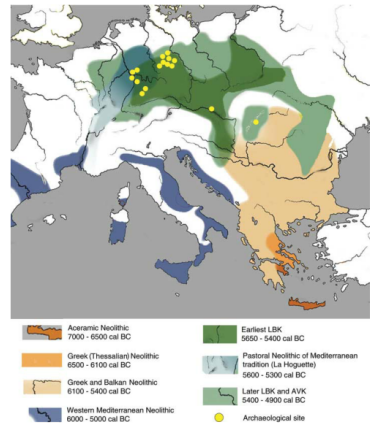


Fig. 1. The spread of farming across Europe. The colors indicate time scales for the spread of the early Neolithic in Europe. All 24 samples of our ancient DNA study belong to the same LBK/AVK Linear pottery and Alfröhd linear pottery culture chronostratum, representing the first farmers in much of central Europe.

www.sciencemag.org SCIENCE VOL 312 30 JUNE 2006

Genetic Discontinuity Between Local Hunter-Gatherers and Central Europe's First Farmers

B. Bramanti,^{1*} M. G. Thomas,² W. Haak,^{1†} M. Unterdanker,¹ P. Jores,^{1‡} K. Tambets,⁴ I. Antanaitis-Jacobs,⁵ M. N. Haldre,⁶ R. Jankauskas,⁴ C.-J. Kind,⁷ F. Lueht,⁷ T. Terberger,⁸ J. Hiller,⁹ S. Matsumura,^{10,11,†} P. Forster,¹² J. Burger¹

After the domestication of animals and crops in the Near East some 11,000 years ago, farming had reached much of central Europe by 7500 years before the present. The extent to which these early European farmers were immigrants or descendants of resident hunter-gatherers who had adopted farming has been widely debated. We compared new mitochondrial DNA (mtDNA) sequences from late European hunter-gatherer skeletons with those from early farmers and from modern Europeans. We find large genetic differences between all three groups that cannot be explained by population continuity alone. Most (82%) of the ancient hunter-gatherers share mtDNA types that are relatively rare in central Europeans today. Together, these analyses provide persuasive evidence that the first farmers were not the descendants of local hunter-gatherers but immigrated into central Europe at the onset of the Neolithic.

Europe has witnessed several changes in archaeological cultures since anatomically modern humans displaced the Neanderthal population 30,000 to 40,000 years ago (1, 2). Paleolithic hunter-gatherers survived the Last Glacial Maximum (LGM) about 25,000 years ago in southern and eastern refugia (3) and resettled central Europe after the retreat of the ice sheets. With the end of the Ice Age at ~9600 B.C.E., their Mesolithic descendants or successors had reconquered large parts of the deglaciated northern latitudes (4, 5). From around 6400 B.C.E., the hunter-gatherer way of life gave way to farming cultures in a transition known as the Ne-

olithic Revolution (6). The extent to which this important cultural transition was mediated by the arrival of new peoples, and the degree of Mesolithic and early Neolithic ancestry in Europeans today, have been debated for more than a century (7–10). To address these questions directly, we obtained mitochondrial DNA (mtDNA) types from 22 central and northern European post-LGM hunter-gatherer skeletal remains (Fig. 1) and compared 20 of these (those for which full sequence information was available) to homologies of mtDNA sequences from 25 early farmers (11, 12) and 454 modern Europeans from the same geographic region (13). Our ancient sample spans a period from

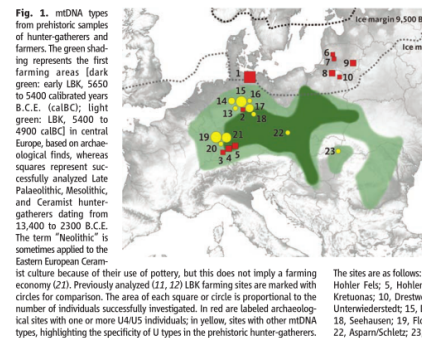


Fig. 1. mtDNA types from prehistoric samples of hunter-gatherers and farmers. The green shading represents the first farming areas (dark green: early LBK, 5650 to 5400 calibrated years B.C.E. (calBC); light green: LBK, 5400 to 4900 calBC) in central Europe, based on archaeological finds, whereas squares represent successfully analyzed Late Paleolithic, Mesolithic, and Ceramic hunter-gatherers dating from 13,400 to 2300 B.C.E. The term "Neolithic" is sometimes applied to the Eastern European Ceramic culture because of their use of pottery, but this does not imply a farming economy (21). Previously analyzed (21, 22) LBK farming sites are marked with circles for comparison. The area of each square or circle is proportional to the number of individuals successfully investigated. In red are labeled archaeological sites with one or more U4/U5 individuals; in yellow, sites with other mtDNA types, highlighting the specificity of U types in the prehistoric hunter-gatherers.

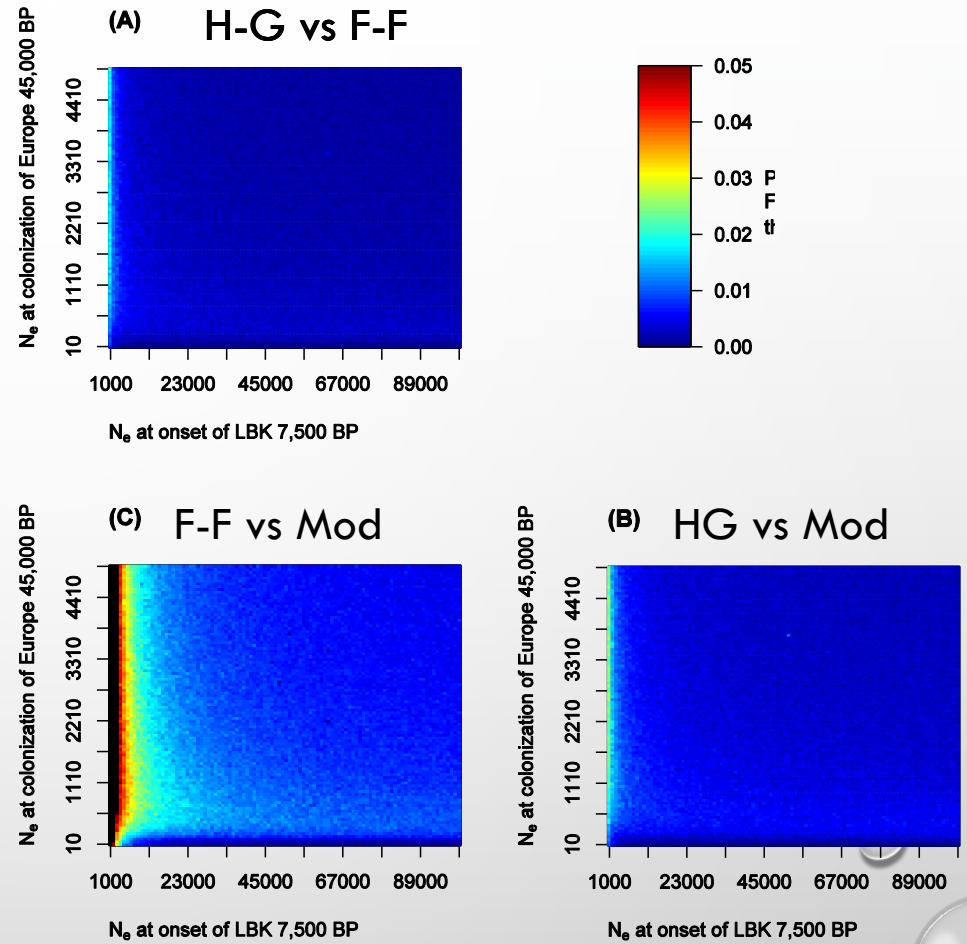
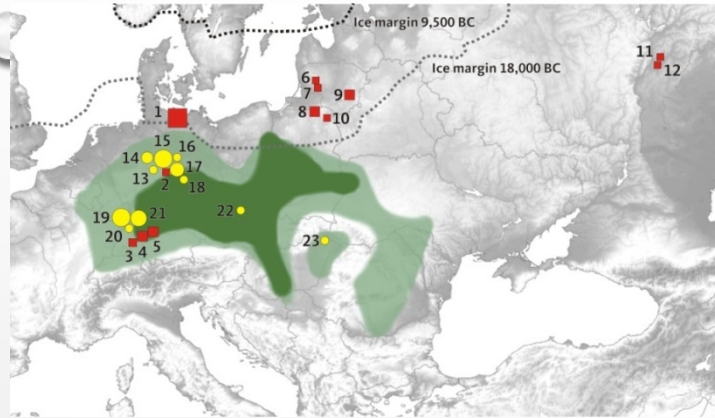
circa (ca.) 13,400 to 2300 B.C.E. and includes bones from Hohlle Fels in the Ach valley (Late Upper Paleolithic) and Hohenleinsten-Stadel in the Loos valley (Mesolithic). Extensive precautions were taken to ensure sequence authenticity (14), including extracting independent samples from different skeletal locations of the same individuals and examining remains only from high latitudes or cave sites with good biostratigraphic preservation.

¹Institute for Anthropology, University of Mainz, Mainz, Germany. ²Research Department of Genetics, Evolution and Environment, and the Arts and Humanities Research Centre for the Evolution of Cultural Diversity, University College London, Gower Street, London WC1E 6BT, UK. ³Department of Evolutionary Biology, Institute of Molecular and Cell Biology, University of Tartu and Estonian Biocentre, Tartu, Estonia. ⁴Department of Anatomy, Histology and Anthropology, University of Vilnius, Lithuania. ⁵Research Center "The Role of Culture in Early Expansions of Humans" of the Heidelberg Academy of Sciences and Humanities, Sonderberg Research Institute, Frankfurt am Main, Germany. ⁶Regierungsgesellschaft Stuttgart, Landesamt für Denkmalpflege, Germany. ⁷National-Genomische Kommission (NGK), Frankfurt am Main, Germany. ⁸Lehrstuhl für Ur- und Frühgeschichte, University of Göttingen, Germany. ⁹Biological Group, Cardiff School of Biosciences and Vision Sciences, Cardiff University, Cardiff, UK. ¹⁰International Institute for Applied Systems Analysis, Laxenburg, Austria. ¹¹Yaroslavl Institute of Freshwater Ecology and Inland Fisheries, Berlin, Germany. ¹²Cambridge Society for the Application of Research, Cambridge, UK.

[†]To whom correspondence should be addressed. E-mail: bramanti@uni-mainz.de
[‡]Present address: Australian Centre for Ancient DNA, University of Adelaide, Adelaide, Australia.
[§]Present address: Institute for Zoology, University of Mainz, Mainz, Germany.
[¶]Present address: Diamond Light Source, Harwell Science and Innovation Campus, Chilton, UK.
^{||}Present address: Faculty of Applied Biological Sciences, Gifu University, Gifu, Japan.

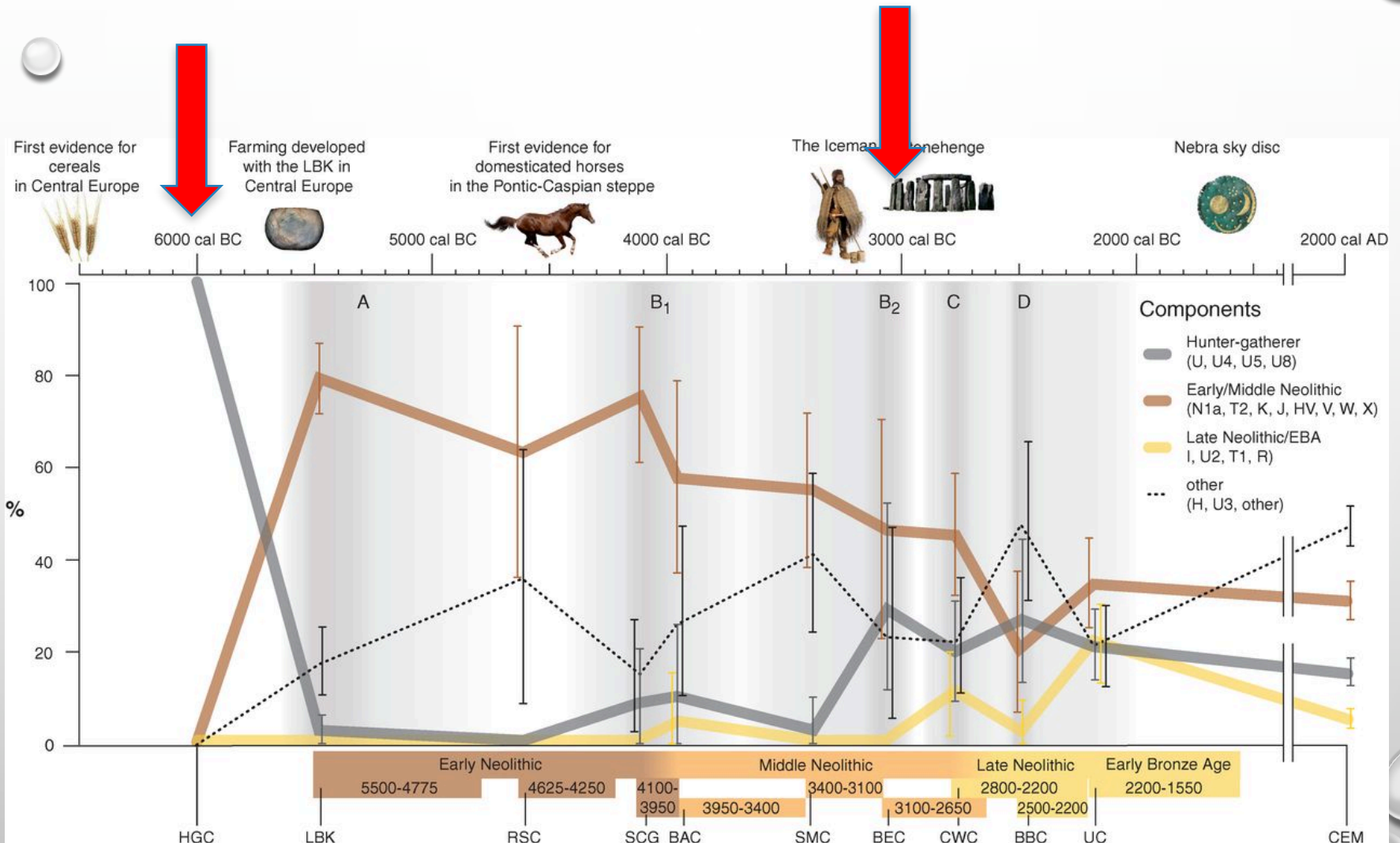
www.sciencemag.org SCIENCE VOL 326 2 OCTOBER 2009

1) No genetic continuity between Hunter-Gatherers & First Farmers



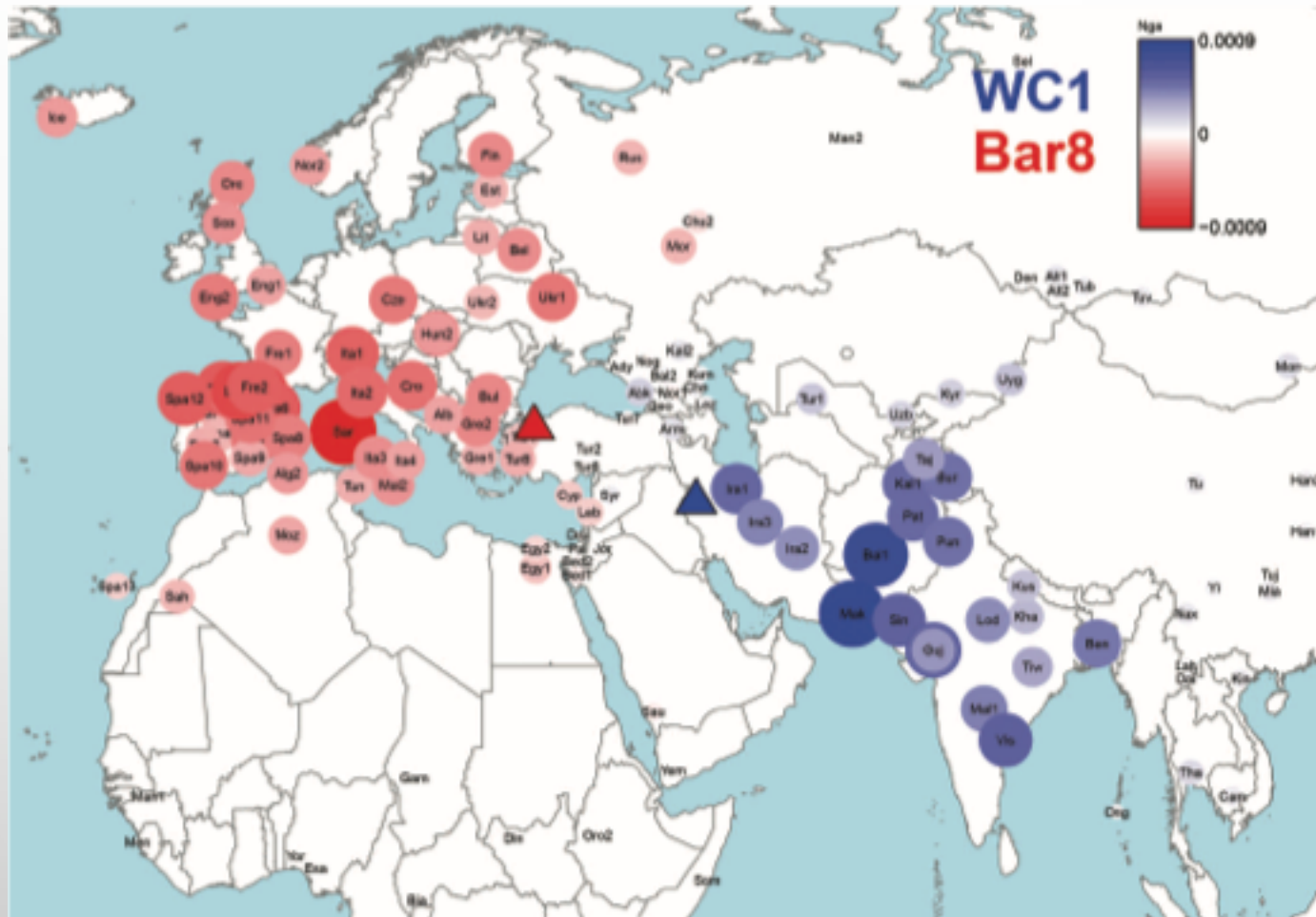
2) No direct genetic continuity between Hunter-Gatherers, First Farmers and modern Europeans

H-G and Farmers in Central Europe



Early Neolithic genomes from the eastern Fertile Crescent

Broushaki et al. 2016



Modern-day peoples with affinity to WC1. Modern groups with an increasingly higher (respectively lower) inferred proportion of haplotype sharing with the Iranian Neolithic Wezmeh Cave (WC1, 7455 to 7082 cal BCE, blue triangle) compared to the Anatolian Neolithic Barcin genome (Bar8; 6212 to 6030 cal BCE, red triangle) are depicted with an increasingly stronger blue or red color, respectively.

nDNA: Somatic traits

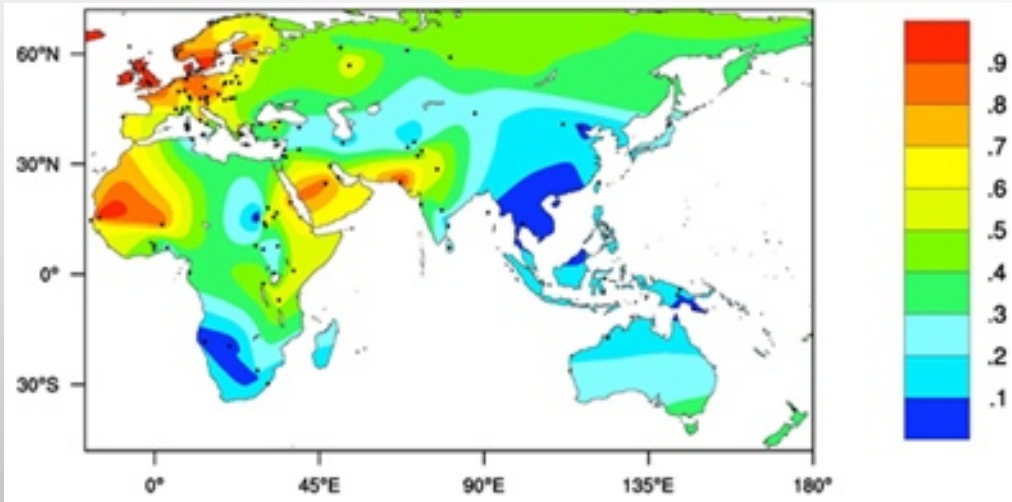


La Braña 1, a 7,000-year-old individual from the Mesolithic Period, had blue eyes and dark skin. Credit: Spanish National Research Council

La Braña 1 has a common ancestor with the settlers of the Upper Paleolithic site of Mal'ta, located in Lake Baikal (Siberia)

Olalde et al. 2014
(Wilde et al. 2014)

nDNA: Lactase-persistence



Itan et al. 2009
(Burger et al. 2007,
Malmström et al. 2010
Sverrisdóttir et al. 2014)

MACROSCOPICAL LESIONS



Tuberculosis



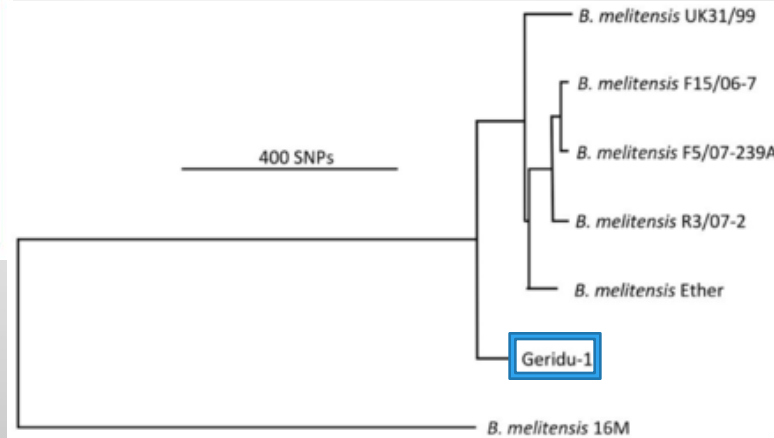
Lepra



Syphilis



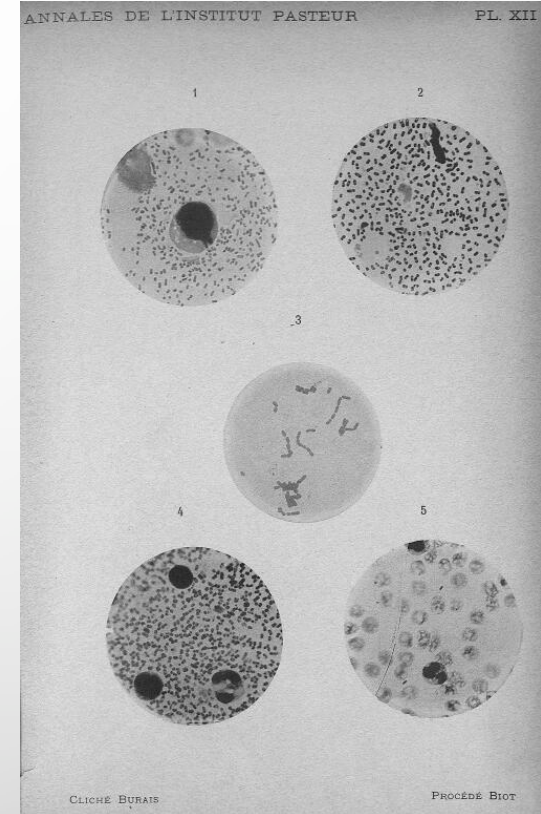
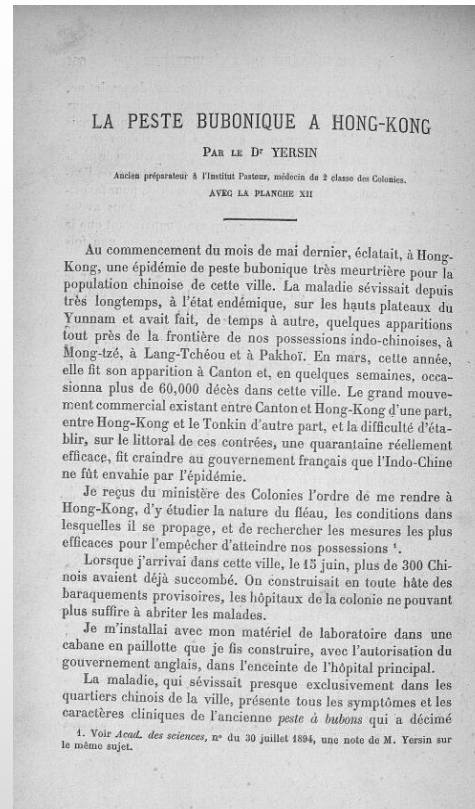
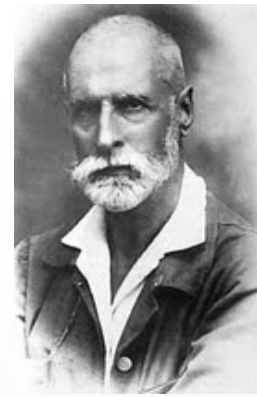
Brucellosis
Kay et al. 2014



1894

YERSIN, Alexandre. - La peste bubonique à Hong-Kong. In : Annales de l'Institut Pasteur, 1894, Vol. 8, pp. 662-7

Pasturella pestis



Distinct Clones of *Yersinia pestis* Caused the Black Death

Stephanie Haensch¹, Raffaella Bianucci^{2,3}, Michel Signoli^{3,4}, Minoarisoa Rajerison⁵, Michael Schultz⁶, Sacha Kacki^{7,8}, Marco Vermunt⁹, Darlene A. Weston^{10,11,12}, Derek Hurst¹³, Mark Achtman¹⁴, Elisabeth Carniel¹⁵, Barbara Bramanti^{1*}

1 Institute for Anthropology, Johannes Gutenberg University, Mainz, Germany, **2** Laboratory of Criminalistic Sciences Department of Anatomy, Pharmacology and Legal Medicine, University of Turin, Turin, Italy, **3** Unité d'Anthropologie Bioculturelle, Faculté de Médecine, Université de Méditerranée-CNRS-EFS, Marseille, France, **4** Centre d'Études Préhistoriques, Antiquités, Mémoires, UMR 6188 CNRS-250 University of Nice, Valbonne, France, **5** Center for Plague, Institute Pasteur de Madagascar, World Health Organization Collaborating, Antananarivo, Madagascar, **6** Department of Anatomy and Embryology Medical Faculty, Georg-August University, Göttingen, Germany, **7** Inrap, Villeneuve-d'Ascq Archaeological Center, Villeneuve-d'Ascq, France, **8** Laboratoire d'Anthropologie des Populations du Zoom, Université Bordeaux 1, Talence, France, **9** Department of Monuments and Archaeology, Municipality of Bergen op Zoom, Bergen op Zoom, The Netherlands, **10** Barge's Anthropology, Department of Anatomy and Embryology, Leiden University Medical Center, Leiden, The Netherlands, **11** Division of Archaeological Sciences, University of Bradford, Bradford, West Yorkshire, United Kingdom, **12** Department of Human Evolution, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany, **13** Worcestershire Historic Environment and Archaeology Service, Worcestershire County Council, Worcester, United Kingdom, **14** Environmental Research Institute, University College Cork, Cork, Ireland, **15** Yersinia Research Unit, Institut Pasteur, Paris, France

Abstract

From AD 1347 to AD 1353, the Black Death killed tens of millions of people in Europe, leaving misery and devastation in its wake, with successive epidemics ravaging the continent until the 18th century. The etiology of this disease has remained highly controversial, ranging from claims based on genetics and the historical descriptions of symptoms that it was caused by *Yersinia pestis* to conclusions that it must have been caused by other pathogens. It has also been disputed whether plague had the same etiology in northern and southern Europe. Here we identified DNA and protein signatures specific for *Y. pestis* in human skeletons from mass graves in northern, central and southern Europe that were associated archaeologically with the Black Death and subsequent resurgences. We confirm that *Y. pestis* caused the Black Death and later epidemics on the entire European continent over the course of four centuries. Furthermore, on the basis of 17 single nucleotide polymorphisms plus the absence of a deletion in *gldD* gene, our aDNA results identified two previously unknown but related clades of *Y. pestis* associated with distinct medieval mass graves. These findings suggest that plague was imported to Europe on two or more occasions, each following a distinct route. These two clades are ancestral to modern isolates of *Y. pestis* biovars Orientalis and Medievalis. Our results clarify the etiology of the Black Death and provide a paradigm for a detailed historical reconstruction of the infection routes followed by this disease.

Citation: Haensch S, Bianucci R, Signoli M, Rajerison M, Schultz M, et al. (2010) Distinct Clones of *Yersinia pestis* Caused the Black Death. *PLoS Pathog* 6(10): e1001134. doi:10.1371/journal.ppat.1001134

Editor: Nora J. Besansky, University of Notre Dame, United States of America

Received: May 28, 2010; **Accepted:** September 7, 2010; **Published:** October 7, 2010

Copyright: © 2010 Haensch et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Funding: This research was supported by grants from the Deutsche Forschungsgemeinschaft (DFG Br 2965/1-1 and Br 2965/1-2), the University of Mainz (FP1-2007) and the Science Foundation of Ireland (05/FE1/8882). The NDT analysis was supported by Compagnia di San Paolo (2007.0171). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing Interests: The authors have declared that no competing interests exist.

* E-mail: bramanti@uni-mainz.de

Introduction

Of the numerous epidemics in human history, three pandemics are generally accepted as having been caused by plague. Justinian's plague (AD 541–542) spread from Egypt to areas surrounding the Mediterranean [1]. In 1347, an epidemic known as the Black Death spread from the Caspian Sea to almost all European countries, causing the death of one third of the European population over the next few years [2]. This second pandemic persisted in Europe until 1750, causing successive and progressively declining epidemic waves. A third plague pandemic began in the Yunnan region of China in the mid-19th century, and spread globally via shipping from Hong Kong in 1854. During this last pandemic, the etiological cause of plague was identified as *Yersinia pestis*, a Gram-negative bacterium [3,4]. Most microbiologists and epidemiologists believe that *Y. pestis* was also the etiological agent of the first two pandemics. This belief is supported by ancient DNA (aDNA) analyses which identified

sequences specific for *Y. pestis* in the teeth of central European plague victims from the first and second pandemics [5–7]. Moreover, the *Y. pestis* F1 protein, capsule antigen has been detected in ancient plague skeletons from Germany and France by immunochromatography [8,9].

Based on studies on modern strains, microbiologists have subdivided *Y. pestis* into three biovars: Antiqua, Medievalis, and Orientalis. These biovars can be distinguished depending on their abilities to ferment glycerol and reduce nitrate [10]. The Medievalis biovar is unable to reduce nitrate due to a G to T mutation that results in a stop codon in the *napd* gene [11], while the Orientalis biovar cannot ferment glycerol because of a 93 bp deletion in the *gldD* gene [11,12]. Conversely, the Antiqua biovar is capable of fermenting both reactions [10]. An apparent historical association of the routes of the three pandemics with the modern geographical sources of the three biovars led Devignat to propose that each plague pandemic was caused by a different biovar [10]. There is no doubt that the ongoing third pandemic

Yersinia pestis DNA from Skeletal Remains from the 6th Century AD Reveals Insights into Justinianic Plague

Michaela Harbeck^{1*}, Lisa Seifert², Stephanie Hänsch^{3,4}, David M. Wagner⁵, Dawn Birdsell⁶, Katy L. Parise⁶, Ingrid Wiechmann⁶, Gisela Grupe^{1,2}, Astrid Thomas⁷, Paul Keim⁸, Lothar Zöller⁷, Barbara Bramanti^{3,4*}, Julia M. Riehm⁷, Holger C. Scholz^{7*}

1 State Collection for Anthropology and Palaeoanthropology, Munich, Germany, **2** Department Biology I, Anthropology and Human Genetics, Ludwig Maximilian University of Munich, Martinsried, Germany, **3** Institute for Anthropology, Johannes Gutenberg University, Mainz, Germany, **4** Center for Ecological and Evolutionary Synthesis (CEES), Department of Biosciences, University of Oslo, Oslo, Norway, **5** Center for Microbial Genetics and Genomics, Northern Arizona University, Flagstaff, Arizona, United States of America, **6** Institute of Palaeoanthropology, Domestication Research and the History of Veterinary Medicine, Department of Veterinary Sciences, Ludwig Maximilian University of Munich, Munich, Germany, **7** Bundeswehr Institute of Microbiology, Munich, Germany

Abstract

Yersinia pestis, the etiologic agent of the disease plague, has been implicated in three historical pandemics. These include the third pandemic of the 19th and 20th centuries, during which plague was spread around the world, and the second pandemic of the 14th–17th centuries, which included the infamous epidemic known as the Black Death. Previous studies have confirmed that *Y. pestis* caused these two more recent pandemics. However, a highly spirited debate still continues as to whether *Y. pestis* caused the so-called Justinianic Plague of the 6th–8th centuries AD. By analyzing ancient DNA in two independent ancient DNA laboratories, we confirmed unambiguously the presence of *Y. pestis* DNA in human skeletal remains from an Early Medieval cemetery. In addition, we narrowed the phylogenetic position of the responsible strain down to major branch 0 on the *Y. pestis* phylogeny, specifically between nodes N03 and N05. Our findings confirm that *Y. pestis* was responsible for the Justinianic Plague, which should end the controversy regarding the etiology of this pandemic. The first genotype of a *Y. pestis* strain that caused the Late Antique plague provides important information about the history of the plague bacillus and suggests that the first pandemic also originated in Asia, similar to the other two plague pandemics.

Citation: Harbeck M, Seifert L, Hänsch S, Wagner DM, Birdsell D, et al. (2013) *Yersinia pestis* DNA from Skeletal Remains from the 6th Century AD Reveals Insights into Justinianic Plague. *PLoS Pathog* 9(5): e1003349. doi:10.1371/journal.ppat.1003349

Editor: Nora J. Besansky, University of Notre Dame, United States of America

Received: December 19, 2012; **Accepted:** March 24, 2013; **Published:** May 2, 2013

Copyright: © 2013 Harbeck et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Funding: This work was supported by a PhD scholarship from the Bavarian graduate scholarship program, the US Department of Homeland Security (2010-ST-108-00015), HSHQDC-10-C-00139, and the Deutsche Forschungsgemeinschaft (DFG Br 2965/1-2). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing Interests: The authors have declared that no competing interests exist.

* E-mail: M.Harbeck@ipz.uni-muenchen.de (MH); holger.scholz@bundeswehr.org (HCS); bramanti@uni-mainz.de (BB)

Introduction

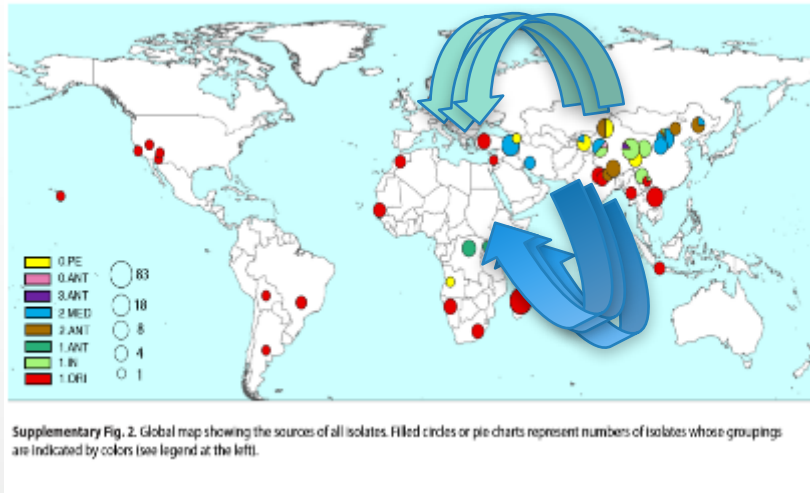
In 541 AD, eight centuries before the Black Death, a deadly infectious disease hit the Byzantine Empire, reaching Constantinople in 542 and North Africa, Italy, Spain, and the French-German border by winter 543 [1]. The so-called “Plague of Justinian”, named after the contemporaneous emperor, led to mass mortality in Europe similar to that of the Black Death. It persisted in the territory of the Roman Empire until the middle of the 6th century and likely contributed to its decline, shaping the end of antiquity [1]. Based on historical records, this disease has been diagnosed as bubonic plague although discrepancies between historical sources and the progression of *Y. pestis* infections have led some authors to suppose that the Plague of Justinian was caused by a different pathogen (as discussed in [2]). This vivacious discussion was recently reinforced by an ancient DNA study of the second pandemic that also questioned whether *Y. pestis* was truly the causative agent of the first pandemic [3,4].

Western scientists have traditionally subdivided *Y. pestis* strains into three biovars: Antiqua, Medievalis, and Orientalis; depending on their abilities to ferment glycerol and reduce nitrate [5].

However, this system ignores many other *Y. pestis* biovars that have been designated and described by other scientists [see 6,7,8]. Biovars, which are based upon phenotypic properties, do not always correspond directly to specific molecular groups because the same phenotype can result from different mutations [9]. As a result, it has been suggested that groupings within *Y. pestis*, or assignment of unknown strains to specific populations should be based upon molecular signatures and not phenotypes [9]. Fortunately, the recent construction of highly-accurate rooted global phylogenetic trees for *Y. pestis* [10,11] (reproduced in Figure 1) have facilitated the assignment of isolates to distinct populations. The most recent global phylogeny is based upon single nucleotide polymorphisms (SNPs) identified from the genomes of 133 global strains [11]. All clones that caused the third pandemic belong to populations assigned to the molecular group 1.0R1 [10,11]; the basal node for this group is N14 (Figure 1).

Two recent studies [3,12] have queried key SNPs in DNA samples obtained from victims of the second pandemic (14th century AD), facilitating the phylogenetic placement of these samples into the most recent global phylogeny [11]. These samples are along the branch between nodes N07 and N10 (Figure 1) close

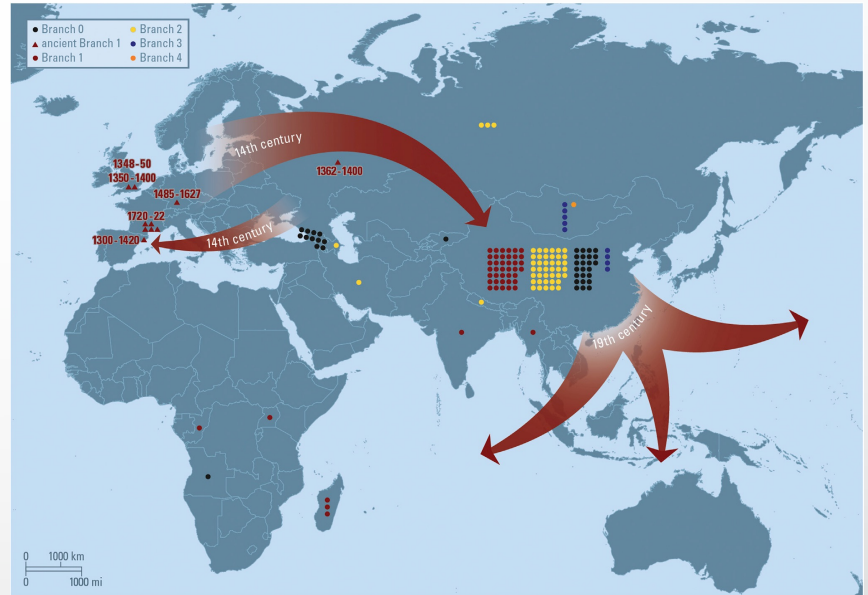
TWO THEORIES



Morelli et al. 2010/Schmid et al. 2015/Bramanti et al. 2016



Multiple introductions
No reservoir in West-Eu



One introduction
Reservoir in West-Eu

Spyrou et al. 2016

RESERVOIRS OF PLAGUE



Prairie dog

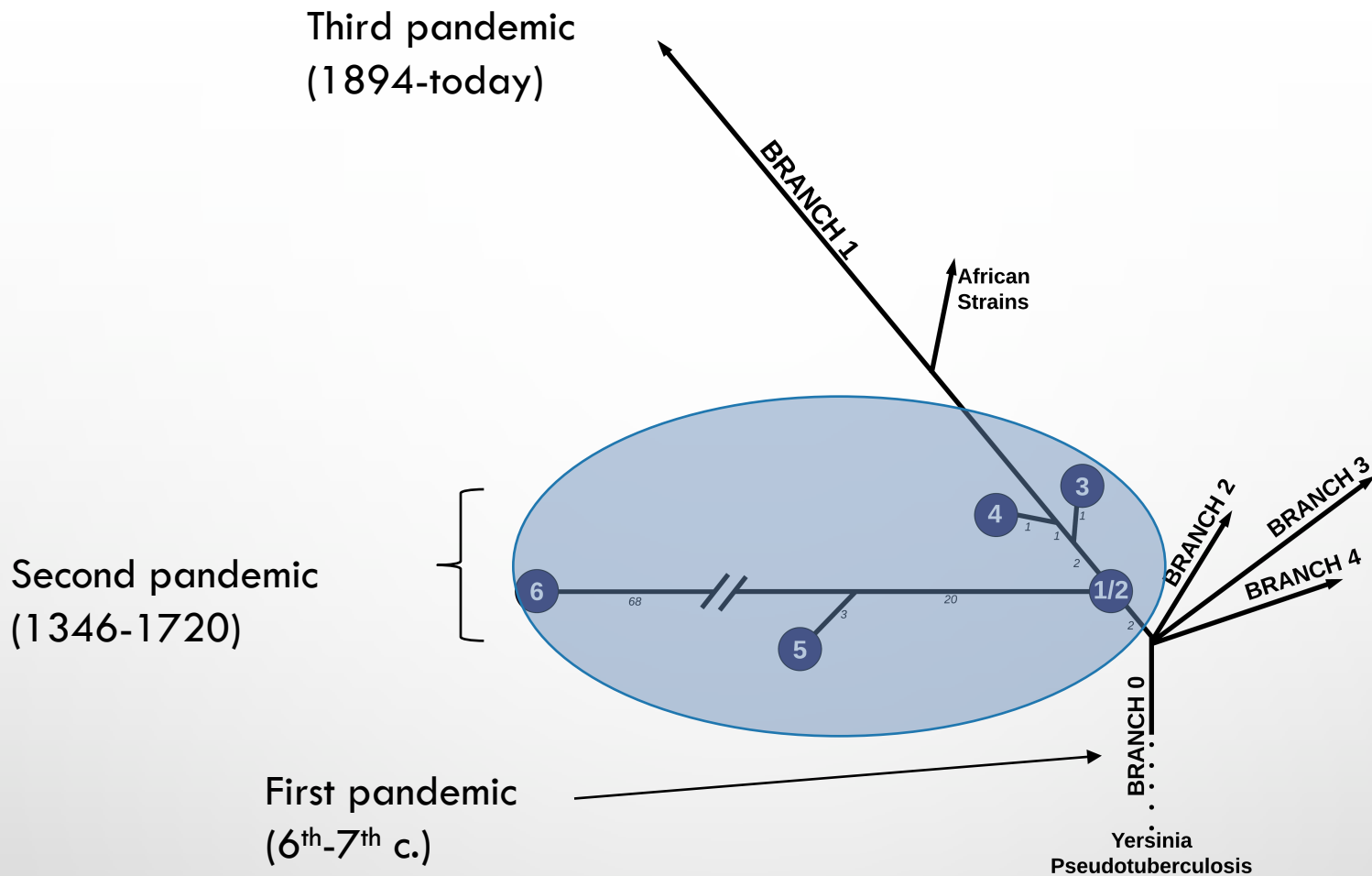
Marmots

Great gerbil

Created by R. Easterday

Google earth

Rats



Guellil, Bramanti 2016

(Data from Bos et al. 2012, Bos et al. 2016, Spyrou et al. 2016, Wagner et al. 2015)



UNIVERSITÀ
DEGLI STUDI
DI FERRARA
- EX LABORE FRUCTUS -

medplag



European Research Council
Established by
the European Commission

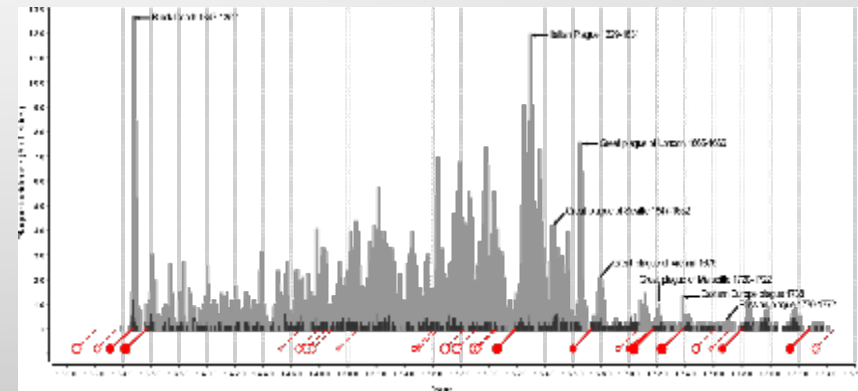
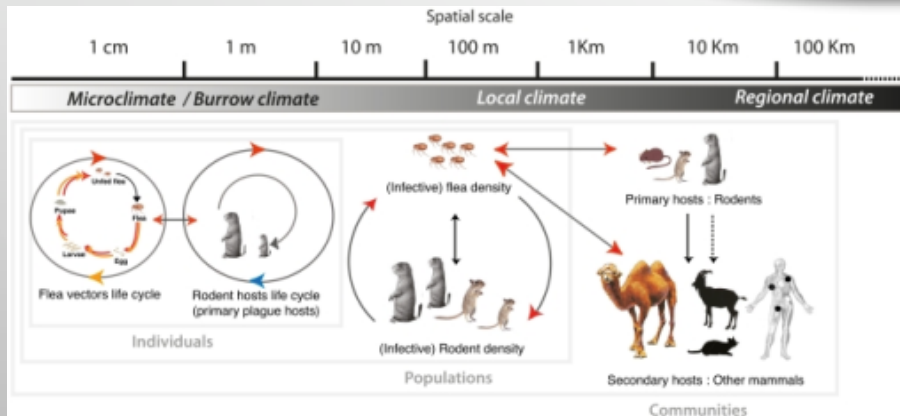
The medieval plagues: ecology, transmission modalities and routes of the infections.



Historical records

Molecular analyses

Climate and Ecology





UNIVERSITÀ
DEGLI STUDI
DI FERRARA
- EX LABORE FRUCTUS -



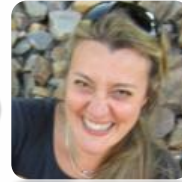
European Research Council

Established by the European Commission

Supporting top researchers
from anywhere in the world

medplag

The medieval plagues: ecology, transmission modalities and routes of the infections.



Barbara
Bramanti



Stephanie Hänsch



Meriam Guellil



Oliver Kersten



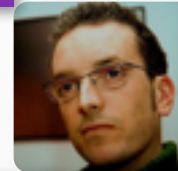
Elisabeth Carniel



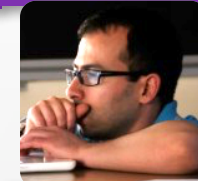
Mark Achtman



Ryan Easterday



Thomas Haverkamp



Amine Namouchi



Raffaella Bianucci



Ulf Büntgen



Boris Schmid



Katie Dean



Nils Ch. Stenseth



Lars Walloe



Natascia Rinaldo

CEES

Centre for Ecological and Evolutionary Synthesis

Cristina Cattaneo & Francesca Sassi, Derek Hurst & Darlene Weston, Sacha Kacki, Elsa Pacciani, Francois Ricaut, Mario Rubini, Michel Signoli, Marco Vermunt, Marco Milanese, Chryssa Bourbou, Emanuela Gualdi, Ildiko Pap, Dong Hoon, Elisabeth Iregren, Lela Bakanidze...

THANK YOU FOR YOUR ATTENTION!!

